

# **RESEARCH ACTIVITIES**

# Dissociative Double Ionization Following Valence and Si:2p Core Level Photoexcitation of SiCl<sub>4</sub> in the Range 38 - 133 eV

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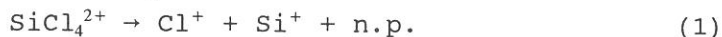
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The photoionization of SiCl<sub>4</sub> has been investigated in the valence and Si:2p inner shell region using time-of-flight mass spectrometry and synchrotron radiation in the range 38 - 133 eV. Branching ratios are reported for all charged species arising from the dissociative photoionization processes. Various monocations such as Cl<sup>+</sup>, SiCl<sub>n</sub><sup>+</sup> (n=0-4) are observed together with doubly charged cations such as Si<sup>2+</sup>, Cl<sup>2+</sup> and SiCl<sup>2+</sup>. A photoion-photoion coincidence (PIPICO) technique has been employed to investigate a variety of dissociation processes via Coulomb explosion and to interpret the dissociation mechanisms.

The total PIPICO efficiency curve has two sharp peaks and one broad peak near the Si:2p photoexcitation/photoionization threshold, as shown in Fig. 1. The first peak starts to rise at 103.0 eV and then reaches a maximum at 105.0 eV. This onset corresponds to the threshold for the dissociative double ionization following the Si:2p core photoexcitation. As shown in Fig. 2, processes 1 and 2 account for almost all the PIPICO intensities in the Si:2p core excitation region.



where n.p. denotes neutral fragments.

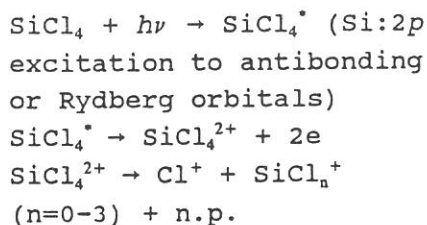
The obvious rise from 103.0 eV in  $I_{\text{tot-PIPICO}}/I_{\text{tot-photon}}$  is due to photoexcitation of the Si:2p electron to the  $\sigma^*$  ( $a_1$ ) orbital. This interpretation is based on the assignments of Si:2p absorption spectra of molecular SiF<sub>4</sub>.<sup>1</sup> Friedrich et al proposed that experimental position of the peak due to the photoexcitation of Si:2p<sub>3/2</sub> to  $\sigma^*$  ( $a_1$ ) is  $106.13 \pm 0.2$  eV.<sup>1</sup> This value is in good agreement with the maximum in the total PIPICO efficiency curve.



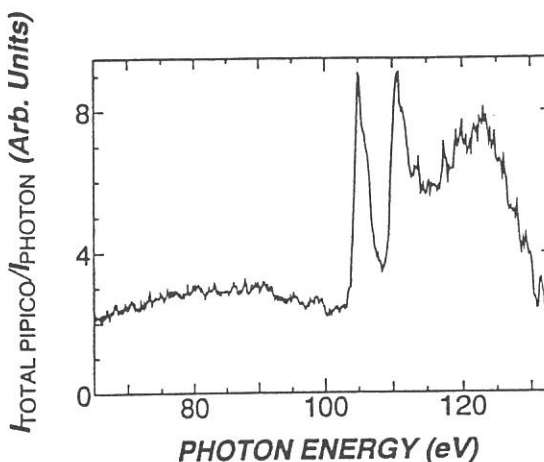
The second sharp peak in Fig. 1 corresponds to the photo-excitation of Si:2p to  $\sigma^*$  ( $t_2$ ), 4s, 3d, 4d, 5s and etc. converging to the Si:2p photoionization threshold.

The broad and strong peak above the threshold seen in the total PIPICO efficiency curve may be due to the backscattering of the excited electron by the Cl ligands, which gives rise to shape resonances above the threshold.<sup>1</sup> Fig. 2 account for the dissociation behaviors of doubly charged precursor ions in the Si:2p region as outlined below in Scheme 1.

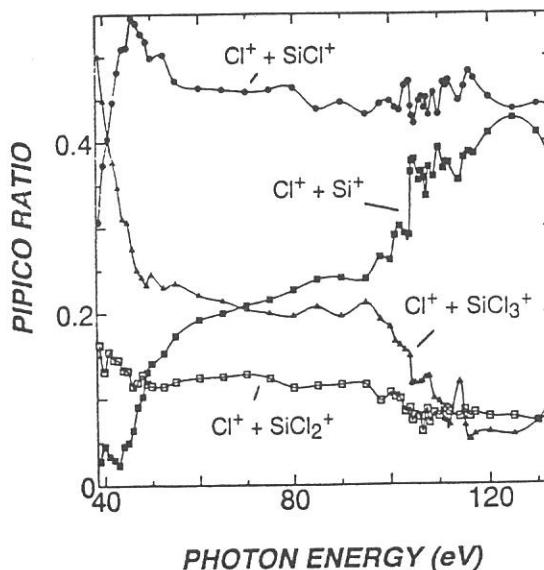
**Scheme 1.**



**Figure 1.** Total photo-ion-photoion coincidence efficiency curve of SiCl<sub>4</sub> in the range 65 - 133 eV.



**Figure 2.** Ratios of integrated intensities of Cl<sup>+</sup> - SiCl<sub>n</sub><sup>+</sup> (n=0-3) ion pairs in PIPICO spectrum to total double photoionization ( $I_{\text{PIPICO}}/I_{\text{tot-PIPICO}}$ ) in SiCl<sub>4</sub> as a function of photon energy.



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## ABSORPTION AND EMISSION SPECTRUM OF CS<sub>2</sub> MOLECULE

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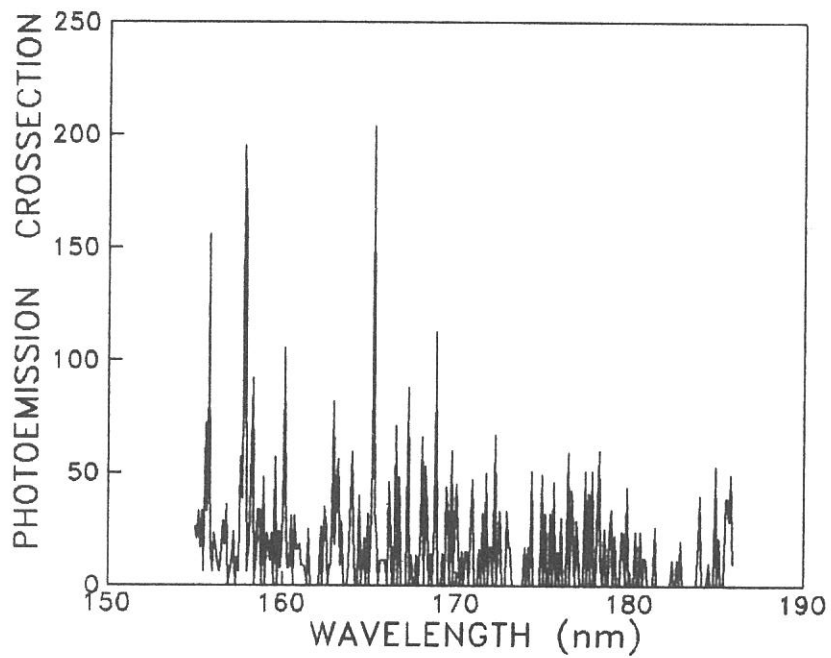
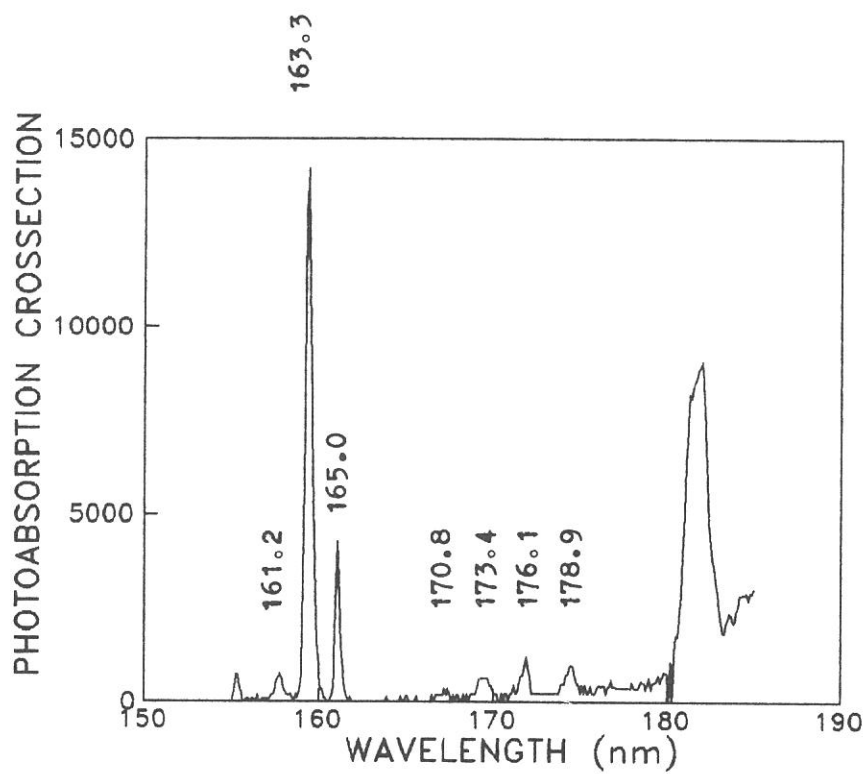
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Absorption and emission spectrum spectra of CS<sub>2</sub> molecule have been recorded in the wavelength region of 105-300 nm using continuum radiation from 600 Mev synchrotron radiation source (UVSOR), at the Institute of Molecular Science, Okazaki, Japan. The spectra were recorded at room temperature using a 10.9 cm gas cell with CS<sub>2</sub> vapour at 30 m.torr. A one meter Seya-Namioka monochromator was used as a premonochromator. Several band heads due to CS<sub>2</sub> could be identified in the absorption spectrum. The fluorescence spectrum could arise due to CS<sub>2</sub> molecule as well as photofragmented species of CS<sub>2</sub>. The spectrum in the region 160-180 nm is of interest because of lack of information. Emission spectrum as shown in fig.I has got more features than the absorption spectrum. These may correspond to the photofragmented species of CS<sub>2</sub>. A detailed analysis of the spectrum which will enable the identification of the emitters and the characterisation of the states involved in the transitions is under progress.



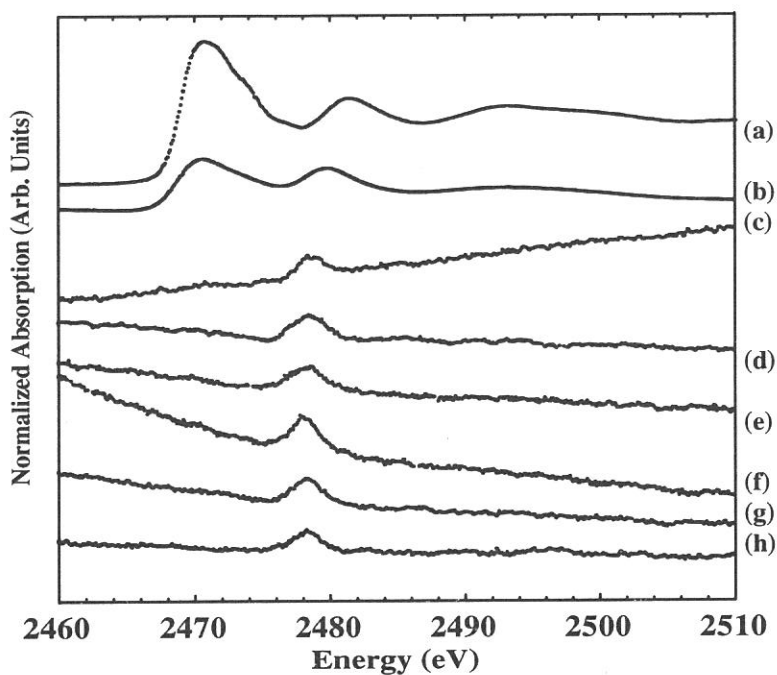
## Sulfur and Silicon K-Edges X-Ray Absorption Spectroscopy Studies of CdS Microcrystallites in Silicate Glasses

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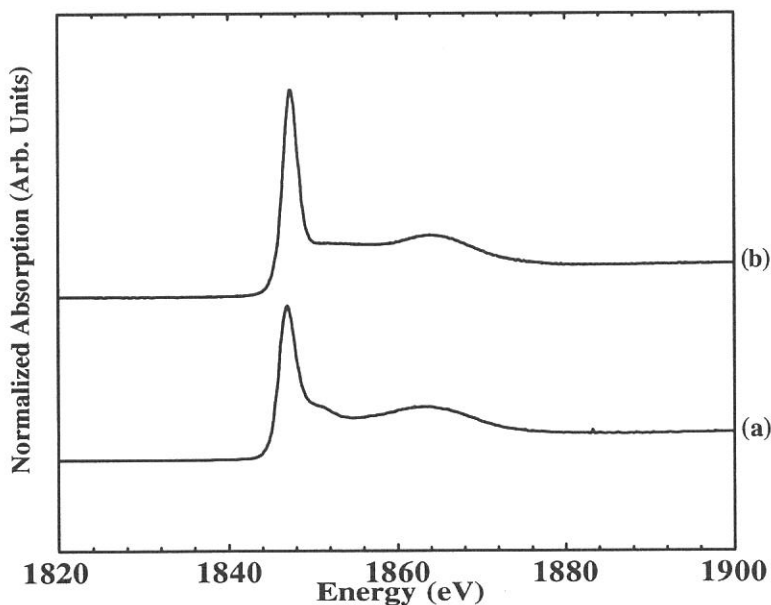
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We have measured the x-ray absorption spectra of sulfur and silicon K-edges for the CdS microcrystallites precipitated in silicate glasses. The samples were measured using total electron yield mode using the beamline 1A, and the data were taken using a double-crystal InSb (111) monochromator ( $2d = 7.481 \text{ \AA}$ ).

Our silicate glasses containing ~1 wt% dissolved-CdS have been prepared by melting and fast quenching. We then heat treated these quenched glasses which contain no CdS microcrystallites (CdS in glass, no MCG) under five different conditions (600C, 16hr; 640C, 1hr; 640C, 2hr; 640C, 4hr; 700C, 16hr) to obtain CdS microcrystallites in glasses (CdS MCG). A CdS powder (99.99 %) sample and a CdS thin-film grown on the Corning 7059 glass surfaces were also prepared as referential samples. Fig. 1 shows the sulfur K-edge spectra of these eight samples. Comparing with three near edge absorption peaks of the standard CdS powder spectrum, the central peak of CdS film on glass shifted ~1 eV toward low energy direction. All the CdS MCG and CdS in glass (no MCG) spectra show a weak single peak near the standard central peak. Fig. 2 shows the silicon K-edge spectra of CdS in silicate glass (no MCG) and CdS MCG (700C, 16hr). Detailed studies and data analyses are in progress.



**Figure 1:** The sulfur K-edge spectra of (a) CdS power, (b) CdS film on glass, and CdS microcrystallites in glasses under various heat treating conditions [(c) 700C,16hr; (d) 640C,4hr; (e) 640C,2hr; (f) 640C,1hr; (g) 600C,16hr; (h) as quenched glass].



**Figure 2:** The silicon K-edge spectra of (a) CdS dissolved in glass and (b) CdS microcrystallites over grown in glass.

## Si K-EDGE X-RAY ABSORPTION SPECTROSCOPY STUDIES OF Co SILICIDES

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Cobalt disilicide is one of the several transition metal silicides which have been considered for application as contact and interconnect metallization in the *very large scale integrated* (VLSI) circuits. Thin  $\text{CoSi}_2$  films can be formed by rapid thermal annealing (RTA) of Co films sputtered on a single-crystal Si(100) substrate. The kinetic of the formation of  $\text{CoSi}_2$  from  $\text{CoSi}/\text{Co}_2\text{Si}$  was followed by the reaction with the silicon, and the growth of  $\text{CoSi}$  and  $\text{Co}_2\text{Si}$  were created by the thin films of cobalt on silicon with RTA.

We have measured the *x*-ray absorption spectra of Si *K*-edge for the Co silicides at room temperature using the beamline 1A. The samples were measured using total electron yield mode with an electron multiplier under a base pressure of less than  $1 \times 10^{-7}$  torr, and the data was collected using a double-crystal InSb (111) monochromator. Fig. 1 shows the white line regions of the absorption spectra from thin films Si(100), Si/Co(<100 Å), Si/CoSi(600 Å)/ $\text{Co}_2\text{Si}$ (1500 Å), and  $\text{CoSi}_2$ (2560 Å). Preliminarily, the spectra has a first peak (labelled I) for all samples and a second prominent peak (labelled II) only for Si/CoSi(600 Å)/ $\text{Co}_2\text{Si}$ (1500 Å) and  $\text{CoSi}_2$ (2560 Å), which are believed to be attributed to the empty Si *p* states and hybridization of the Co *d* states with the Si *p* states, respectively.

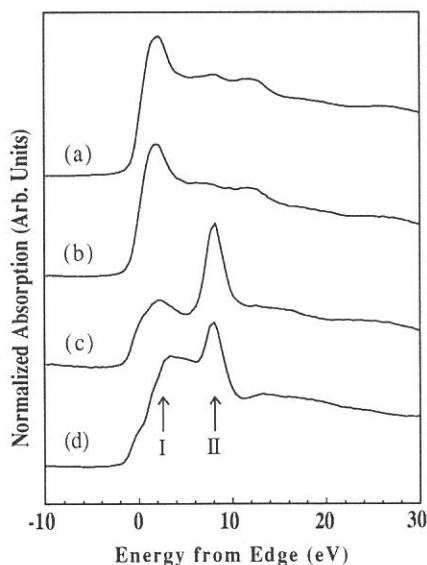


Fig. 1 Normalized Si *K*-edge absorption spectra for thin films (a) Si(100), (b) Si/Co(<100 Å), (c) Si/CoSi(600 Å)/ $\text{Co}_2\text{Si}$ (1500 Å), and (d) Si/CoSi<sub>2</sub>(2560 Å). The zero energy corresponds to 1839.3 eV.

## MO-SI MULTILAYER AS SOFT X-RAY MIRRORS FOR THE WAVELENGTHS AROUND 20 NM REGION.

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Molybdenum-silicon multilayer soft x-ray mirrors have been fabricated using a magnetron sputtering system. Their structures have been characterized by x-ray diffraction (XRD) and reflectivities at normal incidence have been measured by using monochromatized synchrotron radiation in the 18- 24 nm region. A normal incidence reflectivity as high as 40% at 20.8 nm was achieved.

In Fig. 1, the small-angle x-ray diffraction data obtained from a sample ( the sample number: m930111 ) is shown. The dynamic range is approximately five orders of magnitude. The number of Bragg peaks observed ( 10 peaks ) and their narrow widths indicate that the sample has a relatively well-defined multilayer structure. The estimate yields  $d = 11.01$  nm. The decrement of the effective real part of the index of refraction of the multilayer structure can also be evaluated, which can then be used to estimate  $\gamma$ , the ratio of the thickness of the Mo layer to the multilayer period:  $\gamma = (\delta - \delta_{Mo}) / (\delta_{Mo} - \delta_{Si})$ .  $\delta_{Mo}$  and  $\delta_{Si}$  are the decrements of the effective real part of the index of refraction of Mo and Si, respectively. The estimated  $\gamma$  for this sample is 0.21

Figure 2 shows the variation of the reflectivity of s-polarized soft x-ray lights measured from the sample of m930109. Figure 3 is the wavelength-scan of the reflectivity from 18 to 24 nm at the incidence angle of 2.5°. The solid line is the calculation using the parameters found in XRD with the assumption of abrupt and smooth interfaces. Dots are the measured reflectivity. The calculation and the measurement were done with the s-

polarized incident light. The calculation uses the characteristic matrix algorithm that was originally developed by Abeles

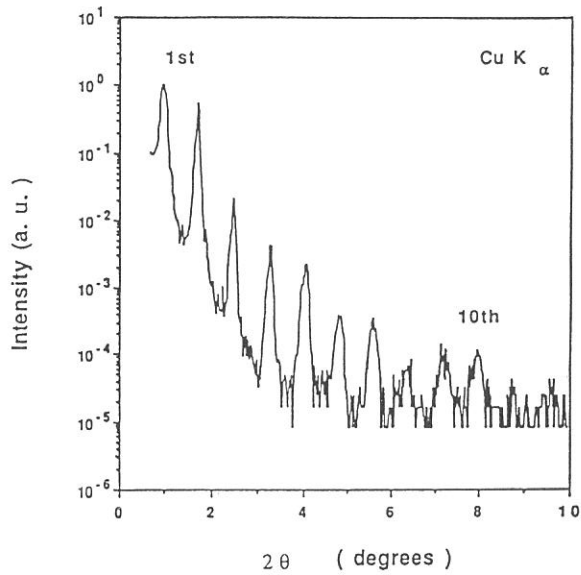


Figure 1 X-ray diffraction data with Cu K $\alpha$  characteristic x-ray ( 0.154 nm )

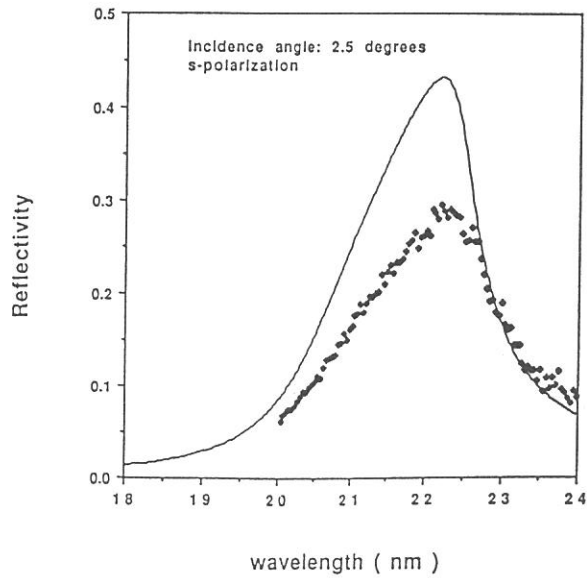


Figure 2 Reflectivity at the incidence angle of 2.5° from 18 to 24 nm



## Photoemission Studies of Na Adsorption on Ge(111)-c(2×8) Surface

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Metal/semiconductor interface has been one of great interest related to Schottky barrier formation and oxidation enhancements. As a special case, Si(111) and Ge(111) show (3×1) ordered structure when alkali metal is adsorbed and the substrate is annealed. One of the surprising properties for this surface is passivation to oxidation contrary to the common trend that alkali metals on semiconductor enhance the oxidation due to charge transfer to substrate. In this work, the absolute coverage of the alkali metal and bonding nature between alkali metal and substrate were investigated by applying the core-level and angle-resolved photoemission spectroscopy (ARPES).

The experiments were conducted at BL6A2 covering the photon energy 10 eV to 130 eV by a plane grating monochromator. The UHV chamber (base pressure of  $2 \times 10^{-10}$  Torr) was equipped with a LEED optics, AES, and hemispherical analyser for angle resolved photoemission. During the photoemission the overall energy resolution was 0.15~0.2 eV. Ge(111) wafer was cleaned by repeated cycles of Ar<sup>+</sup> sputtering and annealing, so to observe the c(2×8) LEED pattern. The (3×1) reconstruction was achieved by deposition of Na through the thermal dispenser cell and subsequent annealing at about 400°C for 10 seconds.

During the Na evaporation, the actual coverage was determined by the change of secondary electron cutoff in photoemission spectrum. In Fig.1 shown the change of the work function *vs.* Na evaporation time. The structural transformation to (3×1) was done around 1/3 of saturated Na ( $\Delta\phi = -0.85 \sim -1.0$  eV) and the (1×1) appeared at slightly below half the saturation coverage.

In Fig. 2 and 3, ARPES spectra using  $h\nu = 21.1$  eV photon are shown for Na/Ge(111)-3×1 surface as a function of polar angle. In both directions  $\bar{\Gamma} - \bar{K}$  [ $1\bar{1}0$ ] and  $\bar{\Gamma} - \bar{M}$  [ $1\bar{1}2$ ] of (1×1) surface Brillouin zone, surface states  $S_1$  and  $S_2$  can be seen at  $E_b = 0.35 \sim 0.8$  eV and  $1.0 \sim 1.4$  eV respectively. They are Na-induced surface states similar to the adatom and rest-atom surface states of the clean Ge(111)-c(2×8).

In Fig. 4 is shown the deconvoluted core-level spectra with superposition of bulk and surface emission in binding energy scale referred to bulk Ge 3d<sub>5/2</sub>. In the bottom curve of the Fig. 4, well known surface core-level components

are at 0.25 eV and 0.71 eV lower binding energy relative to bulk. On the center spectrum of  $(3\times 1)$ , two surface components are located at 0.22 eV and 0.59 eV, which are shifted to higher binding energy. It is opposite direction from what is assumed by electronegativity difference between Na and Ge atom. In simple charge transfer model, it represents a predominant character of covalent bonding in this surface. Comparing the upper spectrum of clear  $(1\times 1)$  surface, the intensity of Na 2p of  $(3\times 1)$  is about 1/3 ML.

The true structure of  $(3\times 1)$  surface is not known at this stage and further studies are necessary. However, the study of bonding nature and coverage-determination in this report can be a contribution to solve the properties of the  $(3\times 1)$  reconstructed surface.

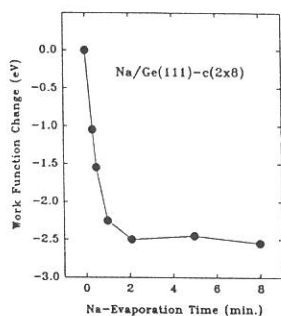


Fig. 1

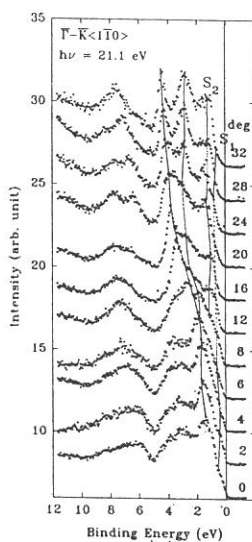


Fig. 2

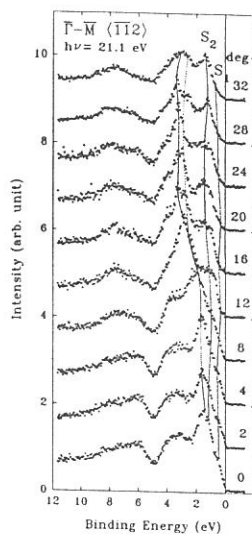


Fig. 3

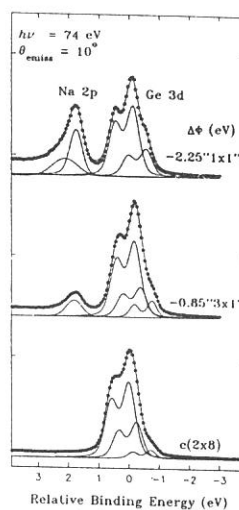


Fig. 4

# Effect of Quenching Processes on Fluorescence Decay Curves of Barium Fluoride Excited by VUV Synchrotron Radiation

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The mechanism of the fast UV luminescence of some ionic crystals as a radiative transitions between valence and upper core bands was introduced at the first time for BaF<sub>2</sub> about ten years ago.<sup>1</sup> It was shown recently that the nanosecond decay kinetics of the most crystals with this type of luminescence was nonexponential<sup>2,3</sup> and depend on the excitation energy in XUV region.<sup>2,4</sup> We assume nonexponential decay due to at least two mechanisms of quenching depending on the excitation manner: the surface losses and the quenching due to the interaction of the core hole with the closely spaced electronic excitations.

Luminescence measurements were performed using BL3A2 beamline, equipped with a constant-deviation grazing-incidence type monochromator covering the VUV energy range from 12 to 100 eV.

In order to investigate the role of different types of quenching we have studied the decay curves of the BaF<sub>2</sub> (220 nm emission band) excited by photons with different energies (from 22 to 90 eV; Fig. 1, 2a) and at different angles of incidence (from 12° to 87°; Fig. 2b). All curves are normalised and shifted at their maximum. The kinetics is nonexponential for all energies. The deviation from the single exponential law increases with energy above 30 eV. In this energy region the BaF<sub>2</sub> absorption coefficient decreases<sup>5</sup> from 5·10<sup>5</sup> (for 30 eV) to 1.5·10<sup>5</sup> cm<sup>-1</sup> (for 90 eV). These curves demonstrate the role of the quenching due to the increase of the mean number of secondary excitations. For excitation energies below 30 eV only the primary electron can interact with the core hole. This type of quenching should not be very intensive. Fig. 2b demonstrates the angle dependence of the quenching due to decrease of the penetration depth. Figure 3 presents the stationary fluorescence excitation spectrum and the quenching function  $Q(t) = -\ln(I(t)/I_0(t))$  (at  $t=3$  ns after the response maximum) calculated from the decay curves for different energies. The excitation spectrum is normalised by the sodium salicylate excitation efficiency. The dips in the excitation spectrum  $\eta(h\nu)$  are strongly correlated with the peaks of  $Q(t)$  in accord with the relation  $\eta(h\nu) = \tau^{-1} \int \exp(-Q(t)-t/\tau) dt$ , where  $\tau$  is the radiation time. In order to compare these spectra with optical functions we present the absorption spectrum from the paper of Tarrío *et al.*<sup>5</sup>

The present investigations demonstrate pure role of two different mechanisms of the luminescence quenching (the surface quenching and the energy transfer to the excitations produced by the same photon). The role of these mechanisms seems to be general for most of fast luminescent crystals, and further study of these effects will allow to construct the detailed models of the quenching processes in ionic crystals.

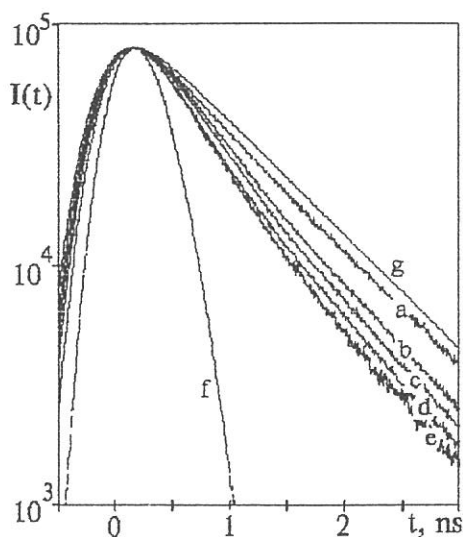


Fig.1 BaF<sub>2</sub> fluorescence decay curves for different excitation energies: (a) - 30 eV; (b) - 40 eV; (c) - 52 eV; (d) - 70 eV; (e) - 90 eV; (f) - excitation profile; (g) -  $I_0(t)$ , i.e. the single exponential decay curve ( $\tau=0.92$  ns) convoluted with the excitation profile. The radiation time  $\tau$  is determined by fitting the asymptotic behavior of the slowest decay curve (a).

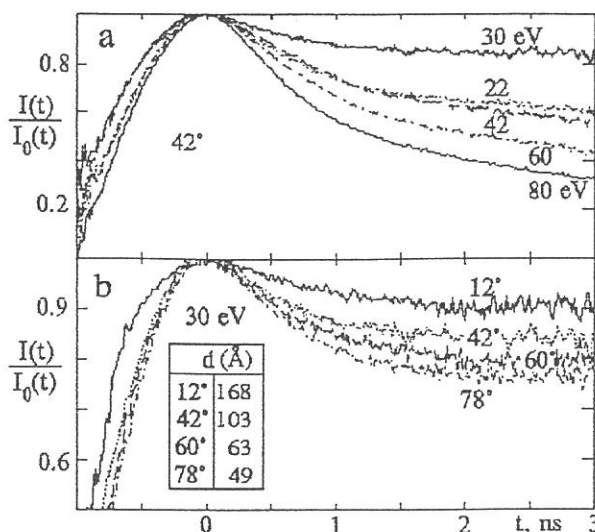


Fig.2 The ratio  $I(t)/I_0(t) = \exp(-Q(t))$  for BaF<sub>2</sub> at different excitation energies: (a) - 30 eV, 22 eV, 42 eV, 60 eV, 80 eV; 42°, and different incidence angles: (b) - 30 eV; 12°, 42°, 60°, and 78°. The values of the penetration depth  $d$  are presented in the figure.  $I_0(t)$  is the convolution shown in Fig. 1, curve g.

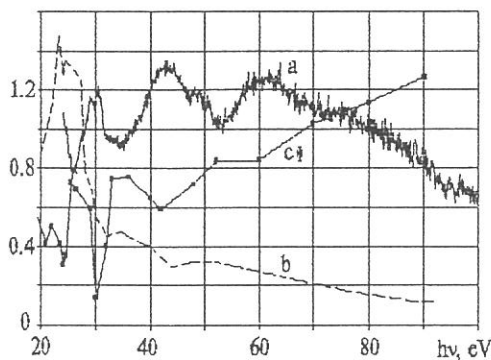


Fig. 3 (a) - BaF<sub>2</sub> stationary fluorescence excitation spectrum relative to sodium salicylate (arb. un.); (b) - BaF<sub>2</sub> absorption coefficient ( $\times 10^{-6} \text{ cm}^{-1}$ )<sup>5</sup>; (c) - quenching function  $Q(t)$  at  $t=3$  ns after the maximum of the curve.

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## DISSOCIATION DYNAMICS OF CH<sub>4</sub><sup>+</sup> CORE ION IN THE <sup>2</sup>A<sub>1</sub> STATE

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Threshold-photoelectron photoion coincidence (TPEPICO) spectra of CH<sub>4</sub> have been observed with synchrotron radiation at the excitation to the <sup>2</sup>A<sub>1</sub> ( $v_1 = 0 - 3$ ) ionic states as well as to the  $4pt_2$  Rydberg ( $v_1 = 0 - 4$ ) states. In all the TPEPICO spectra observed, the CH<sub>3</sub><sup>+</sup> band shape is almost rectangular, this fact indicating that the translational and internal energy distributions of CH<sub>3</sub><sup>+</sup> are very narrow. The total kinetic energy releases (KERs) have been estimated from the CH<sub>3</sub><sup>+</sup> band shape. As a result, it has been found that the CH<sub>3</sub><sup>+</sup> species is in an electronically excited state.<sup>1</sup> From the narrow distributions of the total KERs and the similarity in the TPEPICO CH<sub>3</sub><sup>+</sup> band shapes between the spectra at the <sup>2</sup>A<sub>1</sub> ionic state and the  $4pt_2$  Rydberg state excitations, it has been found that the Rydberg electron is just a spectator and the dissociation of the core ion plays an important role in the dissociation through the  $4pt_2$  Rydberg state. Similar results have also been obtained for CH<sub>2</sub><sup>+</sup> and CH<sup>+</sup> productions.

Figure 1 shows H<sup>+</sup> bands observed in the TPEPICO spectra. The H<sup>+</sup> fragment has been observed only at the <sup>2</sup>A<sub>1</sub> state excitation, showing a band with a long tail in the slower flight time region. The total average KERs and the decay rates have been estimated from band shape simulation, and they are summarized in Table I. From these results, it has been found that a dissociation limit of the H<sup>+</sup> ion exists just below the <sup>2</sup>A<sub>1</sub> ionic state. In contrary to the case of the CH<sub>3</sub><sup>+</sup> production, the H<sup>+</sup> production mechanism at the <sup>2</sup>A<sub>1</sub> ionic state excitation is essentially different from that of H\* production at the  $4pt_2$  Rydberg state excitation.

1. Y. Sakai, K. Furuya, T. Takayanagi, and K. Kimura, *Ann. Rev. (IMS)*, p.94 (1992).

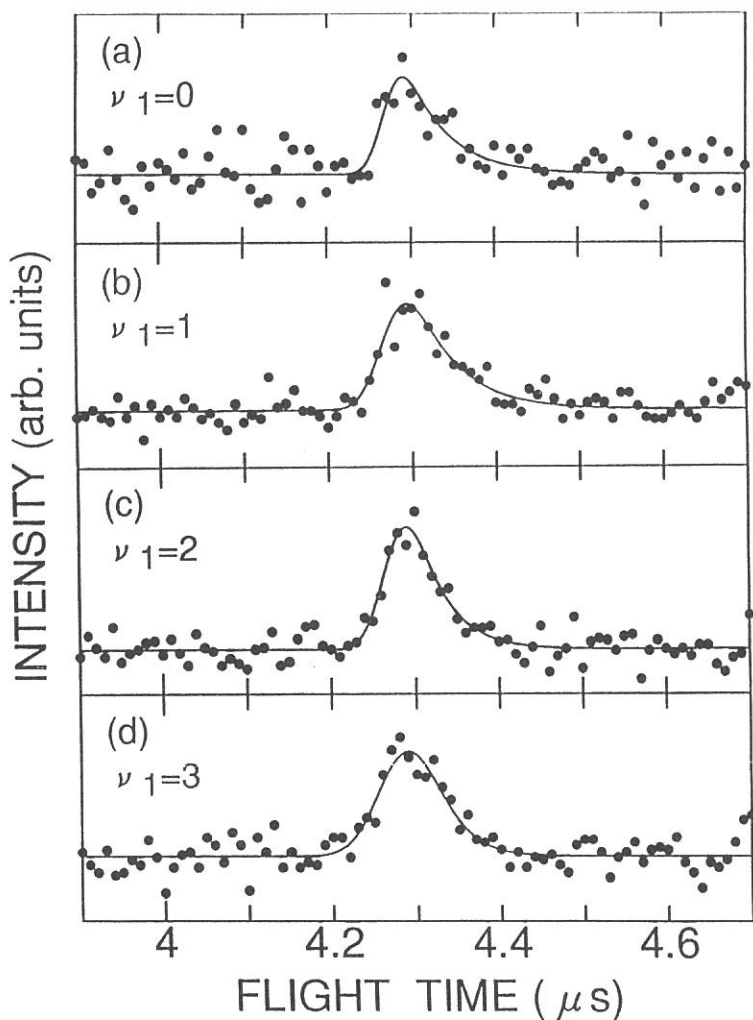


Fig. 1  $H^+$  band shapes in  $CH_4$  TPEPICO spectra observed in the  $^2A_1$  state region (dots). The simulated spectra are indicated by solid lines.

TABLE I. FWHMs, average KERs, and decay rates estimated from the  $H^+$  band shapes in TPEPICO spectra of  $CH_4$

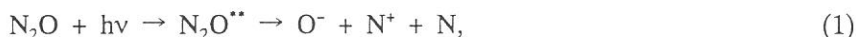
$\nu_1$	FWHM(ns)	average KER (meV)	decay rate ( $\mu s^{-1}$ )
0	38	40	19
1	53	78	19
2	50	70	26
3	74	150	34

# OBSERVATION OF DOUBLY-EXCITED RYDBERG STATES OF N<sub>2</sub>O BY POSITIVE ION-NEGATIVE ION COINCIDENCE SPECTROSCOPY

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Ion-pair formation processes of N<sub>2</sub>O are studied in detail using synchrotron radiation to observe superexcited states N<sub>2</sub>O<sup>\*\*</sup> lying in the 24–34eV excitation energy range. Positive ion-negative ion coincidence (PINICO) spectroscopy makes it possible to distinguish dissociation into three bodies,



from dissociation into two bodies,



Figure 1 shows the photodissociation efficiency curves for the two processes at 24–34eV. The efficiency curve for process (2) rapidly falls with the increase of the energy, while that for process (1) makes a maximum at about 27.6eV and then gradually fades out with showing some peak structures. Sum of the efficiencies for processes (1) and (2), which is considered to be proportional to a total O<sup>-</sup> yield, accords well with the previous result.<sup>1)</sup> This suggests that the detection efficiency of the ion pair is nearly the same for processes (1) and (2). It is, thus, concluded that the three-body dissociation is dominant in the ion-pair formation from N<sub>2</sub>O above 25.3eV.

Several resonancelike peaks are observed in the efficiency curves in Fig.1. Peaks appearing in the two curves are numbered from 1 to 15. The positions of the ionic states with multiple electron being excited (multiple electron transitions: MET) obtained from PES data<sup>2)</sup> are also indicated. It is highly likely that most of the peaks are ascribed to transitions to superexcited states with double-electron excitation, judging from the electronic configurations of METs II [(2π)<sup>-2</sup>(3π)<sup>1</sup> 2Π] and III [(1π)<sup>-1</sup>(2π)<sup>-1</sup>(8σ)<sup>1</sup> 2Σ],<sup>3)</sup> which exist in this particular energy region. There are a number of doubly-excited Rydberg states in which an electron is promoted to an unfilled valence orbital and another electron to a Rydberg orbital. Excitation energy E<sub>R</sub> for the Rydberg state is related to the vertical ionization potential E<sub>I</sub> for the corresponding doubly-excited ionic state by the Rydberg

formula

$$E_R = E_I - R/(n-\delta)^2, \quad (3)$$

where  $R$  and  $n$  are the Rydberg constant and the principal quantum number, respectively. Comparing  $E_R$  obtained using eq.(3) with the peak energies in Fig.1, we will make tentative assignments of Peaks 1–15. Analysis of the shape of coincidence signals show that the three-body dissociation  $O^-+N^++N$  results from a transition to a superexcited state with  $^1\Sigma^+$  symmetry. With this symmetry restriction, possible configurations of the involved Rydberg states converging to MET II are  $(2\pi)^{-2}(3\pi)^1(np\pi)^1\ ^1\Sigma^+$  and  $(2\pi)^{-2}(3\pi)^1(nd\pi)^1\ ^1\Sigma^+$ . As shown in Fig.2, the positions of Peaks 5–8 are in fair agreement with those expected from  $E_R$  values for several doubly-excited Rydberg states.

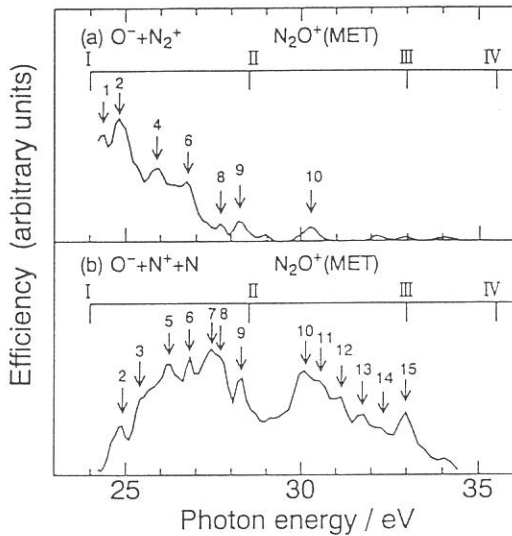


Fig.1 Photodissociation efficiency curves for the ion-pair formation from  $N_2O$  as a function of the photon energy. (a) the two-body dissociation  $N_2O+h\nu\rightarrow O^-+N_2^+$  and (b) the three-body dissociation  $N_2O+h\nu\rightarrow O^-+N^++N$ .

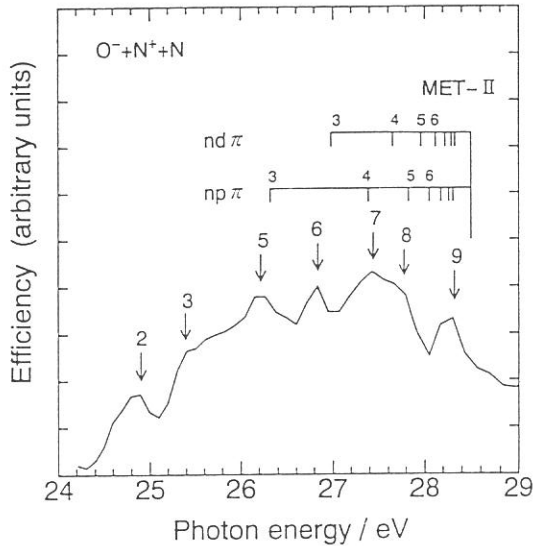


Fig.2 Photodissociation efficiency curve for the three-body dissociation  $N_2O+h\nu\rightarrow O^-+N^++N$  in the photon energy of 24–29eV.

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## Fragmentation of short-lived $\text{CO}_2^+$ in van der Waals molecules

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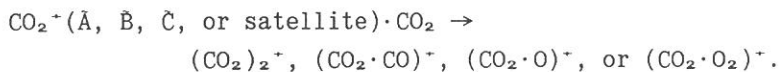
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Photoionization and following ionic fragmentation of a molecule in a framework of van der Waals (vdW) complex gives the opportunity to study ion-molecule reaction as a "half-process". This framework of vdW-complex enables to examine the reactions involving short-lived species,<sup>1)</sup> which is difficult in a "full" collision experiment. We show ionic fragmentation of  $\text{CO}_2^+$  in a vdW complex.

A vdW complex of  $\text{CO}_2$  is produced by a skimmed expansion of a pure  $\text{CO}_2$  or Ar/ $\text{CO}_2$  (1:1 ratio) mixture gas (0.4 or 1 atm. back pressure) through 100  $\mu\text{m}\phi$ -aperture.  $\text{CO}_2$  in a vdW complex is ionized by the absorption of synchrotron radiation of the wavelength  $\lambda$  from a 1m Seya-Namioka monochromator at BL-2B2. In a "full-process", since excited ions are attenuated before a collision due to optical relaxation for  $\text{CO}_2^+(\text{A and B states})$ <sup>2)</sup> and the predissociation for  $\text{CO}_2^+(\text{C and satellites})$ ,<sup>3)</sup> the ground-state ion is only possible as a projectile of full-collision. The aim of this work is to make a "half-collision" experiment of these short-lived species with  $\text{CO}_2$ . Following "intramolecular" charge-transfer reactions of  $\text{CO}_2^+ + \text{CO}_2$  is examined by a mass-spectrometric analysis of product ions using a quadrupole mass filter,

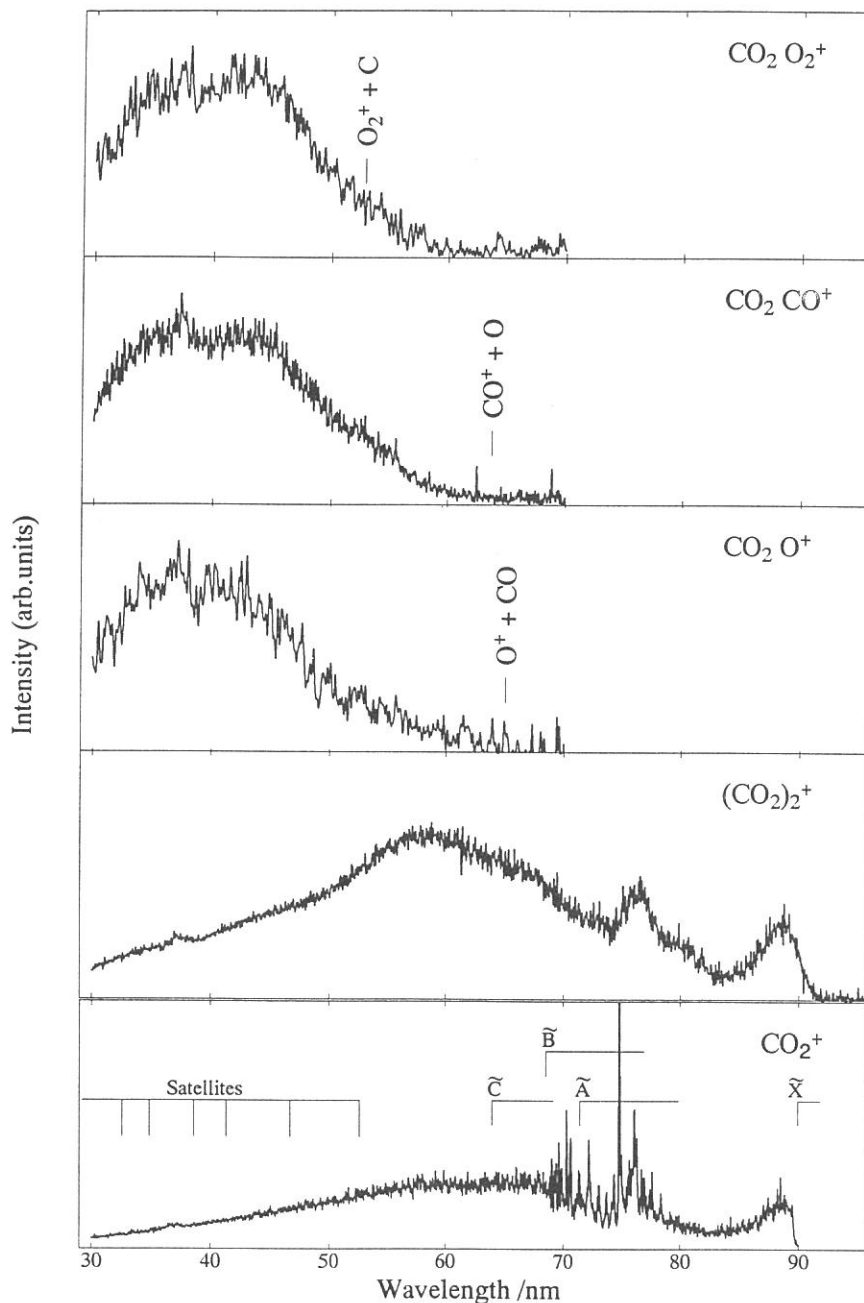


Photoionization efficiencies (PIE's) for these ions were measured as a function of  $\lambda$  for  $30 < \lambda < 95$  nm, which are shown in Fig.1 together with the PIE for  $\text{CO}_2^+$ .

The PIE of  $\text{CO}_2^+$  clearly shows autoionization structures due to Rydberg states converging onto  $\text{A}$ ,  $\text{B}$ , and  $\text{C}$  ions. However, most of these structures are diminished for complex ions. Dehmer<sup>4)</sup> observed the same trend with higher resolution in  $\lambda$ .

The PIE's for  $(\text{CO}_2\text{O})^+$ ,  $(\text{CO}_2\text{CO})^+$ , and  $(\text{CO}_2\text{O}_2)^+$  show different  $\lambda$ -dependence from those for  $\text{CO}_2^+$  and  $(\text{CO}_2)_2^+$  parent ions. It is probable that the dissociative ions are originated from highly excited predissociating ions, such as  $\text{CO}_2^+(\text{C or satellites})$ . However,  $\lambda$ -dependence of the present PIE's is not similar to those for  $\text{O}^+$ ,  $\text{CO}^+$ , or  $\text{O}_2^+$  in dissociative photoionization of free  $\text{CO}_2$ . Also the PIE's increase very weakly above the  $\text{CO}_2^+(\text{C})$  ionization threshold, which makes

a contrast to the steep onset structures in the PIE's for  $O^+$ ,  $CO^+$ , or  $O_2^+$ . It is expected that ionization onto satellites of  $CO_2$  in a framework of vdW complex mainly yields these dissociative ions.



**Fig.1**

PIE curves for  $CO_2^+$ ,  $(CO_2)_2^+$ ,  $(CO_2O)^+$ ,  $(CO_2CO)^+$ , and  $(CO_2O_2)^+$

<sup>1</sup>M.Ukai et al., *Chem.Phys.Lett.* **167**,334(1990); <sup>2</sup>M.Ukai et al., *J.Chem. Phys.* **97**,2835(1992); <sup>3</sup>J.H.D.Eland & J.Berkowitz, *J.Chem.Phys.* **67**,2782 (1977); <sup>4</sup>P.M.Dehermer *J.Chem.Phys.* **83**,24(1985).

SITE-SPECIFIC FRAGMENTATION FOLLOWING Si:2p CORE-LEVEL  
PHOTOEXCITATION OF F<sub>3</sub>SiCH<sub>2</sub>Si(CH<sub>3</sub>)<sub>3</sub> IN THE VAPOR PHASE

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In recent years, relaxation processes following core excitation in molecules have been a topic of much interest. We have investigated site-specific fragmentation following photoexcitation of (trifluorosilyl)(trimethylsilyl)methane (F<sub>3</sub>SiCH<sub>2</sub>Si(CH<sub>3</sub>)<sub>3</sub>, FSMSM) in the range of Si:2p excitation by means of the photoelectron-photoion and photoion-photoion coincidence methods (PEPICO and PIPICO methods, respectively).

The experiments were performed using a time-of-flight spectrometer with variable path length, coupled to a constant-deviation grazing incidence monochromator installed on the BL3A2 beam line of the UVSOR synchrotron radiation facility in Okazaki.<sup>1</sup>

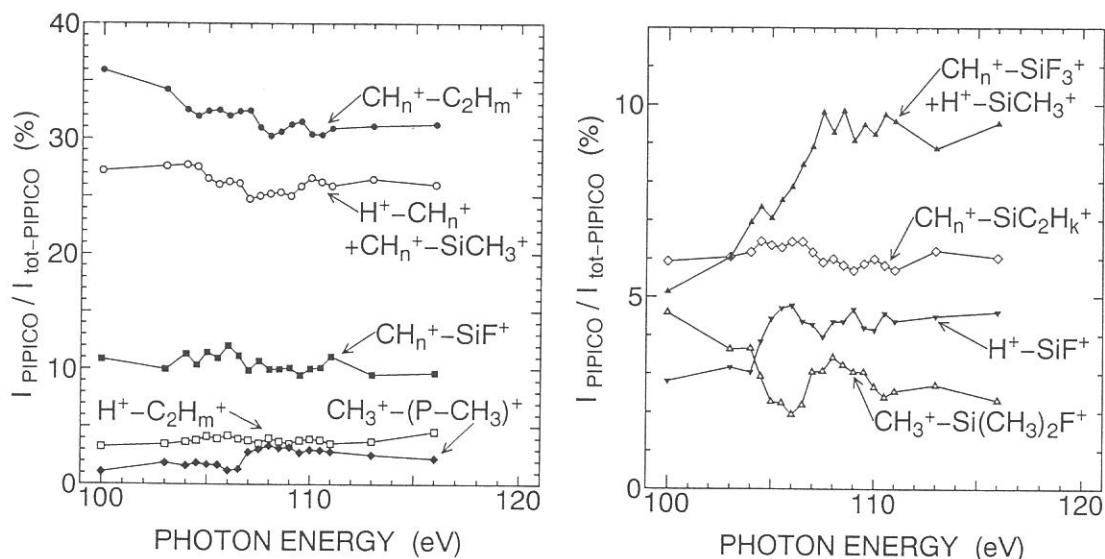
The total photoionization efficiency curve of FSMSM has one broad peak near the 2p core-ionization threshold of the silicon atom. The site-specific fragmentation following the Si:2p core-level photoexcitation of FSMSM can be seen by means of the PIPICO technique. Figure 1 shows plots of the ratios of the

integrated intensities of the various ion pairs in the PIPICO spectrum to the total double-photoionization ( $I_{\text{PIPICO}}/I_{\text{tot-PIPICO}}$ ) as a function of photon energy. It is seen that there are two thresholds for  $I_{\text{PIPICO}}/I_{\text{tot-PIPICO}}$  (104.5 and 107 eV).

It is concluded that the relative yields of  $\text{H}^+-\text{SiF}^+$  and  $\text{CH}_n^+-\text{SiF}_3^+$  ( $n = 1-3$ ) ion pairs are enhanced around 104.5 eV, and those of  $\text{CH}_3^+-\text{F}_3\text{SiCH}_2\text{Si}(\text{CH}_3)_2^+$  and  $\text{H}^+-\text{SiCH}_3^+$  are enhanced around 107 eV. The former and latter thresholds are likely to correspond to the Si:2p core-level photoexcitation at the Si atom bonded to three F atoms and at Si bonded to three methyl groups, respectively. The fragmentation occurs selectively around the Si atom where the photoexcitation takes place.

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Fig. 1  $I_{\text{PIPICO}}/I_{\text{tot-PIPICO}}$  in FSMSM as a function of photon energy.  $(\text{P}-\text{CH}_3)^+$  refers to  $\text{F}_3\text{SiCH}_2\text{Si}(\text{CH}_3)_2^+$ .



# Dissociation Dynamics of $\text{NF}_3$ Studied Using PEPICO and PIPICO Technique in the Vacuum Ultraviolet

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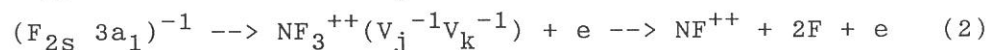
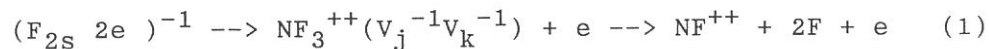
<sup>b</sup>UVSOR, Institute for Molecular Science, Myodaiji, Okazaki 444

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Dissociative single and double photoionization processes of  $\text{NF}_3$  have been studied by use of photoelectron-photoion coincidence (PEPICO) and photoion-photoion coincidence (PIPICO) technique in the photon energy range of 32-130 eV.

The branching ratios of product ions and PIPICO ratios were measured as a function of the incident photon energy. Figure 1 shows that the threshold for the formation of  $\text{F}^+$  is located around 32 eV and that the  $\text{N}^+$  formation has a maximum at about 40 eV, then decreasing as the incident photon energy increases. Figure 2 shows that a metastable molecular dication  $\text{NF}^{++}$  is observed in the photon energy range  $h\nu < 40$  eV. The threshold is lower than the value (43.8 eV) determined using electron impact ionization mass spectrometry.[1]

There are two possible photoexcitation processes responsible for the formation of doubly ionized  $\text{NF}_3$  via normal Auger process, in the photon energy range 40-50 eV.



The ionization thresholds for  $(\text{F}_{2s} 2e)^{-1}$  and  $(\text{F}_{2s} 3a_1)^{-1}$  have been estimated as 39.62 eV and 43.06 eV, respectively by XPS study [2]. Then, the energy threshold for the  $\text{NF}^{++}$  formation observed here below 40 eV, may indicate that the process (1) is

responsible for the  $\text{NF}^{++}$  formation near the threshold.

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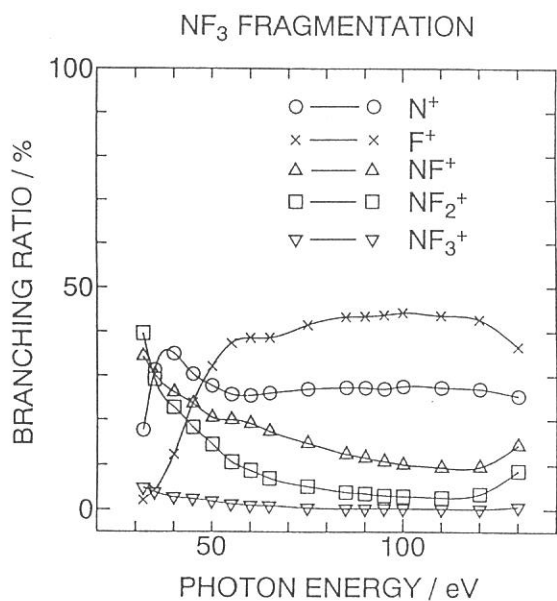


Figure 1. The branching ratios of the product ions for single and double ionization of  $\text{NF}_3$  (major product ions)

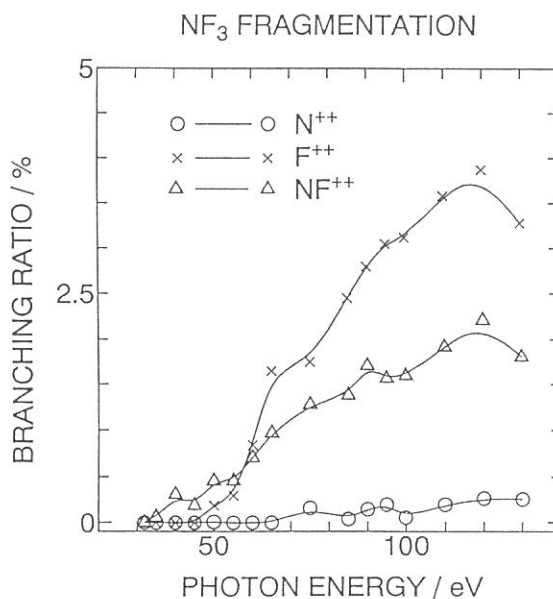


Figure 2. The branching ratios of the product ions for single and double ionization of  $\text{NF}_3$  (minor product ions)

# Single-, Double-, and Triple-Photoionization Cross Sections of Carbon Monoxide (CO) and Ionic Fragmentation of $\text{CO}^+$ , $\text{CO}^{2+}$ , and $\text{CO}^{3+}$

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Single-, double-, and triple-photoionization processes of carbon monoxide (CO) have been studied in the photon-energy region of 37-100 eV by use of time-of-flight mass spectrometry and a photoion-photoion-coincidence method together with synchrotron radiation.<sup>1</sup> The single-, double-, and triple-photoionization cross sections of CO are determined by a newly developed method.<sup>2</sup> The results are shown in Fig. 1 as a function of photon energy. The total cross section is from Ref. 3. It is emphasized that the double photoionization cross section shown in Fig. 1 includes both the dissociative and nondissociative processes of the precursor  $\text{CO}^{2+}$ . As for the ratio of  $\sigma^{2+}/\sigma^+$ , Lablanquie et al.<sup>4</sup> reported the value of about 0.25 at 80 eV from their photoionization data measured with synchrotron radiation. Becker et al.<sup>5</sup> have estimated the ratio in the region of 50-120 eV from their photoelectron spectra. If 10 % uncertainty is assumed at 90 eV in the present data, excellent agreement can be found among the three sets of data.

The threshold for molecular double photoionization was found to be  $41.3 \pm 0.2$  eV. The threshold for the dissociative triple photoionization was observed at  $82 \pm 2$  eV, which is in close agreement with  $81 \pm 2$  eV reported by Lablanquie et al.<sup>4</sup> The cross section for triple photoionization is also in good agreement with that reported by Lablanquie et al.<sup>4</sup>

Ion branching ratios and the partial cross sections for the individual ions respectively produced from the precursors  $\text{CO}^+$  and  $\text{CO}^{2+}$  are determined separately at excitation energies where the molecular and dissociative single- and double-photoionization processes compete. The ion branching ratios for the  $\text{CO}^{2+}$  precursor separately determined from those for  $\text{CO}^+$  are shown in Fig. 2. The  $\text{C}^+ + \text{O}^+$  ion-pair formation is dominant because of Coulomb repulsion between two positive holes. However, the metastable  $\text{CO}^{2+}$  ion is also observed due to the presence of attractive chemical forces at smaller internuclear distances. The charge-localized dissociation of  $\text{CO}^{2+}$  forming  $\text{C}^{2+}$  and  $\text{O}^{2+}$  becomes appreciable at higher excitation energies, where two electrons in the atomic orbitals of carbon or oxygen are ejected.

Only the  $\text{C}^+ + \text{O}^+$  ion pair is produced in double photoionization in the 38.4-41.3-eV region, which is below the appearance potential of the molecular  $\text{CO}^{2+}$  ion. Lablanquie et al.<sup>4</sup> proposed an indirect process that highly excited  $\text{CO}^{++}$  states (Rydberg states converging to the  $\text{CO}^{2+}$  states) autoionize to the ionization continuum of the lowest dissociative  $^3\Sigma^-$  state of  $\text{CO}^{2+}$  at large internuclear distances. Just above the threshold of  $\text{CO}^{2+}$ , the ion branching ratio for  $\text{CO}^{2+}$  increases sharply. In this energy region, the  $1^1\Sigma^+$ ,  $1^3\Pi$ ,  $1^1\Pi$ ,  $1^3\Sigma^+$ , and  $2^1\Sigma^+$  states of  $\text{CO}^{2+}$  exist<sup>6</sup> and predissociation of these states via the  $1^3\Sigma^-$  state is expected to be slow.<sup>7</sup> Because these  $\text{CO}^{2+}$  states produce the molecular  $\text{CO}^{2+}$ , a peak is formed in the ion branching ratio for  $\text{CO}^{2+}$  around 45 eV.

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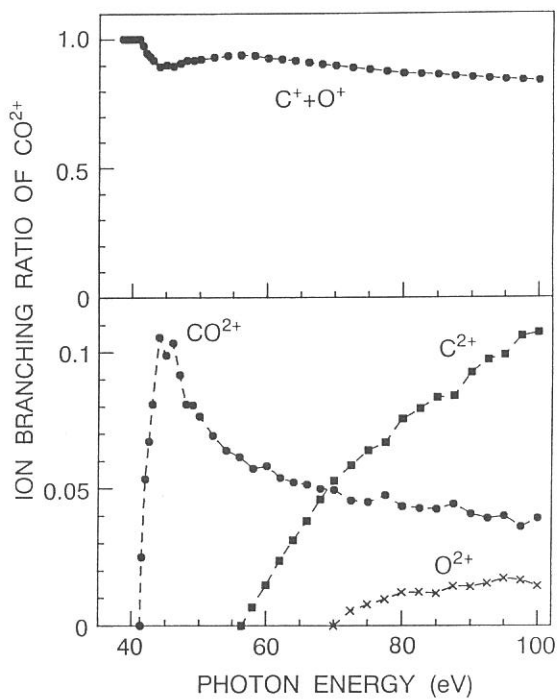
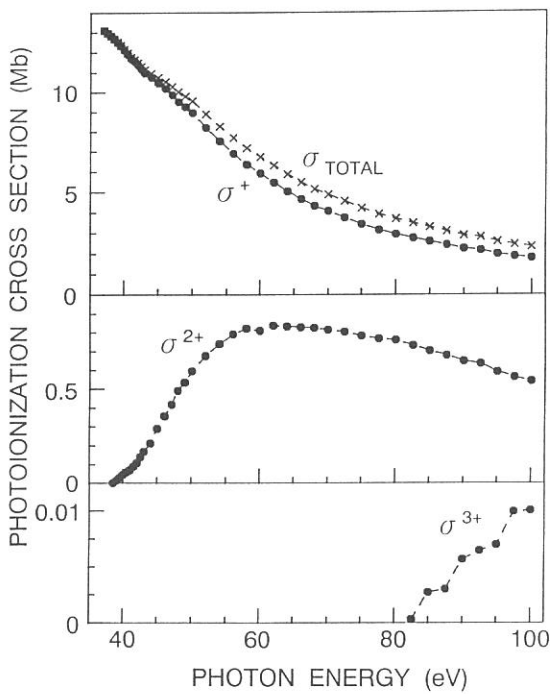


FIG. 1 Cross sections for single, double, and triple photoionization of CO. The total cross section is from Ref. 3.

FIG. 2 Ion branching ratios of double photoionization of CO. For details, see Ref. 1.



## Kinetic-Energy Release in the Dissociation of $\text{CO}^{2+}$

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Kinetic-energy release distributions of the fragment ions ( $\text{C}^+$  and  $\text{O}^+$ ) produced in dissociative double photoionization of carbon monoxide have been determined by analyzing photoion-photoion coincidence (PIPICO) spectra measured in the region  $h\nu=39\text{-}100$  eV by use of a time-of-flight mass spectrometer and synchrotron radiation. At low excitation energies (39-46.5 eV), low kinetic-energy components were observed, which cannot be explained by a simple framework whereby a doubly charged molecular ion is directly produced upon single photon absorption which then dissociates into two ionic fragments. The majority of the ion pairs are produced through indirect processes, in which highly excited  $\text{CO}^{2+}$  and  $\text{CO}^{2+}$  (double Rydberg) converging to the  $\text{CO}^{2+}$  electronic states autoionize before and after dissociation.

The KERDs of the  $\text{C}^+\text{O}^+$  ion pair obtained at various energies between 39 and 45 eV are shown in Fig. 1. The results in the region of 39-41 eV is due to indirect processes, i.e., autoionization of highly excited  $\text{CO}^{2+}$  and  $\text{CO}^{2+}$  states into the underlying ionization continuum of the  $1^3\Sigma^-$  state of  $\text{CO}^{2+}$ , with emission of low kinetic-energy electrons.

Among several theoretical calculations of the potential-energy curves for the low-lying electronic states of  $\text{CO}^{2+}$ , the one reported by Larsson et al.<sup>1</sup> is referred in the discussion of the present results. The energy scale of the calculated potential-energy curves was calibrated by assuming that the  $v=0$  level of the  $1^1\Sigma^+$  state corresponds to the  $\text{CO}^{2+}$  threshold at 41.3 eV.<sup>2</sup> According to the potential-energy curves of  $\text{CO}^{2+}$ , the direct dissociative double ionization is energetically possible above 41.4 eV and only the first dissociation limit (35.98 eV) of  $\text{CO}^{2+}$  is included in the dissociation below 46.5 eV.

In the simple model whereby a doubly charged molecular ion ( $\text{AB}^{2+}$ ) is produced directly from absorption of single photon;  $\text{AB}^{2+}$  then dissociates into  $\text{A}^+$  and  $\text{B}^+$  and the KER is given by the energy difference between the internal energy of the precursor dication and the various dissociation limits of  $\text{AB}^{2+}$ , the KERs should be in the region of 5.4-6.0 eV at 42 eV, 5.4-7.0 eV at 43 eV, and 5.4-9.0 eV at 45 eV. The KERDs shown in Fig. 1 extend to the lower energy side because of emission of low kinetic-energy electrons, i.e., the majority of the  $\text{C}^+\text{O}^+$  ion pairs are produced via autoionization in the region of 41.4-46.5 eV. The complexity of the excitation and dissociation pathways for the  $\text{C}^+\text{O}^+$  ion-pair formation is schematically summarized in Fig. 2. For simplicity, the number of photoelectrons ejected is not complete in the figure. It is obvious from the figure that the excitation energy is shared not only by the fragment ions but also by various kinds of photoelectrons ( $E_1\text{-}E_5$ ). These complex excitation and dissociation pathways contribute to the formation of the ion pairs having lower kinetic energies via autoionization. Lablanquie et al.<sup>3</sup> reported the KERDs in the  $\text{C}^+\text{O}^+$  dissociation of  $\text{CO}^{2+}$ . However, they did not recognize the importance of autoionization processes mentioned above in the discussion of their results. Instead, they used the simple model. The results cannot be explained by such a simple model, as is obvious from Fig. 2.

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FIG. 1 Kinetic-energy release distribution in the  $C^+O^+$  channel of  $CO^{2+}$  at excitation energies of 39-45 eV.

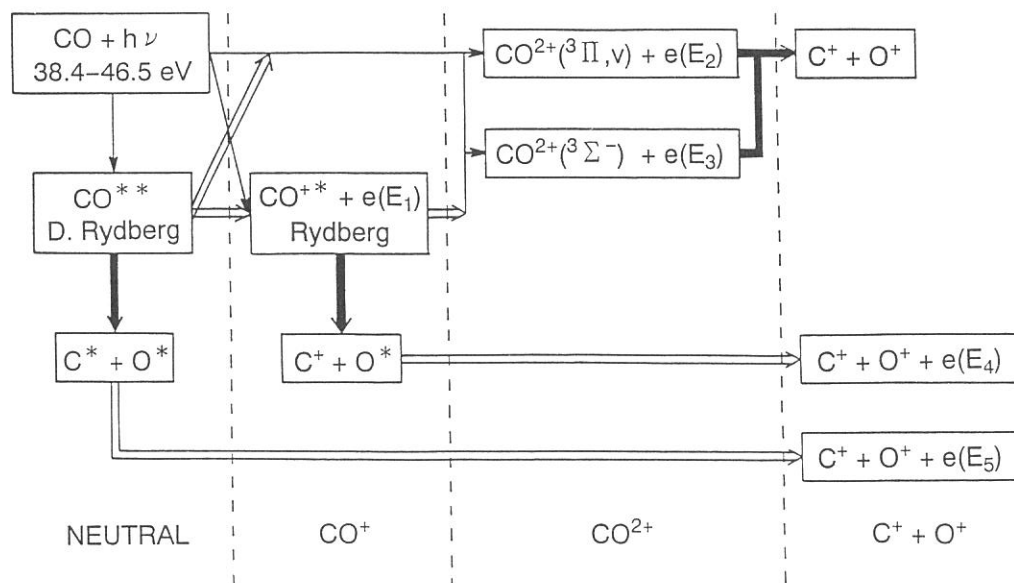
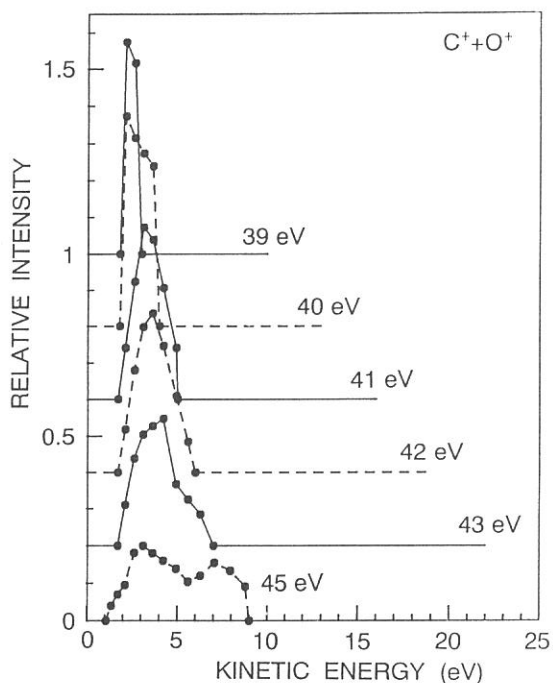


FIG. 2 Excitation and dissociation pathways for the  $C^+$  and  $O^+$  ion-pair formation. D. Rydberg represents the double Rydberg states converging to the electronic states of  $CO^{2+}$ . For simplicity, the number of photoelectrons is not complete.  $\rightarrow$  direct transition,  $\Rightarrow$  electronic and resonant autoionization,  $\Rightarrow$  dissociation.

Observation of Anisotropic Angular Distribution of Ionic Fragments  
in the Dissociation of  $\text{CO}^{2+}$

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Angular distributions of fragment ions ( $\text{C}^+ + \text{O}^+$ ) produced in dissociative double photoionization of carbon monoxide have been studied in the photon energy region 39-100 eV by use of a photoion-photoion coincidence (PIPICO) technique and a source of synchrotron radiation.

The spectral profiles of PIPICO peaks are determined by the kinetic-energy distribution and the angular distribution of the fragment ions, both with respect to the spectrometer axis, and other experimental conditions such as the electric field across the ionization region, the size and the degree of polarization of the photon beam and so on. In order to obtain the angular distribution of fragment ions, the kinetic-energy distribution was determined first by analyzing the PIPICO spectra measured at the so-called "pseudomagic angle," which is equal to about  $55^\circ$  under the assumed condition that the degree of polarization of the light  $p=0.9$  (Ref. 1).

The anisotropic  $\beta$  parameter was obtained by the following three steps. (1) Spectral profiles at an angle  $\theta$  ( $0^\circ$  and  $90^\circ$ ) were calculated for a set of various kinetic energies of the fragment ions with an arbitrarily fixed  $\beta$  parameter. (2) The spectral profile of the PIPICO peak at the angle  $\theta$  was calculated, based on the kinetic-energy distribution already determined and the profiles calculated in step 1. (3) By treating the  $\beta$  value as a running parameter, its most probable value was determined as the one for which the sum of squares of the residuals between the observed and calculated profiles was minimized.

The observed  $\beta$  parameter is shown in Fig. 1 as a function of excitation energy. Above 80 eV, the  $\beta$  parameter obtained at  $0^\circ$  does not agree with that obtained at  $90^\circ$ . The reason for this is not clear at present. One possibility is that the least-squares fit using only one common  $\beta$  parameter for every KER component is not a proper way in the simulation. The  $\beta$  parameters measured at the two angles do not agree one another also at 43 eV probably because of bad quality of the PIPICO spectra. The background of the PIPICO spectra is wavy due to random coincidences of ion produced in single ionization. This wavy background is superposed on the PIPICO spectra and deforms the PIPICO spectra. In Fig. 1, the mean value of the  $\beta$  parameters measured at the two angles is 0.685 at 39 eV,  $0.2 \pm 0.1$  in the region 40-47.5 eV except for the one at 43 eV, about 0.4 in the region 50-60 eV, and gradually decrease above 60 eV.

The ratio of the parallel transition ( $\Sigma-\Sigma$ ) to the perpendicular one ( $\Sigma-\Pi$ ) is shown in Fig. 2, which is given by  $(1+\beta)/(2-\beta)$ .

The observation of anisotropy in double photoionization from the valence orbitals of CO will be discussed in relation to the symmetry consideration involved in the transition.

Reference

- 1) T. Masuoka, I. Koyano, and N. Saito, Phys. Rev. A 44, 4309 (1991).

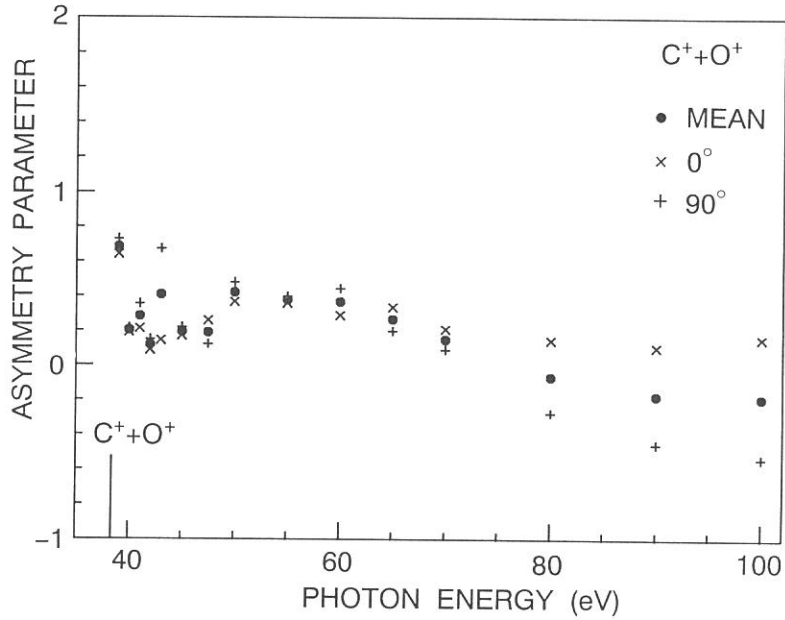


FIG. 1 Asymmetry parameter  $\beta$  for the  $C^+O^+$  dissociation channel of  $CO^{2+}$  measured at  $\theta=0^\circ$  (x) and  $90^\circ$  (+).

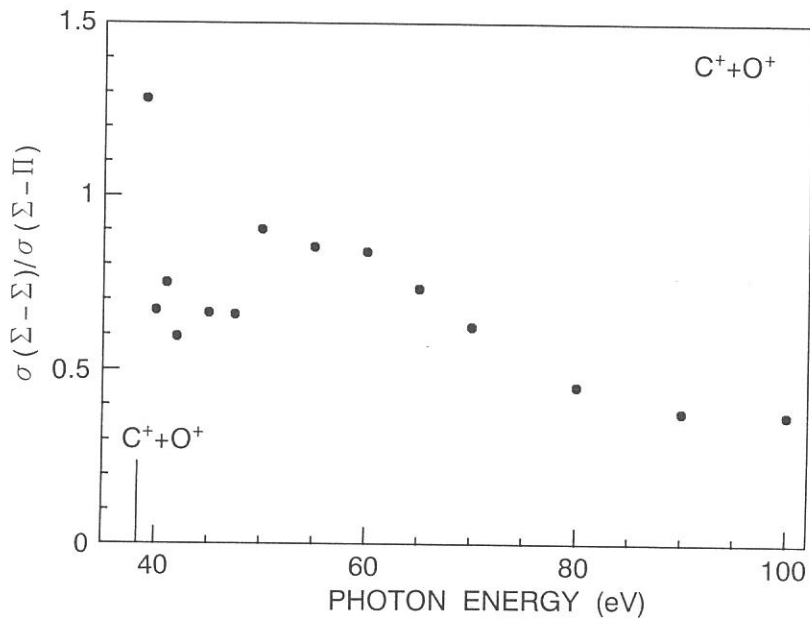


FIG. 2 Ratio of the cross sections  $\sigma(\Sigma-\Sigma)/\sigma(\Sigma-\Pi)$  for the  $C^+O^+$  channel of  $CO^{2+}$  as a function of excitation energy.

## Kinetic-Energy Release in the Dissociation of $\text{NO}^{2+}$

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Kinetic-energy release distributions (KERDs) of the fragment ions ( $\text{N}^+$  and  $\text{O}^+$ ) produced in dissociative double photoionization of nitric oxide have been determined by analyzing photoion-photoion coincidence (PIPICO) spectra measured in the region 42-100 eV by use of a time-of-flight mass spectrometer and synchrotron radiation. The PIPICO spectra were measured at an angle of about  $55^\circ$  with respect to polarization vector where the second-order Legendre polynomial is close to zero, thus minimizing any effects of anisotropic angular distribution of fragment ions.

In the simple framework whereby a doubly charged molecular ion ( $\text{AB}^{2+}$ ) is produced directly from absorption of a single photon and then  $\text{AB}^{2+}$  dissociates into  $\text{A}^+$  and  $\text{B}^+$ , the kinetic-energy release (KER),  $K(E)$ , is given by

$$K(E) = \text{AB}^{2+}({}^M\Lambda, \nu) - [\text{A}^+({}^M\text{L}) + \text{B}^+({}^M\text{L}) + D_0],$$

where  $\text{AB}^{2+}({}^M\Lambda, \nu)$  is the internal energy of the precursor,  $\text{A}^+({}^M\text{L})$  and  $\text{B}^+({}^M\text{L})$  are the internal energies of the two ionic fragments, all measured from the respective neutral ground states, and  $D_0$  is the dissociation energy of the neutral. The values in the bracket correspond to the various dissociation limits of  $\text{AB}^{2+}$ . In almost all studies of the KERs so far reported, this simple model was assumed explicitly or implicitly. However, as reported by Becker et al.<sup>1</sup> for CO, dissociative double photoionization is not that simple. Autoionization of valence-excited  $\text{CO}^{2+}$  states in the molecule and after dissociation plays an important role, particularly at low excitation energies.

Typical examples of KERDs of the  $\text{N}^+ + \text{O}^+$  ion pair obtained at several excitation energies between 42 and 100 eV are shown in Fig. 1. As the excitation energy increases, the KERD becomes wider and wider, extending up to about 21.5 eV at a photon energy of 100 eV. However, the increase of the KER is not as much as the increase in the excitation energy. This means that the high-lying electronic states of  $\text{NO}^{2+}$  result in  $\text{N}^{++}$  and/or  $\text{O}^{++}$  with higher levels of excitation and with larger KER than those resulting from the low-lying states. In other words, the potential-energy curves of the high-lying states are steeper and more repulsive than those of the low-lying states. Qualitatively, this is a natural consequence of increased Coulomb repulsion because the shielding of positive core charges weakens when two inner-valence electrons are ejected.

At the lowest excitation energy of 42 eV, the KERD extends from about 1.9 to 7.3 eV. The first dissociation limit of  $\text{NO}^{2+}$  is at 34.66 eV and the  $\text{NO}^{2+}$  ion partially dissociates above  $41.0 \pm 0.5$  eV.<sup>2</sup> If the simple model mentioned above is applicable, the KER should be in the range 6.3-7.3 eV. An obvious implication of the KERD at 42 eV is that only a small fraction of the ion pairs  $\text{N}^+ + \text{O}^+$  is produced via direct dissociative double photoionization. The majority of the ion pairs are produced through indirect routes, in which highly excited  $\text{NO}^{++}$  and  $\text{NO}^{**}$  (double Rydberg) converging to the  $\text{NO}^{2+}$  electronic states (the dissociation limits of which are higher than the lowest limit), (i) autoionize to the higher vibrational levels of the  $X^2\Sigma^+$  state of  $\text{NO}^{2+}$  and to the underlying ionization continuum of the  $\text{A}^2\Pi$  state and (ii) autoionize after dissociation (i.e., relaxation in the

excited atom). These two processes, (i) indirect double ionization followed by dissociation and (ii) direct dissociation of the molecular ion with subsequent relaxation in the excited atom, have been clearly differentiated in CO by photoelectron spectroscopy.<sup>1</sup>

The average, minimum, and maximum KERs are shown in Fig. 2 as a function of excitation energy. The maximum KER increases linearly up to 46 eV as a function of excitation energy. This means that, in the excitation-energy region 41-46 eV, some components exist which dissociate to the lowest dissociation limit.

#### References

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FIG. 1 Kinetic-energy release distribution in the  $N^+O^+$  channel of  $NO^{2+}$ .

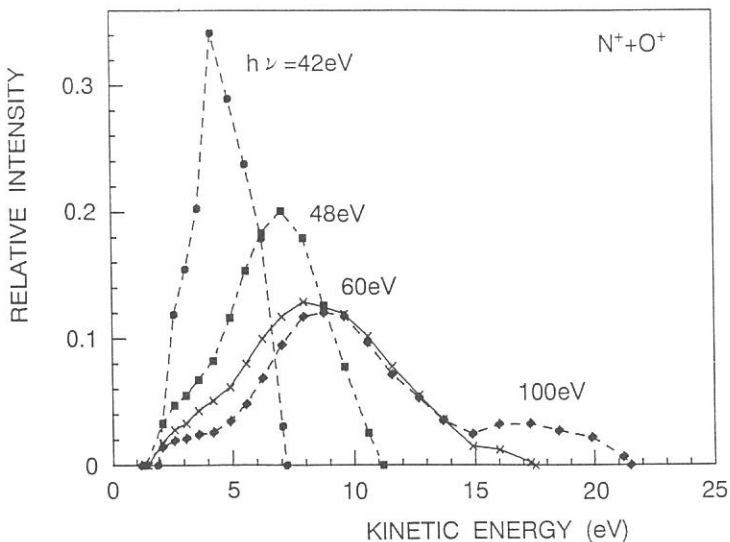
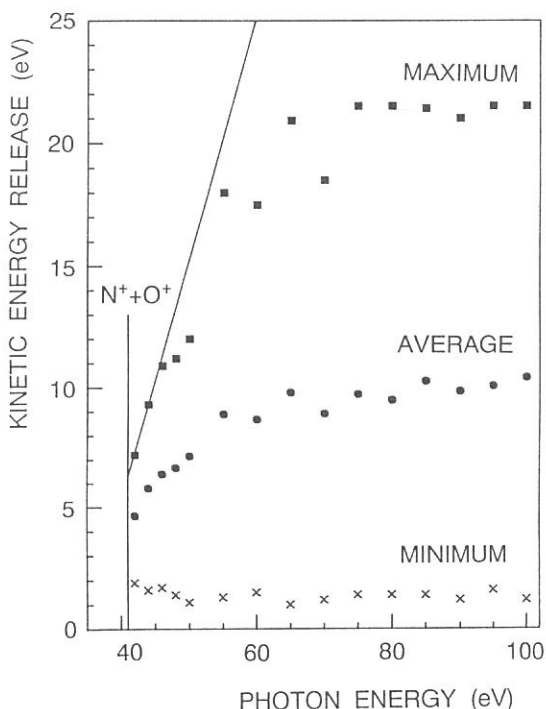


FIG. 2 Average-, minimum-, and maximum-kinetic energy releases in the dissociation of  $NO^{2+}$  as a function of excitation energy.



## DISSOCIATIVE SINGLE AND DOUBLE PHOTOIONIZATION OF CO<sub>2</sub>

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In order to study dissociative single and double photoionization of CO<sub>2</sub>, time-of-flight (TOF) mass and photoion-photoion-coincidence (PIPICO) spectra were measured in the region 37-100 eV by the use of a constant-deviation grazing incidence monochromator together with synchrotron radiation. Aluminum and beryllium optical filters were used to eliminate higher-order radiation. The drift tube of the TOF mass spectrometer was 20 cm long and the TOF mass and PIPICO spectra were measured at an angle of about 55° with respect to the polarization vector where the second-order Legendre polynomial is close to zero, thus minimizing any effects of anisotropic angular distribution of fragment ions.

The TOF mass spectrometer was operated in two modes for the measurement of TOF mass spectra. In mode A, the photoelectron signal detected by a channel electron multiplier was fed into the start input of a time-to-amplitude converter (TAC). The storage ring was operated in a multi-bunch mode. In this mode of operation of the TOF mass spectrometer, the relative ion yields in single and double photoionization are affected by the different kinetic energies of individual photoelectrons and by the different numbers of photoelectrons in the two processes. At  $h\nu=60$  eV, for example, the kinetic energy of a photoelectron ejected from CO<sub>2</sub> (forming the ground state of CO<sub>2</sub><sup>+</sup>) is about 46 eV, whereas that of a photoelectron (forming the ground state of CO<sub>2</sub><sup>2+</sup>) is about 22 eV. These more energetic photoelectrons produced by single photoionization are more easily discriminated in the TOF mass spectrometer than those produced by double photoionization, resulting in an underestimation of the number of ions produced in single photoionization. Furthermore, the different numbers of ejected electrons in single and double photoionization causes an overestimation of the number of the ions produced in double photoionization because the probability of forming one output pulse in the electron detector is higher for two electrons hitting simultaneously than that for one electron.

In mode B, the rf frequency (90.115 MHz) of the storage ring was used as the start signal of the TAC by reducing it to 1/32 through a frequency demultiplier. The storage ring was operated in a single-bunch mode, which was essential to obtain meaningful TOF mass spectra. In mode B, it is believed that the observed mass spectra are free from the discrimination effects mentioned above because the ratio of the partial cross sections  $\text{Ar}^{2+}/\text{Ar}^+$  measured in the region from the double-photoionization threshold to 100 eV was in good agreement with previous reports.<sup>1</sup> The collection efficiencies of energetic fragment ions in the TOF mass spectrometer were calculated with a computer program. The results show that the collection efficiency for the O<sup>+</sup>+CO<sup>+</sup> ion pair having 8.5-eV kinetic energy is 90 % and that with 20-eV kinetic energy is 84 %. This discrimination effect against energetic ions is not corrected in the present study.

The ion branching ratios measured in both modes are shown in Fig. 1 and compared with those reported by Hitchcock, Brion, and Van der Wiel<sup>2</sup> who

used the (e, e+ion) technique. The present results obtained in mode B are in good agreement (within about 10 % discrepancy) with those reported by Hitchcock et al. for all types of ions except for  $O^+$ , for which a slightly large discrepancy (about 15-20 %) exist. This is because if the ion detection efficiency is high, the heavier ion ( $O^+$ ) in the  $C^+ + O^+$  dissociation channel of  $CO_2^{2+}$  is not counted due to the characteristic of the TAC. Since the ion detection efficiency in the present experiment is quite low (a few percent), the  $C^+$  and  $O^+$  ions produced as a pair from  $CO_2^{2+}$  are detected with an almost equal weight in the present experiment, thus giving the more accurate ion branching ratios than those reported by Hitchcock et al.

The threshold for the molecular doubly-charged-ion ( $CO_2^{2+}$ ) was found to be  $37.6 \pm 0.3$  eV. The thresholds for the  $CO^+ + O^+$  and  $C^+ + O^+$  channels of  $CO_2^{2+}$  are at  $39.2 \pm 0.3$  and  $47.0 \pm 0.3$  eV, respectively. The  $O^+ + O^+$  channel of  $CO_2^{2+}$  cannot be observed by the PIPICO method by the use of a microchannel plate ion detector with a single anode. This channel was examined by the photoelectron-photoion-photoion triple coincidence (PEPIPICO) technique. The results show that this channel exists with a threshold between 50 and 70 eV.

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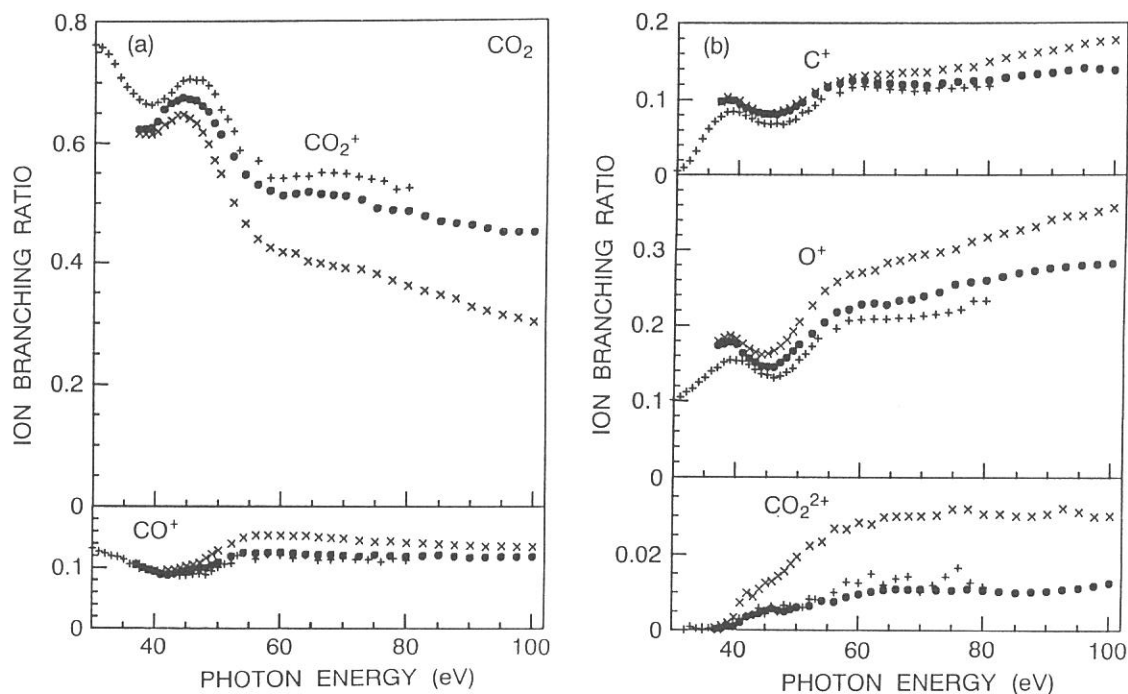


FIG. 1 Apparent ion branching ratios for  $CO_2$  directly obtained from the TOF mass spectra as a function of photon energy.  $\times$  (mode A) and  $\bullet$  (mode B), present data;  $+$  from Hitchcock et al. (ref. 2).



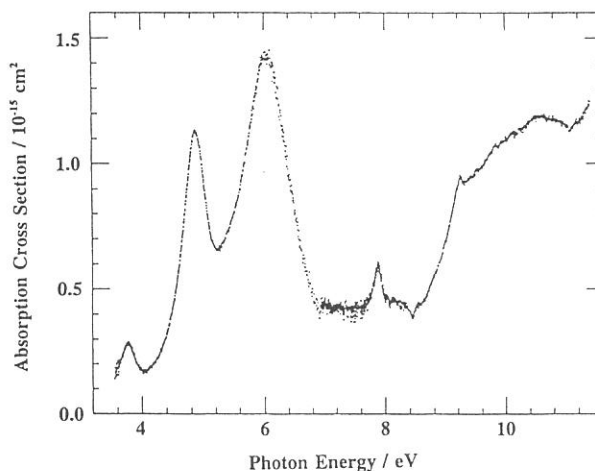
# Absorption Spectrum of $C_{60}$ in the Gas Phase: Formation and Recombination of Electron-Hole Pair

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The absorption spectrum of gaseous  $C_{60}$  at temperatures of 830-870 K was measured in the energy range of 3.5 - 11.4 eV. The peaks at 7.9 and 9.2 eV, and a dip at 8.5 eV were assigned as Feshbach resonance with superexcited states. The photoionization quantum yield was explained in terms of formation and recombination of an electron-hole pair.

A sample of  $C_{60}$  was synthesized in a "Fullerene Factory" by discharging a graphite rod in He atmosphere, and purified by liquid chromatography.<sup>1)</sup> The absorption spectrum of  $C_{60}$  vapor was measured on an experimental apparatus<sup>2)</sup> constructed on the Beamline BL2A of UVSOR. The  $C_{60}$  sample in a windowless absorption cell was resistively heated to temperatures of 830-870 K in a vacuum chamber pumped down to  $1 \times 10^{-6}$  Torr so that the vapor pressure of  $C_{60}$  is kept at 5-10



**Figure 1.** Absorption spectrum of  $C_{60}$  in the gas phase in the temperature range 830-870 K. The ordinate represents absolute absorption cross section whose uncertainty is estimated as 25 %.

mTorr. The light from the 1 m Seya-Namioka monochromator goes through the high temperature cell and the transparent light intensity is monitored by a sodium salicylate convertor followed by a photomultiplier (Hamamatsu Model R585). Figure 1 shows a typical absorption spectrum of the  $C_{60}$  vapor whose vapor pressure is known.<sup>3)</sup> The uncertainty in the absolute absorption cross section is estimated to be about 25 %, most of which is due to the uncertainty of temperature reading.

In the low energy range three broad bands peaked at 3.8, 4.9, and 6.0 eV are observed; the maxima positions of which agree with those observed in hexane solution<sup>4)</sup> and in Ar matrix<sup>5)</sup> within  $\pm 0.1$  eV. The absorption cross section for the band at 3.8 eV is determined as  $(0.29 \pm 0.07) \times 10^{-15} \text{ cm}^2$  which is comparable with  $(0.422 \pm 0.073) \times 10^{-15} \text{ cm}^2$  measured by Brady

and Beiting<sup>5)</sup> at 870 K.

Two distinct bands peaked at 7.9 and 9.2 eV and a dip at 8.5 eV appear in the energy range higher than the ionization energy, 7.61 eV.<sup>6)</sup> Therefore it is highly likely that these peaks and dips arise from Feshbach resonances involving superexcited states which originate from core-excited Rydberg states of C<sub>60</sub>. The energy level of a Rydberg state measured from the ground state is expressed as

$$E_n = -\frac{R}{\epsilon^2(n-\delta)^2} + IP$$

where  $R$  is the Rydberg constant in vacuum,  $\epsilon$  the relative dielectric constant of C<sub>60</sub>,  $n$  the principal quantum number,  $\delta$  a quantum defect, and  $IP$  the ionization energy of C<sub>60</sub>. The minimum principal number,  $n$  is  $n = 3$ . The second and third ionization energies are 8.89 and 10.82-11.59 eV,<sup>7)</sup> respectively. The band peaks at 7.9 and 9.2 eV are assignable to the Rydberg states for  $n = 3$  converging to the second and third ionization energies, respectively.

The two additional bands discernible in the higher energy side of 7.9 eV peak are assigned as the vibrational progression of the totally symmetric mode  $a_g$ . Since the photoionization efficiency curve has been obtained, the relative quantum yield for photoionization has been determined by dividing the photoionization efficiency by the absorption cross section and it is found to increase with energy above the ionization energy. The quantum yield for photoionization curve was fitted to a theoretical curve, assuming that it is the sum of three photoionization efficiency yields,  $\phi_i$ 's ( $i = 1, 2, \text{ and } 3$ ), each of which is derived by a photoelectron-hole recombination model<sup>8)</sup> as expressed by

$$\phi_i = A_i \exp\left(-\frac{B_i}{\Delta E_i^{3/2}}\right)$$

where  $A_i$  and  $B_i$  are the parameters related to a diffusion constant and screening length, respectively, and  $\Delta E_i$  is approximately given by the photon energy,  $E$ , minus the photoionization energy,  $IP_i$ . Although not shown, the overall photoionization quantum yield curve can be fitted well to the theoretical curve except the lower energy region. Therefore it is concluded that photoionization is retarded by efficient electron-hole recombination.

The present results will be published in a forthcoming paper.<sup>9)</sup>

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<sup>4)</sup> See for example, S. Leach et al., Chem. Phys., **160**, 451 (1992).

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Polarized Fluorescence of  $\text{CN}(\text{B}^2\Sigma^+-\text{X}^2\Sigma^+)$  Observed  
in Photodissociation of ICN in the  $56500 - 95200 \text{ cm}^{-1}$  Region

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The subsequent  $\text{CN}(\text{B}^2\Sigma^+-\text{X}^2\Sigma^+)$  emission formed in photodissociative excitation of ICN was observed in the exciting wavenumber region of  $56500-95200 \text{ cm}^{-1}$  (105-180 nm). The polarization of photofragment emission provides information on the symmetry of the relevant electronic state and the time scale of the dissociation. The assignments for the absorption bands of ICN were reexamined by using of the synchrotron radiation dispersed by a 1-m monochrometer at the BL2A line of UVSOR as a polarized light source.

Figure 1 shows the observed polarization anisotropy ( $R$ ) as a function of the excitation wavenumber along with the fluorescence excitation spectrum in the  $66700-80000 \text{ cm}^{-1}$  region. The  $R-\tilde{\nu}$  curve shows a sharp minimum at the center of the intense emission peak located at  $71337 \text{ cm}^{-1}$ . This implies that a perpendicular-type transition is responsible for the absorption band at  $71337 \text{ cm}^{-1}$ . This feature is in remarkable contrast to that the corresponding peak in BrCN are obviously the parallel-type transition [1]. This band is ascribable to the  $2\pi \rightarrow 3p\sigma$  Rydberg transition.

Felps et al. have assigned the diffuse bands appearing around  $\sim 68500$  and  $\sim 72500 \text{ cm}^{-1}$  to the  $2\pi \rightarrow 6\sigma$  intravalence transition [2]. These band systems can be regarded as the transition by the promotion of the  $2\pi$  electron, because they are situated at the spectral interval corresponding to the spin-orbit splitting of  $\text{ICN}^+(2\pi^{-1})$ . The  $R$  value for these bands is observed to be  $\sim 0.1$ , which is close to the theoretical limit. This implies that these bands arise from the parallel-type transition and that the lifetime of the relevant upper electronic state is short compared with the rotational period of ICN molecule. Therefore, these

bands are assignable to the intravalence transition from  $2\pi$  orbital to the  $\pi$ -character orbital. This transition tentatively termed as the  $2\pi \rightarrow 4\pi$  transition.

The  $75120 \text{ cm}^{-1}$  band does not show its transition type clearly in the  $R\text{-}\tilde{\nu}$  curve, because of neighboring  $75313 \text{ cm}^{-1}$  peak, which is attributed to the  $2\nu_3$  progression of the  $2\pi \rightarrow 3p\sigma(^1\Pi)$ . The  $75563 \text{ cm}^{-1}$  peak corresponding to the  $\nu_1$  excitation reveals a hump in the  $R\text{-}\tilde{\nu}$  curve at the band maximum. Therefore, this band system is associated with a parallel-type transition. From the assignment of the corresponding peak in BrCN [1], this band is ascribable to the  $1\pi \rightarrow 3\pi$  intravalence transition. Thus, every principal bands in the absorption spectrum of ICN below the first ionization potential have been assigned to the Rydberg series, converging to first or second ionization potentials or the intravalence transitions on the basis of the polarization measurement.

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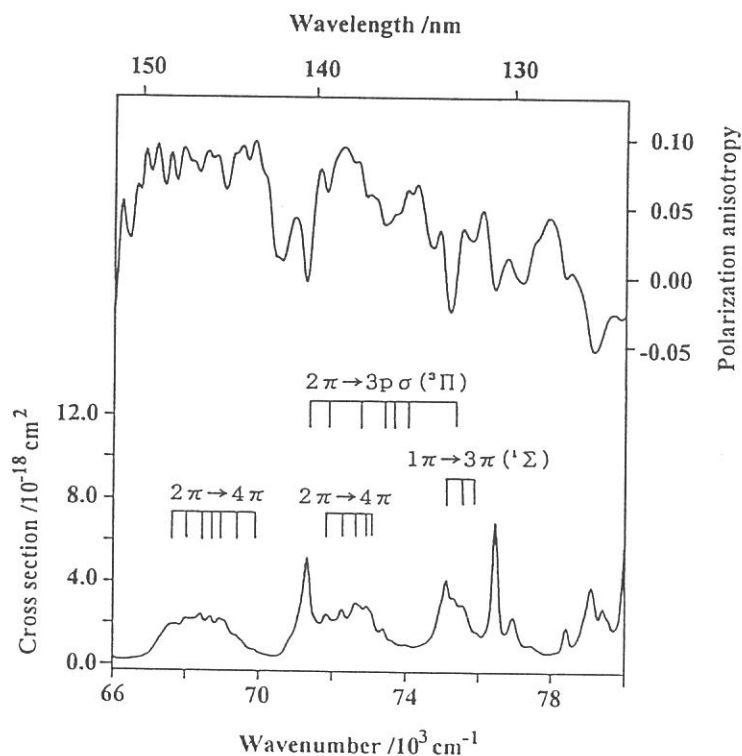


Figure 1 Fluorescence excitation and polarization spectra of ICN

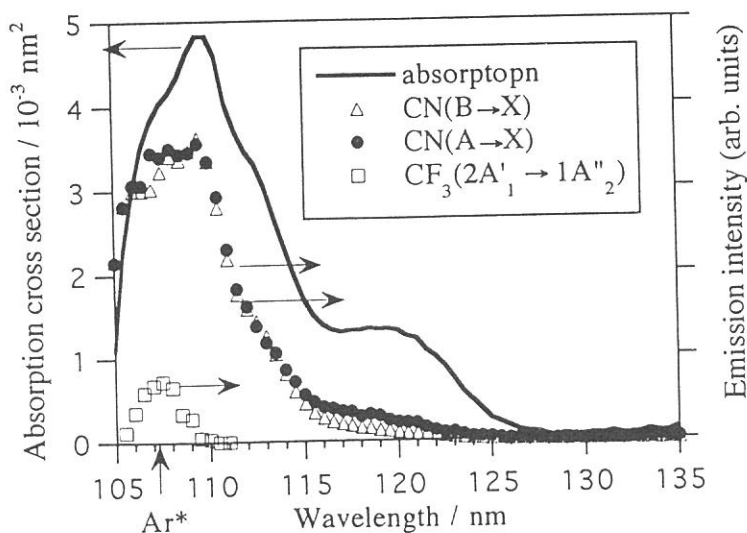
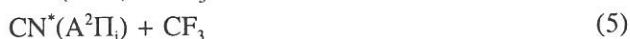
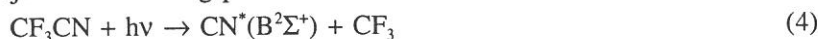
# Fluorescence Excitation Spectra and Quantum Yield in Vacuum Ultraviolet Photodissociation of CF<sub>3</sub>CN

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The fluorescence excitation spectra of CN(A, B → X) and CF<sub>3</sub>(2A'<sub>1</sub> → 1A''<sub>2</sub>) and the quantum yield for the CN(B) radical formation are measured in the photodissociation of CF<sub>3</sub>CN in 105 - 35 nm.

Based on the similarity in the behaviors in forming electronically excited fragments, CN\*(B) and CN\*(A), in collision-induced dissociative excitation processes: Ar\*(<sup>3</sup>P<sub>0,3</sub>) + CF<sub>3</sub>CN → CF<sub>3</sub>\*(2A'<sub>1</sub>) + CN(X) + Ar (1), CF<sub>3</sub> + CN\*(B) + Ar (2), CF<sub>3</sub> + CN\*(A) + Ar (3), the existence of a common excited state of CF<sub>3</sub>CN\* leading to the formation of CN\*(B) and CN\*(A) radicals has been proposed.<sup>1)</sup> In order to obtain more information on dissociative excitation process, another excitation process, i.e. photoexcitation has been employed, and in the present project the following processes have been studied:



**Figure 1.** The absorption spectrum of CF<sub>3</sub>CN and fluorescence excitation spectra of CN(B → X), CN(A → X), and CF<sub>3</sub>(2A'<sub>1</sub> → 1A''<sub>2</sub>). The emission intensities are in arbitrary units.

Experiments have been carried out on a gas phase photochemistry apparatus constructed on the Beam-line BL2A of UVSOR. Figure 1 shows the absorption spectrum (solid line) of CF<sub>3</sub>CN along with the fluorescence excitation spectra for CN(B → X) (△), CN(A → X) (●), and CF<sub>3</sub>(2A'<sub>1</sub> → 1A''<sub>2</sub>) (□) in the exciting light wavelength region of 105 - 135 nm. The wavelength resolution was set at 0.30 nm and the pressure of the CF<sub>3</sub>CN vapor was 30 mTorr. For

isolating the  $\text{CF}_3(2A'_1 \rightarrow 1A''_2)$  emission a band pass filter (SWPF 300) centered at 300 nm was used. A low-path filter (HOYA O-58) with 580 nm cut-off wavelength was employed. Since the  $\text{CN}(A \rightarrow X)$  emission intensity is very low compared with that of  $\text{CN}(B \rightarrow X)$ , the excitation spectrum for  $\text{CN}(B \rightarrow X)$  formation was observed without a filter. The quantum yield for  $\text{CN}^*(B)$  formation has been determined by comparing the fluorescence intensity to that of  $\text{H}_2\text{O}$  under the same experimental conditions.<sup>2)</sup> The arrow in Figure 1 is the excitation energy of  $\text{Ar}^*(^3P_2)$  state.

We find that the spectral profiles for fluorescence excitation process for both  $\text{CN}(B \rightarrow X)$  and  $\text{CN}(A \rightarrow X)$  resemble with each other and this fact indicates the existence of a common excited state leading to the excited  $\text{CN}^*$  fragments,  $\text{CN}^*(B)$  and  $\text{CN}^*(A)$  via the 3s Rydberg state of  $\text{CF}_3$  for both state.

In Figure 2 is plotted the quantum yield for  $\text{CN}^*(B)$  formation against exciting light wavelength. It is interesting to note that the quantum yield profile for

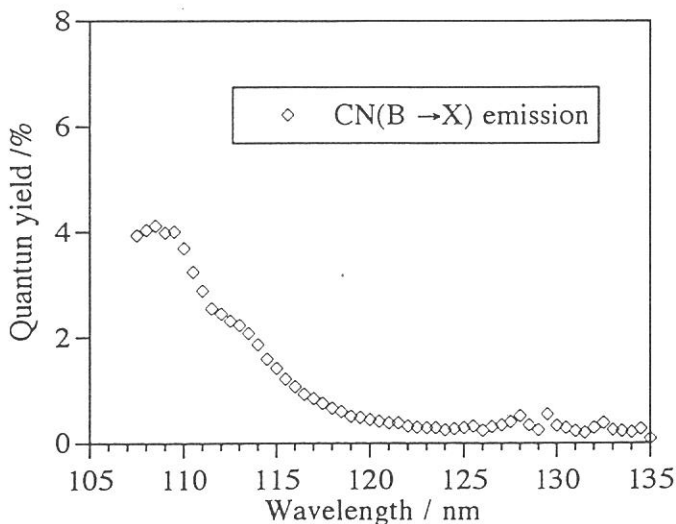
photodissociative excitation process,  $\text{CF}_3\text{CN} + h\nu \rightarrow \text{CF}_3 + \text{CN}^*(B)$  (3), is similar to that for  $\text{CD}_3\text{CN}$ .<sup>2)</sup> The maximum values for both compounds are about 4.0 % at around  $\lambda_{\text{exc}} = 110$  nm.

Fluorescence polarization anisotropy has been measured for the process (4).<sup>3)</sup> It would suffice to mention that a strong pressure dependence has been observed for  $\text{CN}^*(B)$  radical formation, whereas for the photodissociative excitation processes of  $\text{CH}_3\text{CN}$ ,  $\text{CD}_3\text{CN}$ , and  $\text{ClCN}$  such strong pressure dependence has never been observed.<sup>3)</sup> Since the dipole moments for these molecules are comparable, the different behaviors in the pressure dependence in fluorescence polarization anisotropy might be due to lower rotational energy for  $\text{CN}^*(B)$  fragments from  $\text{CF}_3\text{CN}$  than those for  $\text{CD}_3\text{CN}$ ,  $\text{CH}_3\text{CN}$ , and  $\text{ClCN}$ .

<sup>1</sup> D-C. Che, T. Kasai, H. Ohoyama, K. Ohashi, T. Fukawa, and K. Kuwata, *J. Phys. Chem.*, **95**, 8159 (1991).

<sup>2</sup> K. Shobatake, A. Hiraya, K. Tabayashi, and T. Ibuki, *Vacuum Ultraviolet Photoionization and Photoemission of Molecules and Clusters*, Ed. by C. Y. Ng (World Scientific Publishing Co., Singapore, 1991), pp. 503.

<sup>3</sup> K. Kono and K. Shobatake (unpublished results).



**Figure 2.** The quantum yield for  $\text{CN}^*(B)$  radical formation plotted vs. exciting light wavelength in photodissociative excitation of  $\text{CF}_3\text{CN}$ .

Fluorescence Excitation Spectra of CN(A,B→X) and of CF<sub>3</sub> (2A'<sub>1</sub>→1A''<sub>2</sub>) and Quantum Yield for the CN(B) Radical Formation in Vacuum Ultraviolet Photodissociation of CF<sub>3</sub>CN

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Based on the similarity observed in the orientational dependences, the existence of a common excited state of CF<sub>3</sub>CN which leads to the CN(A) and CN(B) radical formations was proposed in the CF<sub>3</sub>CN + Ar(<sup>3</sup>P) reaction.<sup>1)</sup> To fill out the lack of data on the fluorescence excitation spectra and the quantum yield for the CF<sub>3</sub>CN molecule, photodissociation of CF<sub>3</sub>CN was carried out in 105-135 nm. The wavelength resolution of the exciting light was set as 3.0 Å for improving the S/N ratio. The quantum yield of the CN(B) radical formation was determined by comparative measurement of the OH(A→X) emission of water under the given experimental conditions.<sup>2)</sup>

Figure 1 shows the absorption spectrum of CF<sub>3</sub>CN and the fluorescence excitation spectra of the product radicals by VUV-light excitation. The maximum energy available from Ar\* is shown by the arrow. The locations of the main two peaks of the absorption spectrum were found to coincide with those in the literature where the peaks were assigned as the 7a<sub>1</sub>→3s and/or 2e→3s Rydberg transitions.<sup>3)</sup> The fluorescence excitation spectra of CN(B→X) and CN(A→X) are shown by Δ and O, respectively.

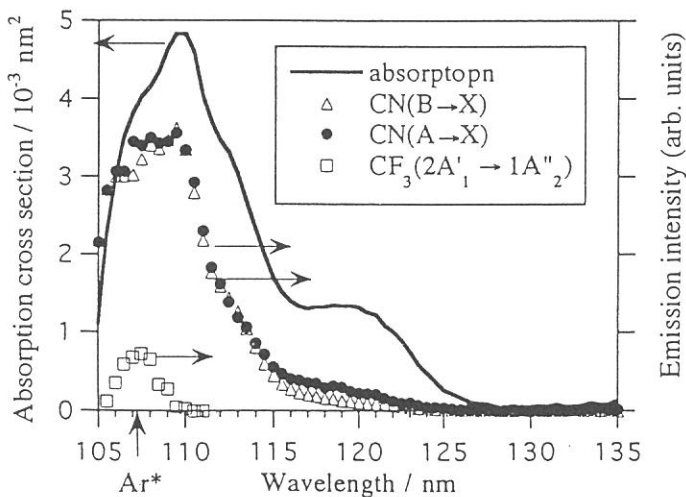


Fig. 1. The absorption spectrum of CF<sub>3</sub>CN and the fluorescence excitation spectra of CN(B→X), CN(A→X), and CF<sub>3</sub>(2A'<sub>1</sub>→1A''<sub>2</sub>). The emission intensity, the vertical axis on the right is arbitrary on each spectrum. The arrow of Ar\* shows the wavelength corresponds to the maximum energy available in the CF<sub>3</sub>CN + Ar(<sup>3</sup>P) reaction.

A weak excitation emission isolated by the 300 nm band-pass filter is shown by  $\square$ . The threshold at  $\sim 100$  nm (equivalent to 11.3 eV) corresponds to the sum of the dissociation energy of  $\text{CF}_3\text{-CN}$  and the energy gap for the  $2A'_1 \rightarrow 1A''_2$  transition of  $\text{CF}_3$ . Thus the  $\text{CF}_3(2A'_1)$  radical is expected to be the emitter.<sup>4)</sup> The corrected emission intensity of  $\text{CF}_3(2A'_1)$  is about two orders of magnitude smaller than that of  $\text{CN(B)}$ . The excitation energy dependence of the emissions of  $\text{CN(B} \rightarrow \text{X)}$  and  $\text{CN(A} \rightarrow \text{X)}$  turned out to be almost the same, suggesting some common excited state of  $\text{CF}_3\text{CN}$  prior to dissociation.

Figure 2 shows the quantum yield for the  $\text{CN(B)}$  radical formation.<sup>2)</sup> The maximum value is about 4% at 108 nm near the largest peak of the absorption in Fig. 1. It appears that the quantum yield for the  $\text{CN(B)}$  formation increases in parallel to the excess internal energy of  $\text{CF}_3\text{CN}$  in the excited state as the excitation wavelength becomes shorter. The small quantum yield for the  $\text{CN(B)}$  radical formation

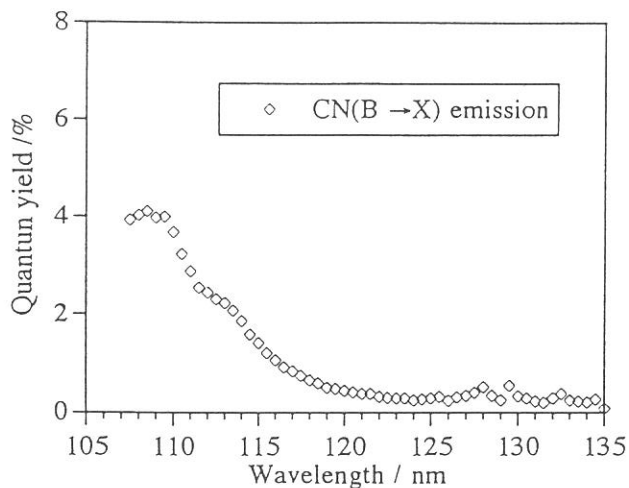


Fig. 2. The quantum yield for the  $\text{CN(B)}$  radical formation in the photodissociation of  $\text{CF}_3\text{CN}$ .

seems to reflect a close resemblance to the small branching ratio for the  $\text{CN(B)}$  formation in the  $\text{CF}_3\text{CN} + \text{Ar}(^3\text{P})$  reaction.<sup>1)</sup> The similarity in the fluorescence excitation spectra for both states of the  $\text{CN}$  radical in photodissociation also accords with the orientational dependence for the  $\text{CN(B)}$  and for the  $\text{CN(A)}$  radical formation in the  $\text{CF}_3\text{CN} + \text{Ar}(^3\text{P})$  reaction. Those agreements between the two methods of excitation strongly suggest that the 3s Rydberg state of the molecule plays a common role as a precursor leading to dissociation.

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# Photodissociation dynamics of HNCO studied by photofragment fluorescence polarization measurements

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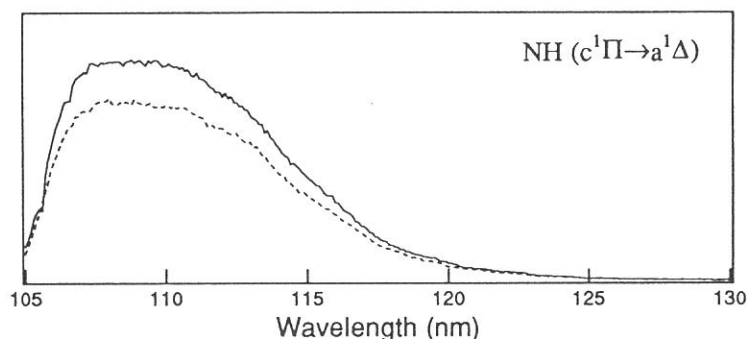
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It has been known that measurements of photofragment anisotropy give detailed information on the dynamics of the photoejection process,<sup>(1)</sup> and the relation between the lifetime of excited molecule and the degree of fragment polarization has been established for triatomic molecules.<sup>(2)</sup> In order to develop the theory for four-atomic molecules, we have measured fluorescence polarization of NH ( $c^1\Pi$ ) radical formed by photodissociative excitation of  $\text{NH}_3$  as shown in figure 1. In this investigation, photodissociative processes of gaseous HNCO were studied in the wavelength region 105-130 nm by photofragment fluorescence polarization measurements.

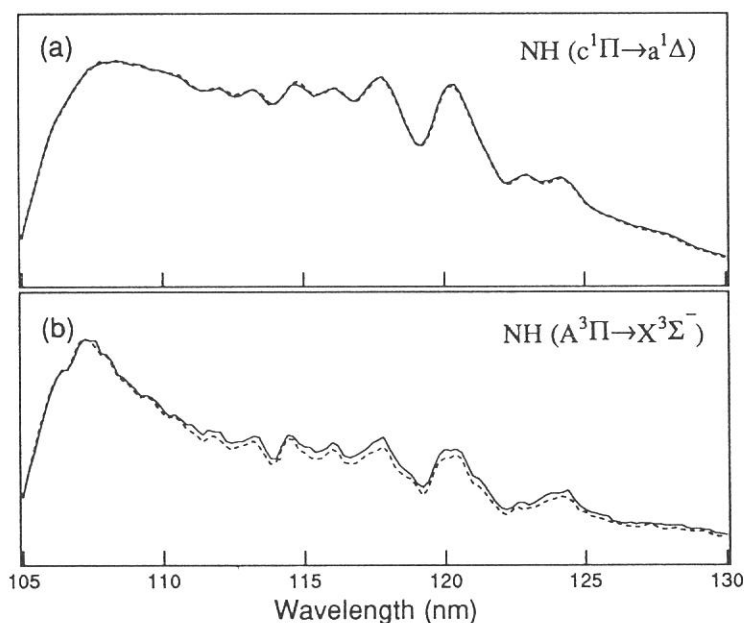
It has been known that photolysis of HNCO forms NH radicals in various electronic states. Using UVSOR as a light source, we have reported photodissociative excitation spectra of NH ( $c^1\Pi$ ,  $A^3\Pi$ ) radicals and quantum yields for formation of the radicals in the wavelength region of 107-180 nm.<sup>(3)</sup> In this study, fluorescence polarization of NH ( $c^1\Pi$ ,  $A^3\Pi$ ) radicals were measured under near collision-free conditions. An absorption and fluorescence measurement apparatus constructed in the beamline BL2A was used. The monochromated VUV light as a polarized light source was introduced to a gas cell filled with HNCO from 20 to 50 mTorr. The NH ( $c^1\Pi \rightarrow a^1\Delta$ ) and ( $A^3\Pi \rightarrow X^3\Sigma^-$ ) fluorescence parallel and perpendicular to the vector of the incident light were observed with equipped plate polarizers. The degrees of polarization for the fluorescence were measured using a photoelastic modulator at several reference wavelengths.

The intensities of parallel and perpendicular components of the NH( $c^1\Pi \rightarrow a^1\Delta$ ) and ( $A^3\Pi \rightarrow X^3\Sigma^-$ ) fluorescence are shown in figure 2 (a) and (b), respectively. In contrast to the case of  $\text{NH}_3$ , no significant difference was found between the spectral shapes of the parallel and perpendicular components for both the NH( $c^1\Pi \rightarrow a^1\Delta$ ) and ( $A^3\Pi \rightarrow X^3\Sigma^-$ ) fluorescence. Moreover the degrees of polarization obtained by the measurements using photoelastic

modulator were equal to zero at all reference wavelengths within the observational errors. As our result, the polarization anisotropy for both the  $\text{NH}(c^1\Pi \rightarrow a^1\Delta)$  and  $(A^3\Pi \rightarrow X^3\Sigma^-)$  fluorescence was not observed in the photodissociative excitation process of  $\text{HNCO}$ .



**Figure 1** Observed fluorescence polarized parallel (solid line) and perpendicular (dashed line) to the polarization vector of the incident light in the photolysis of  $\text{NH}_3$ .



**Figure 2** Observed fluorescence polarized parallel (solid line) and perpendicular (dashed line) to the polarization vector of the incident light in the photolysis of  $\text{HNCO}$ .

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# Symmetry Assignments of the Rydberg States in CH<sub>3</sub>CN and CD<sub>3</sub>CN Studied by the Measurements of CN(*B*→*X*) Fluorescence Polarization Anisotropy

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The polarization anisotropy for the CN(*B*<sup>2</sup>Σ<sup>+</sup>→*X*<sup>2</sup>Σ<sup>+</sup>) fluorescence arising from photodissociative excitation of CH<sub>3</sub>CN and CD<sub>3</sub>CN was measured as a function of excitation wavelength from 105 to 145 nm. From the results of these polarization anisotropy measurements we have determined the orientations of Rydberg p-orbitals in a series of Rydberg bands. The experiment was performed at the Beamline BL2A of UVSOR. The details of experimental set up are described in a previous paper.<sup>1)</sup>

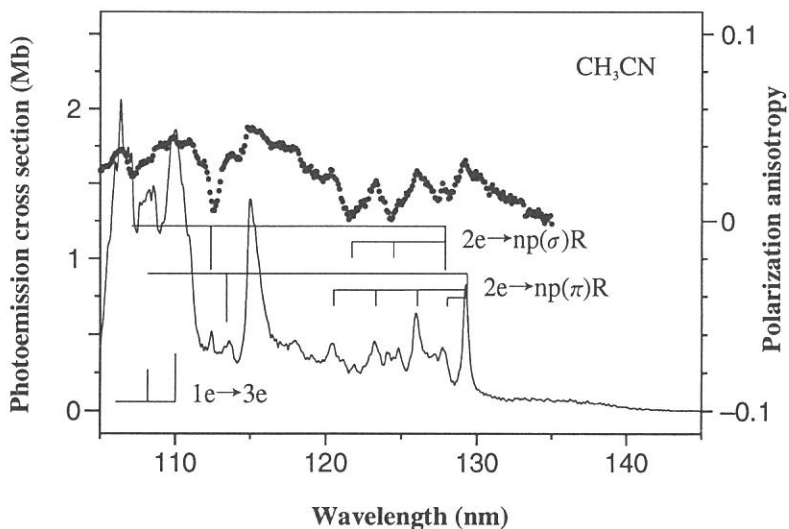
Fragment fluorescence excitation spectra for CN(*B*→*X*) emission and its polarization anisotropy spectra in CH<sub>3</sub>CN and CD<sub>3</sub>CN are shown in Figure 1 and 2. The wavelength resolutions of exciting light for the polarization and the photoemission cross section were set at 0.50 nm and 0.10 nm, respectively. The assignments for the present work are indicated in Figure 1 and 2. Nuth and Glicker have assigned the photo-absorption bands of CH<sub>3</sub>CN observed in the region from 94 to 140 nm as the Rydberg transitions converging to the 2e and 7a<sub>1</sub> ionization energies.<sup>2)</sup> Fridh has reported that most of the CH<sub>3</sub>CN and CD<sub>3</sub>CN bands measured by means of electron impact energy loss spectrometry are assigned as the Rydberg transitions.<sup>3)</sup> They have assigned that, of npR Rydberg states, the energy levels of the π (e) symmetric orbitals are higher than those of the σ (a<sub>1</sub>) orbitals. Our polarization anisotropy results contradict the previous assignments.<sup>2,3)</sup> A series of the 2e → np(π: e)R Rydberg absorption bands have transition moments with A<sub>1</sub> symmetry parallel to the molecular C<sub>3</sub> axis. A series of the 2e → np(σ: a<sub>1</sub>)R Rydberg absorption bands have transition moments with E symmetry perpendicular to the molecular C<sub>3</sub> axis. The transition dipole of CN(*B*→*X*) emission is parallel to C<sub>∞</sub> axis of CN radical. Hence, the polarization anisotropy increases for 2e → np(π)R bands and decreases for 2e → np(σ)R bands. If π and σ notations are interchanged the previous assignments<sup>2,3)</sup> are consistent with our polarization results. The band origins of Rydberg transitions in the energy region of present work are summarized in Table 1. From the present work we find that the np(σ)R states are higher in energy than the np(π)R states.

**Table 1.** Rydberg band position (± 0.05 nm)

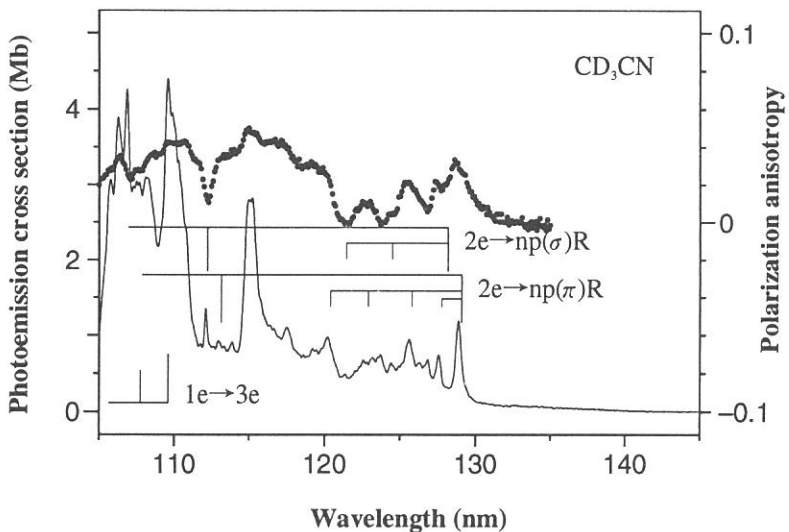
band	CH <sub>3</sub> CN	CD <sub>3</sub> CN
2e→3p(π)R	129.24 nm	128.85 nm
2e→3p(σ)R	127.62 nm	128.05 nm
2e→4p(π)R	113.26 nm	113.04 nm
2e→4p(σ)R	112.41 nm	112.13 nm

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**Figure 1.** Polarization anisotropy (dots) and fluorescence excitation (curve) spectra for  $\text{CN}(B \rightarrow X)$  observed in photodissociative excitation of  $\text{CH}_3\text{CN}$ .



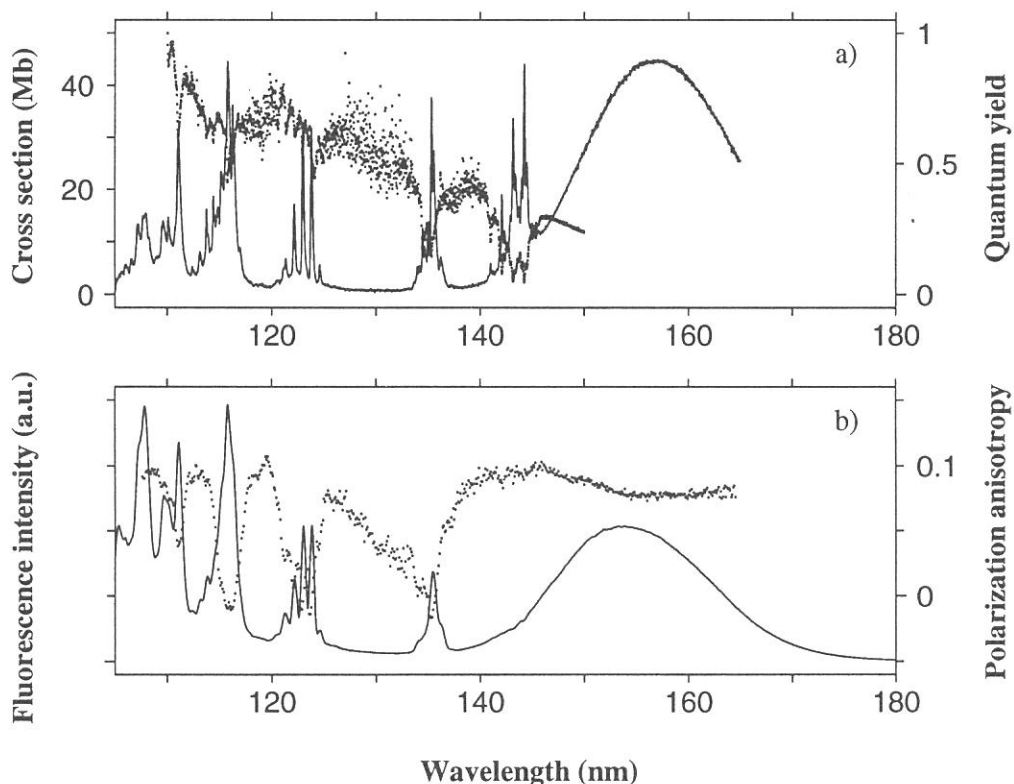
**Figure 2.** Polarization anisotropy (dots) and fluorescence excitation (curve) spectra for  $\text{CN}(B \rightarrow X)$  observed in photodissociative excitation of  $\text{CD}_3\text{CN}$ .

# High Quantum Yields for Photodissociative Excitation Process in and Nonradiative Photoabsorption Bands of XeF<sub>2</sub>

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Photodissociative excitation processes of XeF<sub>2</sub> for  $\text{XeF}_2 + h\nu \rightarrow \text{XeF}^*(B, C \text{ and } D) + \text{F}$  are studied by absorption and fluorescence spectroscopies in the vacuum UV region of 105-165 nm. The quantum yields and polarization anisotropy are measured along with the dispersed fluorescence spectra for emissions from XeF(B, C and D) excimers formed from XeF<sub>2</sub> excited by linearly polarized, monochromated synchrotron radiation on an apparatus at the Beamline BL2A of UVSOR. The absorption and the fluorescence excitation spectra are measured to determine the quantum yield for the XeF\* excimer formation from XeF<sub>2</sub> as are shown in Figure 1. The latter has been determined by comparing the fluorescence intensity to that of OH(A→X) formed from photodissociative excitation of H<sub>2</sub>O.<sup>1)</sup>

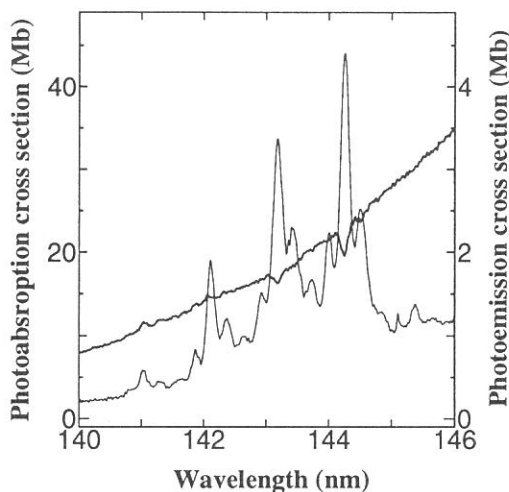


**Figure 1.** a) Absorption spectrum and quantum yield for XeF\* formation in dissociative excitation of XeF<sub>2</sub>. b) Polarization anisotropy for total fluorescence and fluorescence excitation spectrum.

Figure 1a shows the absorption spectrum (solid line) of XeF<sub>2</sub> along with the quantum yield for total emission (dots) in the excitation wavelength ( $\lambda_{\text{exc}}$ ) region 105-165 nm at a resolution of 0.10 nm. Most of the bands have been assigned by Nielsen and Schwartz.<sup>2)</sup> However some of the assignments are questioned in light of the results of fluorescence polarization anisotropy measured<sup>3)</sup> for XeF\* formed at 193 nm and that in the wavelength region 110-165 nm shown in Figure 1b which also illustrates the fluorescence excitation spectrum obtained by monitoring the total emission at a resolution of 0.5 nm for the excitation light. It is noted that the quantum yield for XeF\* excimer formation is found to be very high, especially at 110 nm it reaches a unity. In qualitative agreement with the previous work<sup>4)</sup>, the quantum yield for the broad absorption band has been found as 0.23 at 150 nm.

Another interesting phenomenon was observed in a series of  $\pi_{u,3/2} \rightarrow 6sR$  bands around  $\lambda_{\text{exc}} = 143$  nm. Figure 2 illustrates the absorption spectrum of XeF<sub>2</sub> and XeF\* fluorescence excitation spectra in the expanded region from  $\lambda_{\text{exc}} = 140$  to 146 nm observed at a resolution of 0.10 nm. Note that no strong peaks are found in the excitation spectrum in spite of intense absorption bands. In contrast even fluorescence dips are observed at 143.18 nm and 144.26 nm whereas weak positive bands are observed at 141.02 and 142.11 nm. It seems that the bands which are positive at higher energies tend to become negative as the exciting light energy decreases. A similar phenomenon has been observed for I<sub>2</sub>.<sup>5)</sup>

The dispersed fluorescence spectra for nascent XeF\* formed are briefly described in following: 1) with increasing the excitation energy the relative intensity for the XeF(D→X) emission ( $\lambda_{\text{obs}} \approx 260$  nm) increases. 2) The XeF(B→X) band ( $\lambda_{\text{obs}} \approx 350$  nm) is found to be strong at every exciting energy measured but the vibrational distribution is strongly dependent on its excitation energy. 3) The XeF(C→A) band ( $\lambda_{\text{obs}} \approx 460$  nm) is weak.



**Figure 2.** Photoabsorption cross section of XeF<sub>2</sub> and total emission cross section measured for XeF\* formed vs. excitation wavelength.

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Photoemission study of the adsorption process of alkali metals on NbC(100)

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### 1. Introduction

Transition metal nitrides and carbides (TMNC's) are of considerable interest because of an interesting combination of physical properties such as an ultrahigh hardness, a high melting point, metallic conductivity, etc. From a practical point of view, they have been used as catalysts, electron emitters, coating materials, etc.; thus their surface properties are of particular interest. Alkali metal adsorption on metal surface has been subject of intensive studies because of the importance also in the preparation of an effective electron emitter and catalyst. Thus the study of alkali metal adsorption on TMNC surface is much motivated. In this work, the adsorption processes of Na and K on a NbC(100) are studied with core-level photoemission spectroscopy using synchrotron radiation.

### 2. Experimental

The PES measurements were conducted on BL2B1 of the UVSOR, Institute for Molecular Science, using a Grasshopper monochromator and a cylindrical mirror analyzer of a double-pass type. The clean NbC(100) surface was prepared in a vacuum chamber by flashing to about 1800 K. Na and K were evaporated from resistively heated dispenser (SAES getters) sources which were carefully degassed.

### 3. Results and discussion

Fig. 1 shows the work function change ( $\Delta\phi$ ) of the NbC(100) surface as a function of Na and K deposit times. The  $\Delta\phi$  is deduced from the secondary electron threshold in the photoemission spectra. The work function decreases rapidly in the initial stage and then becomes plateau which shows very similar value for the polycrystalline metal, for both adsorption. The  $\Delta\phi$  curves shown in fig. 1 is very similar to those for previously published metal - alkali metal systems, which imply large dipole moment formation in the initial stage and subsequent metallic layer formation.

Fig. 2 shows the change in the spectrum as a function of K coverage. The K 3p and 3s emissions are developed with the increase of K coverage. The spectral change is saturated at 1ML and splitting of peaks due to the multilayer

formation are not found, indicating that the adsorption is saturated when the single layer is formed. This is in contrast to the case for the NbC(100)-Na system where the multilayer formation is found. For more than 0.5 ML, subbands appear in the higher binding energy side of the main lines, which are due to the excitation of K layer plasmon. This result also implies that the adsorption layer is transformed to the metallic layer at  $> 0.5 L$ .

Binding energies of K core-level emissions are nearly independent on the K coverage (fig. 2). This indicates that the chemical environment of K atom is independent on the coverage. This is in contrast to the case for the many metal - alkali metal systems where ionic adsorption layers are formed in the initial stage, which results in the large coverage dependence of core-level emission energies. It is concluded from figs. 1 and 2 that the alkali metal adsorption on the NbC(100) surface proceeds via the Ishida model which does not include the ionization of alkali metal.

The surface-induced component of C 1s emission is much affected by K adsorption while the Nb core-level emissions are uniformly suppressed. The surface shift is only observed for C core-level, which is compatible with the electronic structure deduced from the relaxation model for the TMNC (100) surface.

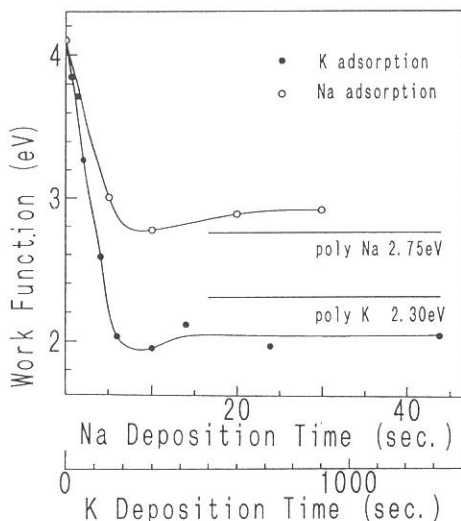


Fig. 1.

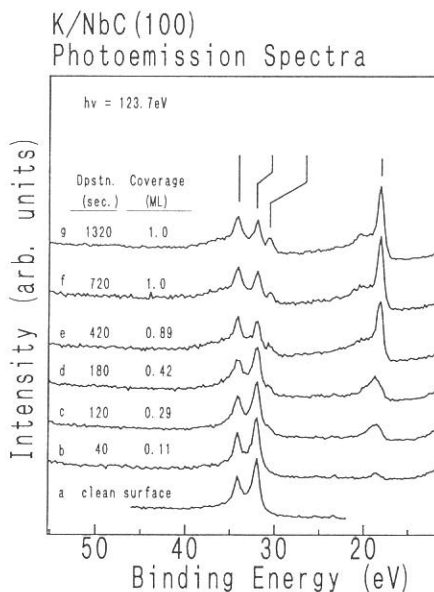


Fig. 2.



## Formation of the Quasi-Two Dimensional Alkali Halide on the Si(100) surface

Shin-ichiro TANAKA and Masao KAMADA

Co-adsorption of K and Cl on the Si(100) surface was investigated with photoelectron and XANES ( X-ray Absorption Near Edge Structure ) spectroscopy. Experiments were performed by using the grasshopper monochromator and a double-pass CMA at BL2B1 of UVSOR. The Si(100) surface were firstly covered with a monolayer of K and subsequently exposed to Cl [ Si(100)/K/Cl ]. The Si(100)/Cl/K system was also prepared in a similar way. The valence-band photoelectron spectra of these systems were very similar to that of the KCl film evaporated on gold, indicating that K and Cl atoms are ionic on both surfaces ( Fig.1 ). This is consistent with the results obtained from XANES spectra around the K-L<sub>2,3</sub> edge. Both surfaces showed the diffused (2x1) LEED pattern. It is considered that quasi-two dimensional KCl surfaces are produced in these systems. However, the Si-2p photoelectron spectra showed that the bonding configuration in the Si(100)/K/Cl system is different from that in the Si(100)/Cl/K system. ( Fig. 2 ) The spectrum for Si(100)/K/Cl is similar to that for Si(100)/Cl and the spectrum for the Si(100)/Cl/K is similar to that for the Si(100)/Cl.

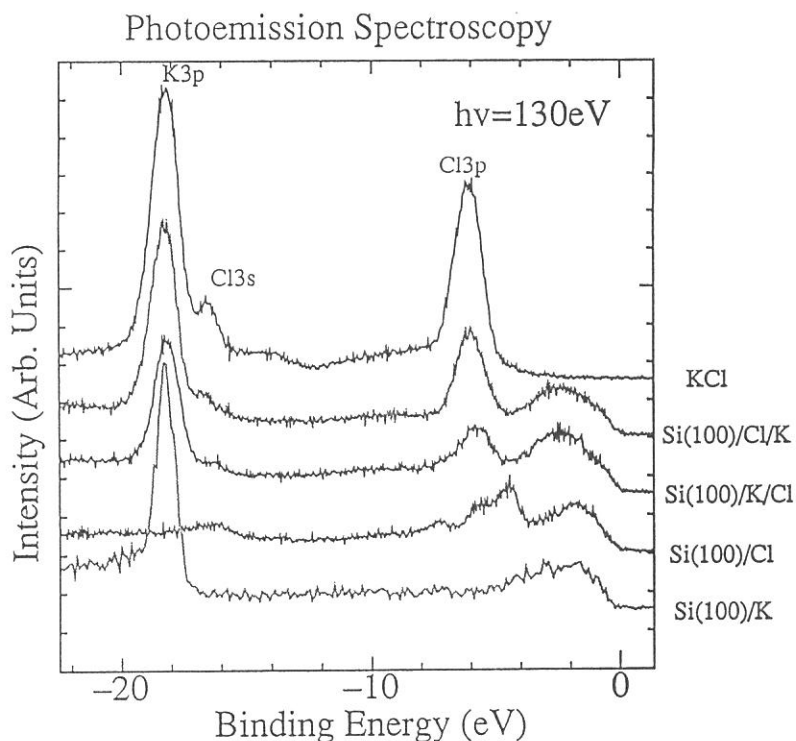


Figure 1

Photoelectron spectra of the Si(100) surfaces covered with a monolayer of K atoms [ Si(100)/K ], the Si(100) surface with a monolayer of Cl [ Si(100)/Cl ], the Si(100)/K surface after an exposure to Cl [ Si(100)/K/Cl ], the Si(100)/Cl surface after a deposition of K [ Si(100)/Cl/K ], and the KCl film evaporated on the gold substrate. The energy scale of the spectrum of KCl film were shifted by +0.6 eV. The sample preparation and the measurements were made at room temperature. Photon energy was about 128eV, and the kinetic energy corresponding to the valence band maximum was 123.5 eV.

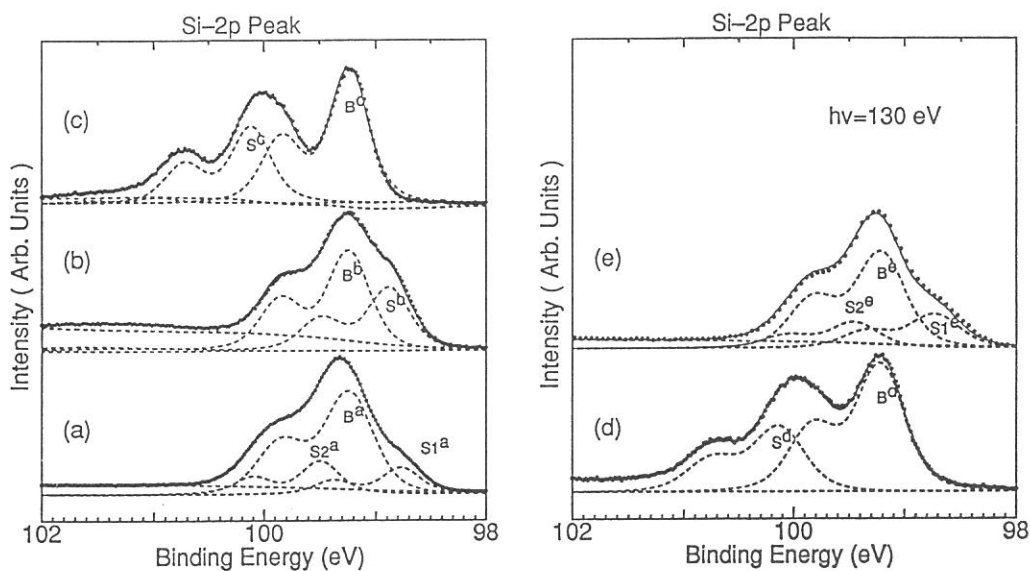


Figure 2

Photoelectron spectra of the Si-2p level for the Si(100) surfaces: (a) clean Si(100)(2x1), (b) Si(100)/K, (c) Si(100)/Cl, (d) Si(100)/K/Cl. (e) Si(100)/Cl/K. Dots are experimental data, lines are least square fits, and dotted lines are backgrounds. Each component has two peaks due to the spin-orbit splitting. "S" indicates the surface peak, and "B" indicates the bulk peak.

# Infrared Reflection Absorption Spectroscopy (IRAS) using Buried Metal Layer (BML) Substrate -Application for surface photo-chemical reaction-

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Synchrotron Radiation(SR) induced surface photo-chemical reaction, such as etching and chemical vapor deposition(CVD), is one of the most interesting field in not only technology but also in science.<sup>1</sup> Characteristic phenomena, such as material selectivity, have been found in the photo-chemical reaction induced by vacuum ultraviolet (VUV). To understand the reaction mechanism, in-situ measurements of adsorbed species are important. Infrared reflection absorption spectroscopy (IRAS) is a powerful technique with high resolution ( $< 1 \text{ cm}^{-1}$ ), high sensitivity, little destruction, and little pressure restriction. However, IRAS is known to be effective only for metal substrates, which has negligibly small phase shift for p-polarized beam. But, it is difficult to apply IRAS for semiconductor or insulator surfaces, which are important materials in the SR induced semiconductor processes. To overcome this disadvantage, the method of buried metal layer (BML) substrate has been proposed by several groups.<sup>2,3,4</sup> The experimental quantitative evaluation of the advantageous characteristics of BML substrate has not yet been studied. Since the BML is an artificial structure, the quantitative measurement of the enhancement is important to evaluate the usefulness of this technique. In the present paper, characteristics of the BML have been demonstrated by calculations and experiments using Langmuir-Blodgett films.

Figure 1 shows the angular dependence of IRAS of Ba stearate LB films deposited by 5 layers on the SiO<sub>2</sub> BML substrate. The Si(100) wafer with 20-nm-Al layer buried by 15-nm-SiO<sub>2</sub> thin films was used as the BML substrate. The peaks at 2957.7, 2917.3, 2872.4, 2850.0, 1542.8, and 1446.2  $\text{cm}^{-1}$  are assigned to antisymmetric CH<sub>3</sub> stretching, antisymmetric CH<sub>2</sub> stretching, symmetric CH<sub>3</sub> stretching, symmetric CH<sub>2</sub> stretching, antisymmetric COO<sup>-</sup> stretching, and symmetric COO<sup>-</sup> stretching, respectively. The intensity of each peak increases with increasing angle of incidence. This result agrees with the theoretical prediction.<sup>2</sup> This result shows that BML substrate is similar to the buried metal in the optical property, and that the BML method is useful for observing adsorbates on surfaces of semiconductor or insulator.

Figure 2 shows the calculated intensity curve and the experimental values of IRAS using BML substrate. Calculation was made by using the multilayer reflection equation.<sup>2</sup> In the calculation, the complex reflective index ( $n - ik$ ) values at  $1446.2 \text{ cm}^{-1}$ ,  $n_1=1.00$ ,  $k_2=0.00$  for air,  $n_2=1.148$ ,  $k_2=0.198$  for LB films,  $n_3=1.11$ ,  $k_3=0.00$  for  $\text{SiO}_2$  buffer layer, and  $n_4=13.0$ ,  $k_4=47.0$  for Al were used. LB film thickness was assumed to be  $25 \text{ \AA}$  for one monolayer. The  $n_2$  and  $k_2$  values between calculated and experimental agree with the reported refractive index values with stearate compounds or stearic acid. Therefore it is concluded that our fabricated BML structure is complete as a substrate of IRAS. We will apply this method to monitor synchrotron radiation induced surface photo-chemical reactions.

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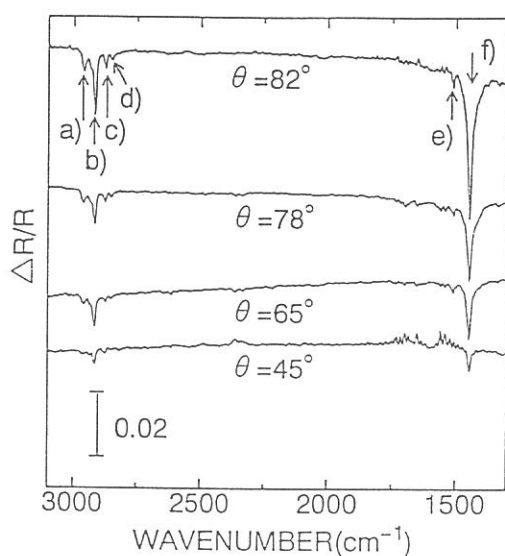


Figure 1 Angular dependence of IRAS for Ba stearate LB films deposited by 5 layers on the  $\text{SiO}_2$  BML substrate. Vertical line is  $\Delta R/R = (R_0 - R)/R$ , where  $R$  and  $R_0$  are reflectivity with and without LB films, respectively.

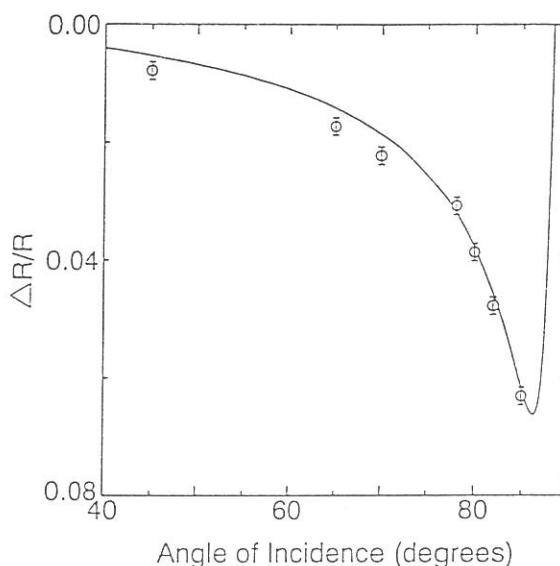


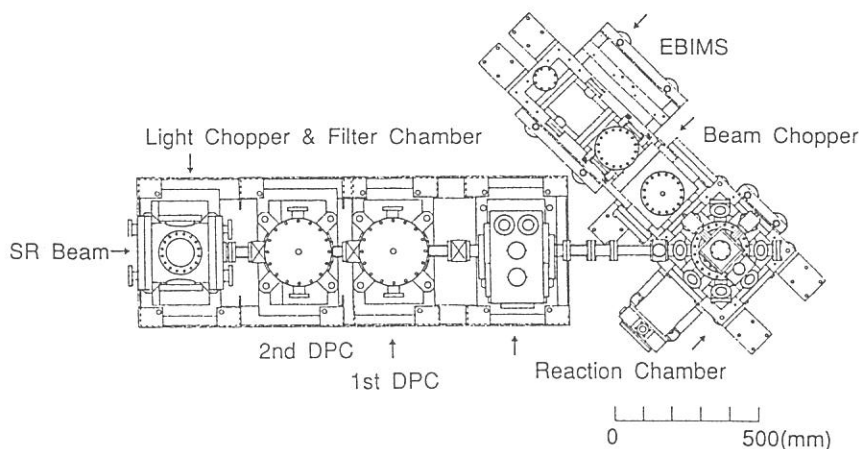
Figure 2 Intensity curve calculated for the symmetric  $\text{COO}^-$  peak at  $1446.2 \text{ cm}^{-1}$  of 5 monolayer Ba stearate LB films on BML substrate by p-polarized beam. The open circles are experimental data for p-polarized beam.

# SYNCHROTRON RADIATION EXCITED ETCHING OF SILICON SURFACE STUDIED BY VELOCITY DISTRIBUTION MEASUREMENTS OF DESORBED SPECIES (II)

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Synchrotron radiation excited etching of Si(100) surface with XeF<sub>2</sub> is studied by measuring the velocity distributions of the desorbed neutral species using a time-of-flight (TOF) technique and an electron bombardment ionization mass spectrometer detector (EBIMS). A new reaction chamber is set up to the beam line BL4B and was constructed to lower the residual gas background due to the desorbed species. Focused synchrotron radiation from a bending magnet was shone on the Si(100) sample in XeF<sub>2</sub> environment. In the present study very reactive etchant XeF<sub>2</sub> gas was used to avoid the etchant gas pressure to exceed 1.0 × 10<sup>-4</sup> Torr in the reaction chamber and yet to supply enough F atoms to the surface. The schematics of the apparatus is shown in Figure 1. The angle between the incident light beam and the



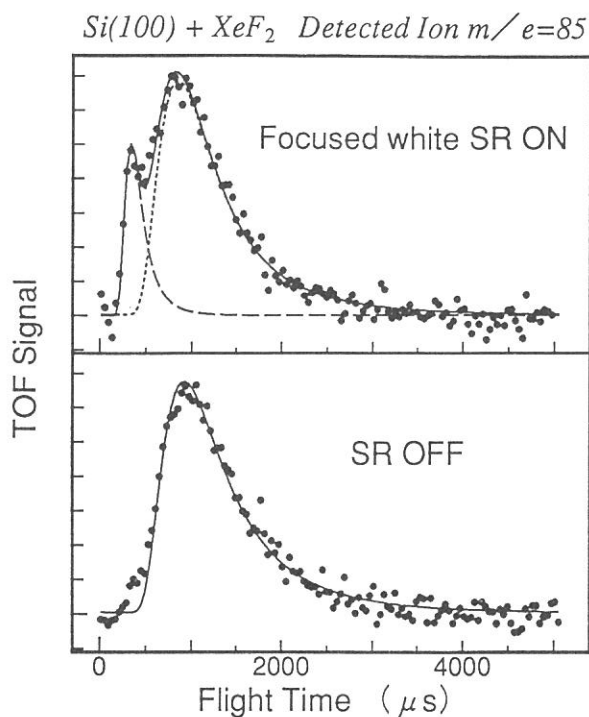
**Figure 1.** The schematic top view of the apparatus.

velocity vector of desorbing product detected is 135 degrees. The flight length from the correlation chopper to the ionizer,  $L$ , was 31 cm.

Figure 2 shows the TOF spectra observed at mass  $m/e = 85(\text{SiF}^+)$  with the SR on and off. The conspicuous difference between the two distributions is that with the SR on a fast moving species appears as a shoulder in addition to the slow species. The shoulder band for the fast moving species in the TOF spectrum with SR on can be fitted by a shifted Maxwell Boltzmann (SMB) distribution as follows.

$$F(t) \propto \left(\frac{v}{\alpha}\right)^4 \exp\left[-\left(\frac{v-u}{\alpha}\right)^2\right]$$

where  $\alpha$  is the most probable velocity  $\alpha = \{2RT/M\}^{1/2}$  and  $t$  is the neutral flight time given by  $t = L/v$ . In the upper figure in Figure 2 the dotted and the dashed curves are the calculated SMB distributions, respectively and the solid curve is the sum of them. The average velocities for the fast and slow components with SR on are 870 and 340 m/s, respectively, and that with SR off is 320 m/s. The intensity level measured when SR is irradiated is more than that when SR is off. The intensity level for only the slow component with SR on is as high as that with SR off. A fast peak was not at all observed even when Si is irradiated with undulator light (35.8 eV) and nor when un-irradiated<sup>1)</sup>. From a



**Figure 2.** TOF spectra of desorbed species detected at mass  $m/e = 85$  ( $\text{SiF}^+$ ) with focused SR beam on and off. The curve corresponds to the calculated SMB distribution.

series of measurements under different experimental conditions it has been found that the substrate temperature goes up as much as about 200 °C depending upon the irradiation time and the stored current level. The peak for the fast moving species was not always observed even when Si was irradiated with focused white SR, but was observed only after some twenty minutes of irradiation. It seems that the appearance of the fast peak depends upon the irradiation time and SR intensity as well as substrate temperature. Although the mechanism for formation of the fast moving species is not well understood at the moment, it is distinctly observed only when SR is shone upon Si.

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# Dynamics of Photon-Stimulated Desorption of Excited-State K Atoms from KCl and KBr

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Photon-stimulated desorption (PSD) of constituent ions and atoms from solids has aroused considerable interest, because of its close relation to fundamental interaction between incident beams and solid surfaces, and also because of its applicability to microfabrication. There are most desorption studies of ions and ground-state atoms from alkali halides, while several works are reported about the PSD of excited atoms.<sup>1)</sup> The purpose of present study is to improve our understanding of the PSD mechanism, especially the dynamics of excited-state alkali desorption, by measuring the time response of excited-state alkali desorption.

The experiment was carried out with synchrotron radiation pulses under a single-bunch operation at a undulator beam line 3A1. An excitation source was used by the undulator radiation through a 1300-Å-thick aluminum filter. Time response of excited-state alkali desorption was investigated by using a time-correlated single photon counting method at room temperature. The interval of successive SR pulses was 178 ns and the full-width at half-maximum of incident photon pulse including the detector system was 480 ps. Single crystals of KCl and KBr were cleaved with a knife edge in sample chamber, of which base pressure was about  $2 \times 10^{-7}$  Pa.

Figure 1 shows the time response of excited-state K desorption from KCl and KBr. These time responses are composed of fast and slow components. The fast component is in the time scale of nano-second and the slow component is between 178 ns and 3 ms. The slowest limit was determined by using the mechanical chopper. Therefore, this result indicates the two PSD mechanisms of excited-state alkali atoms.

The time response of ground-state alkali atoms has been reported to be in the scale of  $\mu$ s to ms by Kanzaki *et al.*<sup>2)</sup> and Loubriel *et al.*<sup>3)</sup> They proposed that the desorption process of ground-state alkali atoms is related to the diffusion of F or  $V_K$  centers and the surface reaction of F centers and alkali ions. The slow component of excited-state alkali desorption is seemed to be in the time scale of ground-state alkali desorption. However, the substance dependence and the temperature dependence of the desorption

yield are clearly different between excited-state and ground-state alkali atoms.<sup>4)</sup> Therefore, we propose here that the slow desorption of excited-state alkali atoms may be due to the thermal instability of surface defects, which are different from those concerning the ground-state alkali desorption.

On the other hand, the fast component of excited-state alkali desorption is in the time scale of nano-second. On the basis of the substance dependence,<sup>5)</sup> it becomes evident that the substance having a larger Rabin-Klick parameter shows a larger efficiency of the fast desorption. This result indicates that the lattice instability due to the electronic excitation in the surface layers may play an important role on the fast PSD of excited-state alkali atoms. We propose that excited-state alkali atoms may be produced by way of the precursor state (for example, off-center distorted STE, F-H pair, and F center), which is produced instantly by electronic transition in the surface layers with photon irradiation, and they decay with emitting optical fluorescence, which is observed as an atomic line. The solid curves in Fig. 1 are the decay curves analyzed with the model mentioned above. It should be noticed that there is good agreement between the analyzing curves and the observed decay curves. This result supports the present fast desorption model for excited-state alkali atoms.

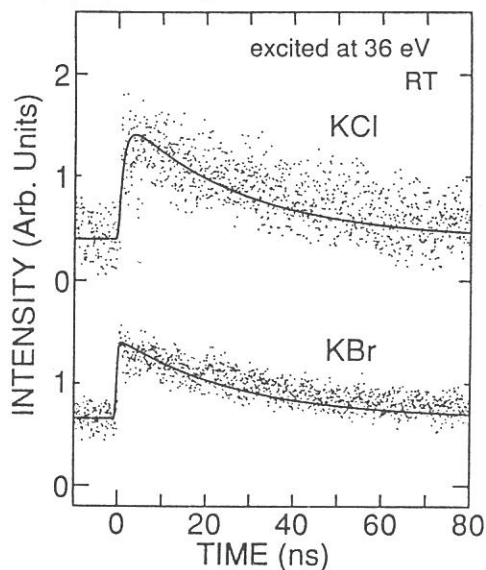


Fig. 1. Time response of excited-state K desorption from KCl and KBr excited with undulator radiation of 36 eV. Solid curves are the analyzing curves.

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## PHOTON STIMULATED DESORPTION OF METASTABLES FROM THE SURFACE OF RARE GAS SOLIDS.

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The excitation of rare gas solids by electrons or photons leads to the desorption of ions, ground state, excited atoms (including metastables), etc. Two models of the desorption process have been proposed to describe the desorption of excited neutral species<sup>1</sup>: Cavity-Ejection (CE) in which the excited atom at the surface is ejected by a repulsive potential around it caused by negative electron affinity of the matrix and Excimer-Dissociation (ED) in which the conversion of the electronic excitation energy into the kinetic one occurs after an electronic transition to an antibonding state.

We have investigated the kinetic energy of the metastables desorbed by excitonic excitation by photon from the surface of Ne and Ar alloy to get an insight into the mechanism of the desorption induced by electronic transitions (DIET). We have also obtained some preliminary results of the angular distribution of the Ne metastables from the surface of pure Ne. The experiments were carried out at the beam line BL5B in UVSOR, Institute for Molecular Science. Details of the experimental system and procedure have been previously described<sup>2</sup>.

Figure 1 shows a typical time-of-flight spectrum of the desorbed particles from the solid surface of Ne/Ar alloy with 12 % of Ne. The two metastable signals are assigned to Ar\* (CE) at TOF  $\approx$  250  $\mu$ sec, and a mixture of Ne\*(CE) and Ar\* (ED) at TOF  $\approx$  95  $\mu$ sec. Photon signal is chosen as the origin of the flight time.

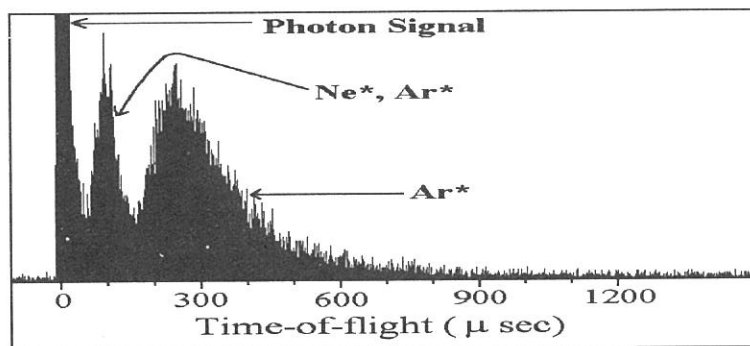


Fig. 1. TOF spectrum of metastables desorbed from an Ne/Ar alloy (12 % of Ne,  $\lambda_{\text{scan}}$ : 50-110 nm).

When we used a detector which quantum efficiency for Ar\* was almost null, Ne\*(CE) signal desorbed by first (S1,  $\lambda = 72.2$  nm) and second (S',  $\lambda = 65.3$  nm) order surface

excitation was only observed (See Fig. 2). The fact that the Ne\* signal disappeared at the excitation of bulk excitons (B1,  $\lambda = 70.5$  nm; B2,  $\lambda = 61.2$  nm) of Ne suggests that there is an efficient mechanism of energy transfer from Ne bulk excitons to Ar matrix. Figure 3 shows the effect of this mechanism in the enhancement of Ar\* yields desorbed from an Ne/Ar alloy (12% of Ne) with respect to pure Ar. In this case a detector with high quantum efficiency for Ar\* was used.

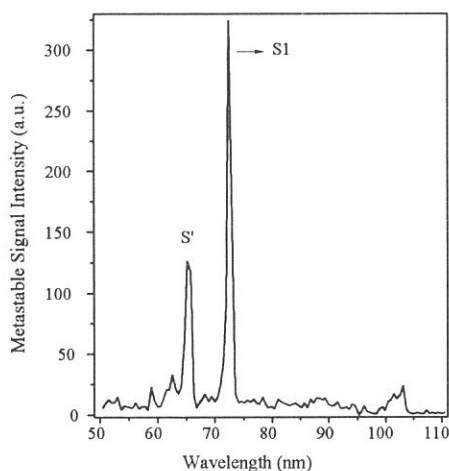


Fig. 2. Dependence of the total metastable signal on  $\lambda$  for an Ne/Ar alloy with 28.7% of Ne. This spectrum was obtained using a detector with quantum efficiency for Ar\* $\approx$ 0.

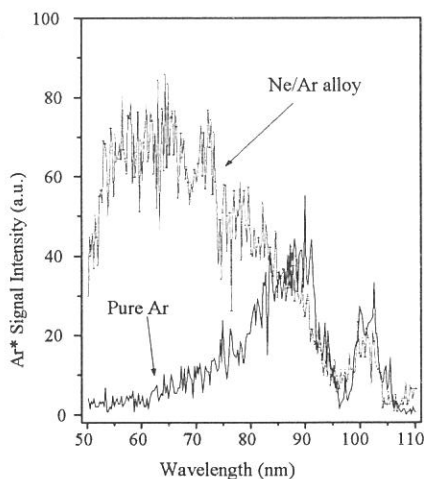


Fig. 2. Dependence of the Ar\* signals on  $\lambda$  for pure solid Ar and an Ne/Ar alloy (12 % of Ne). Results obtained by a detector with high quantum efficiency for Ar\*.

At the excitation energy of Ar excitons ( $\lambda \geq 85$  nm), the signal ratio Ar\*(ED)/Ar\*(CE) increased as the amount of Ne increase from 0 to 73% (results not shown). These results show that the diffusion of Ar exciton from bulk to surface is blocked by Ne and the formation of the excimer (probably (NeAr)\* heteronuclear one) is enhanced.

Preliminary results of PSD angular distributions of Ne\* from pure solid Ne were obtained. In these experiments we used a position-sensitive, single-event counting device that includes two microchannel plates mounted in front of a (2-dimensional) position sensing resistive anode recorder. Kinetic energy and angular distribution can be simultaneously obtained with this detector. Each metastable (CE, ED) has a very characteristic angular distribution which is in agreement with the previous ESD (Electron Stimulated Desorption) studies<sup>3</sup>, and support the proposed two desorption mechanisms.

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# Photoemission Study of $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$

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Electrical insulator of 3d transition metallic compounds are usually classified in to Mott-Hubbard type or charge-transfer type. It has been clarified recently that the oxides of Cu and Ni are described as charge-transfer insulators.  $\text{La}_2\text{CuO}_4$  is known as a typical charge-transfer insulator, and its hole-doped system shows a insulator to metal transition upon the doping. Photoemission studies of the hole-doped cuprates has been intensively carried out and revealed that the introduced positive holes occupy newly formed in-gap states, which mainly consist of O 2p character.

$\text{SrLaFeO}_4$ , isostructure with  $\text{La}_2\text{CuO}_4$ , dose not show a insulator to metal transition upon the hole doping.<sup>1)</sup> In this report, electronic structure of hole-doped  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  ( $0 \leq x \leq 0.3$ ) was studied by ultraviolet photoemission spectroscopy. It will be concluded that the valence band structure in this system did not change and Fermi level was settled above  $\sim 1.2\text{eV}$  of the valence band maximum upon the doping.

The samples sintered were prepared at  $1500^\circ\text{C}$  for 48h in  $\text{O}_2$  flow. Photoemission measurements were performed at the beam line BL8B2. The surfaces of the samples were scraped with a diamond file just before measurements to avoid the surface contamination under a vacuum of  $4 \times 10^{-9} \sim 1 \times 10^{-8}$  Torr.

Figures 1 and 2 show photoemission spectra in the valence band region for the excitation photon energies of 28 and 40eV, respectively. The spectra of bulk and non-doped sample showed charging effects, which were detected as a uniform shift of the whole spectrum to higher binding energies ( $\sim 1.5\text{eV}$ ) and as a spectral broadening. Since no charging effect was observed in the spectra of the doped samples, we concentrated on results of the doped samples. Strong features centered at  $\sim 6\text{eV}$  and  $\sim 10\text{eV}$  were seen in the valence band photoemission spectra. No significant change was detected in the spectra of the samples with the different doping level. It must be noted that the valence

band maximum of each spectrum was settled at  $\sim 1.2\text{eV}$  of binding energy. This suggests that the Fermi level do not shift upon the doping and the rigid band model cannot apply to this system.

The emission cross section of O 2p steeply increases toward lower photon energies, while that of Fe 3d emission becomes more dominant for higher excitation photon energies ( $h\nu \gtrsim 40\text{eV}$ ).<sup>2)</sup> Therefore, the band, which consists mainly of Fe 3d character, is enhanced in the spectra with excitation photon energy of 40eV (Fig. 2), if such a band exists in the valence band region. But the line shape has unchanged for both excitation photon energies in contrast with such different photon energy dependence of Fe 3d and O 2p. This suggests that the valence bands in this system are composed of well mixed Fe 3d and O 2p states. This is consistent with the results of Fe 3p - 3d resonant photoemission measurements<sup>3)</sup>.

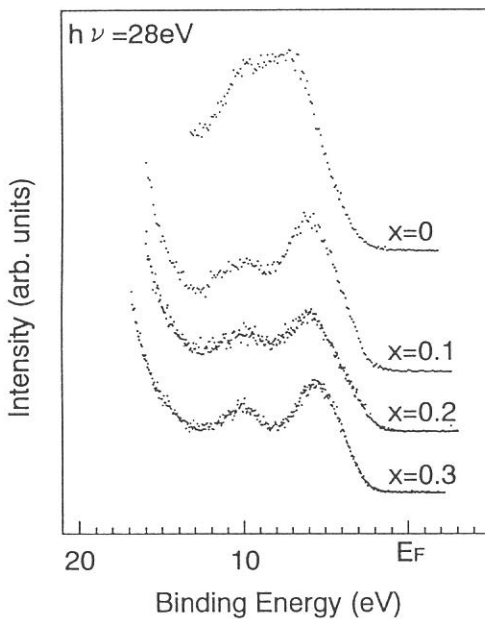


Fig. 1. UPS spectra of  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  with excitation photon energy of 28eV.

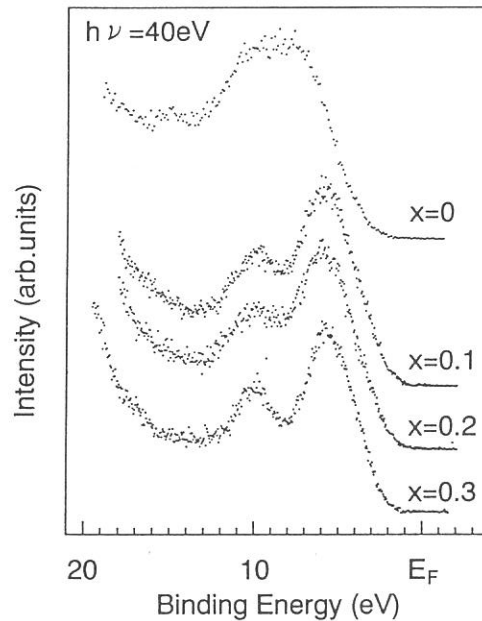


Fig. 2. UPS spectra of  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  with excitation photon energy of 40eV.

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## Resonant Photoemission Studies of Mn 3d States in $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$ and $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$

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Mn-substituted II-VI diluted magnetic semiconductors have attracted a great deal of interest. The replacement of the group II-cation with Mn yields novel magneto-optical phenomena[1]. These effects are derived from a hybridization of the Mn 3d states in the high spin configuration with the sp-band states of the host crystals. Resonant photoemission spectroscopy is a powerful technique for investigating a measure of the Mn 3d partial density of states (DOS). In this report, we present the results for  $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$  and  $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$ .

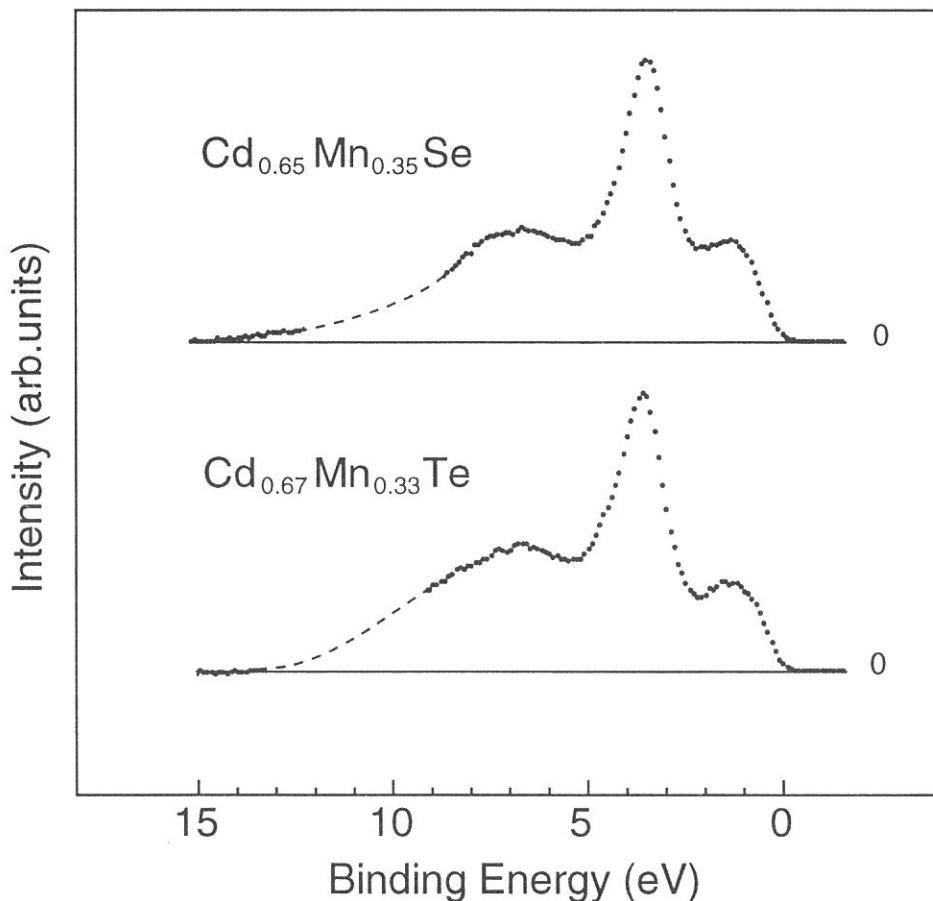
The resonant photoemission experiments in the Mn 3p-3d excitation region were carried out on BL-6A2 of UVSOR equipped with a plane grating monochromator. Clean surfaces of samples were prepared by cleavage under ultrahigh vacuum below  $5 \times 10^{-10}$  Torr in the preparation chamber. Then, the sample was *in situ* transferred into the analysis chamber with base pressure of  $4 \times 10^{-10}$  Torr. All measurements were performed at room temperature. Bulk crystals of  $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$  and  $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$  were grown through a Bridgman method. We verified the samples forming homogeneous crystal phase by x-ray diffraction measurement. The Mn concentration was determined by electron-probe micro-analysis.

Figure 1 shows the Mn 3d partial DOS's of  $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$  and  $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$  obtained by subtracting the spectrum measured at  $h\nu=47.5$  eV (antiresonance) from that taken at  $h\nu=50.0$  eV (on-resonance), after the normalization of spectral intensities to the monochromator output by use of photoelectron yield from Au film. Binding energy is referred to the valence-band maximum, which was determined by a linear extrapolation of the leading edge. In addition, contribution from inelastic secondary electrons has been removed. The Mn 3d partial DOS's exhibit a characteristic feature consisting of three structures; a main peak at 3.5 eV, valence bands in 0-2.5 eV, and a broad satellite in 5-9 eV. It should be noticed that the intensity of the valence bands relative to that of the main peak increases on going from Te to Se, whereas the relative intensity of the satellite decreases.

On the basis of the configuration interaction (CI) theory using  $\text{MnTe}_4$  cluster, the spectral shape of the Mn 3d partial DOS depends on  $\Delta$  and  $(pd\sigma)$ , where they denote the ligand-to-3d charge-transfer energy and the p-d transfer integral, respectively. With increasing  $\Delta$  or  $(pd\sigma)$ , the relative

intensity of the valence-bands increases while that of the satellite decreases.  $\Delta$  and  $(pd\sigma)$  for  $\text{Cd}_{1-x}\text{Mn}_x\text{Te}$  ( $\text{Cd}_{1-x}\text{Mn}_x\text{Se}$ ) obtained from the d-d optical absorption spectra are 2.0 (2.5) and 1.1 (1.2), respectively[2]. Thus, the difference of the Mn 3d partial DOS features between  $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$  and  $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$  can be well explained within the framework of the CI theory. Measurements on the Mn 3d partial DOS of  $\text{Cd}_{1-x}\text{Mn}_x\text{S}$  are now in progress.

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**Fig.1** Mn 3d partial DOS in  $\text{Cd}_{0.67}\text{Mn}_{0.33}\text{Te}$  and  $\text{Cd}_{0.65}\text{Mn}_{0.35}\text{Se}$  obtained from the photoemission spectra measured at antiresonance and just on resonance.

## Resonant Photoemission Study of an Al-Pd-Mn Icosahedral Phase

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Since the discovery of an icosahedral phase (i-phase) in Al-Mn alloys by Shechtman *et al.* [1], there have been reported a large number of studies about the electronic properties of icosahedral materials in spite of remaining the arrangement of the atoms in an i-phase unclear. By intuition the pseudogap structure can be interpreted in terms of the nearly-free-electron-like energy gap inferred from the strong diffraction spots, which may be related to the stability of the i-phase. Friedel pointed out the possibility that the pseudogap structure can be enhanced by a crossing effect of 3d state with the nearly-free-electron-like state, and the stability would be augmented in an i-phase system containing transition metals by the crossing effect at about  $E_F$  which enhances the energy gap of the Brillouin zone. Recently a pseudogap-like anomaly in the DOS structure near  $E_F$  was directly confirmed with the photoemission study of an Al-Cu-Fe i-phase by Mori *et al.* [2]. The CIS spectra, being a kind of photoemission study, at the binding energy region between 0 – 2 eV show a resonant characteristic near the 3p-electron excitation of Fe atom in the Al-Cu-Fe i-phase.

The purpose is to observe the resonant photoemission study to search the Mn 3d emission and discuss about the role of the Mn 3d states on the stability of the i-phase. The sample – an Al-Pd-Mn i-phase quasicrystal – was an ingot prepared by the same method in ref. [3]. It was confirmed that the specimen used with this study consists of only a *usually-reported F-type i-phase* but does not contain the other phases. Photoemission studies were performed with an angle-resolved spectrometer on beam-line BL-8B2. All measurements were carried out at room temperature. The clean surface of the specimen was obtained by scraping with a diamond file in a vacuum of  $2 - 4 \times 10^{-8}$  Pa. Immediately after this process, the sample was transferred to the UPS experimental chamber and measured in a vacuum of  $0.5 - 2 \times 10^{-8}$  Pa. A spectral dependence of incident radiation was determined from a photoelectric yield spectrum of gold.

The line shape of overall observed spectrum is like a single large peak on a plateau cut off at  $E_F$  shown in Fig. 1. The large peak observed at 4.3 eV below  $E_F$  seems to originate mainly from 4d state of Pd atoms. We could not find out the clear peak of Mn 3d states in spite of this sample contained of 10 at.% Mn. We have tried about the resonant photoemission of the Mn 3d emission in the Al-Pd-Mn i-phase. A poor change in the EDC of the Al-Pd-Mn i-phase could be observed for the incident photon energy near the 3p-excitation threshold energy of 47 eV for Mn shown in Fig. 1. But the energy position of Mn 3d band may nevertheless be estimated by using such a resonant

photoemission study. The CIS spectra were measured at room temperature as shown in Figure 2. The CIS spectra *A*, *B*, *C* and *D* do not show a remarkable resonance effect. But a weak resonant phenomena is observed in the spectrum *E* at the energy region of the Mn 3p-3d core excitation. Only the electron state near  $E_F$  can be assigned to be the states hybridized with the Mn 3d orbitals which contains appreciable amounts of Mn 3d electrons. As the result, the Mn 3d band seems to be located near  $E_F$ . The CIS intensity depends on the incident photon energy severely and the resonance effect at the Mn core excitation energy is little. One reason of such a weak resonance effect is because the sample has a considerable amount of Pd atoms having more electrons. The other may be that Mn core excitation energy is widely distributed from site to site. The binding energy at maximum of Mn 3d DOS seems to be at  $E_F$ .

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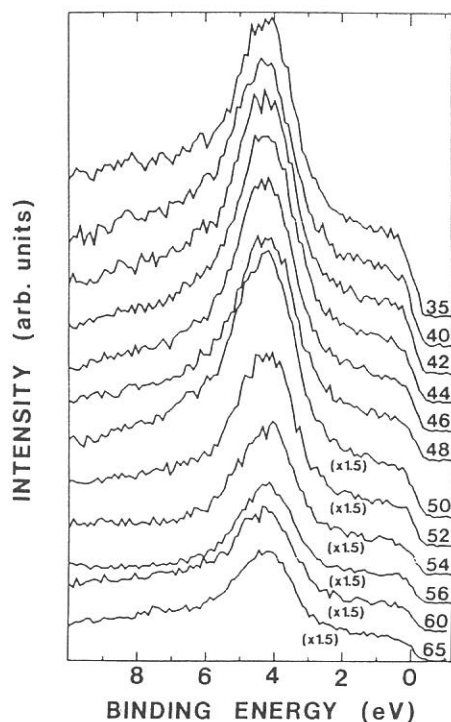


Fig. 1. Photoemission spectra of Al-Pd-Mn i-phase measured at room temperature for several incident photon energies, the values of which are shown in eV on each spectrum. Intensity of the spectrum is scaled up by 1.5 for the incident photon energy above 50 eV.

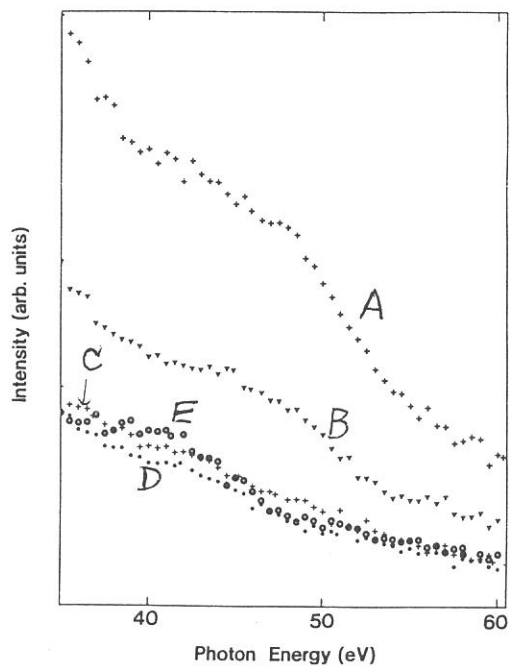


Fig. 2. CIS spectra of Al-Pd-Mn i-phase at room temperature for several binding energies. *A*, *B*, *C*, *D* and *E* corresponds to the spectrum at the binding energies 4.1 eV, 3.1 eV, 2.1 eV, 1.1 eV and 0.1 eV, respectively.



# Large Anisotropy of Electronic Structure due to BiO Superstructure in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ Studied by Angle-Resolved Photoemission

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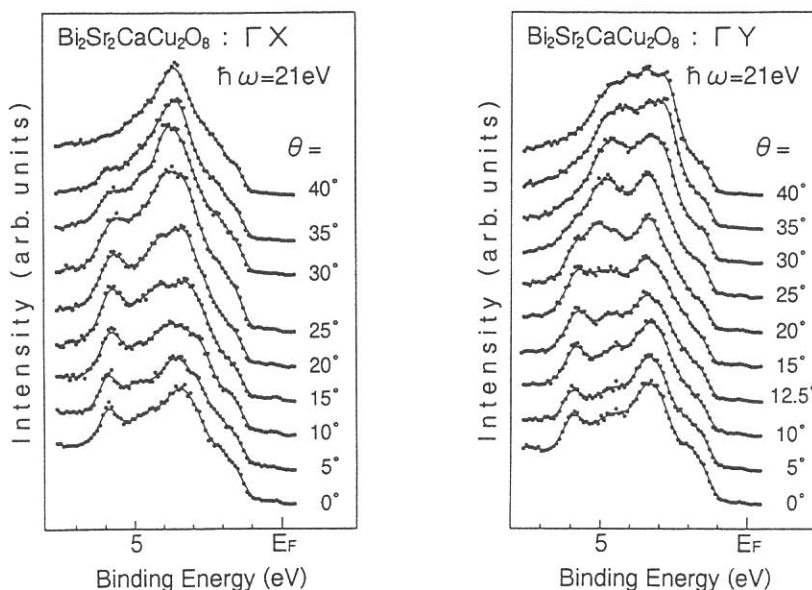
## 1. Introduction

It is well known that Bi-system oxide superconductors such as  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$  (Bi2212) have an incommensurate superstructure in the BiO plane in the crystal. Although a several angle-resolved photoemission studies on Bi2212 have been performed so far, a possible effect of the BiO superstructure has been overlooked. The electronic structure along the two directions,  $a$ - and  $b$ -axes, in the crystal structure ( $\Gamma X$  and  $\Gamma Y$  directions in the Brillouin zone, respectively) has been regarded as the same, although the BiO superstructure runs along only the  $b$ -axis. We report a careful angle-resolved photoemission spectroscopy to study the difference in the electronic structure due to the BiO superstructure in Bi2212 superconductor.

## 2. Experimental

A Bi2212 single crystal of  $5 \times 5 \times 0.2 \text{ mm}^3$  was prepared by a floating-zone method. Its single-crystallinity was checked by x-ray diffraction and the resistivity measurement showed that it becomes superconductive at 85 K.

Photoemission measurement was performed with an angle-resolved photo-

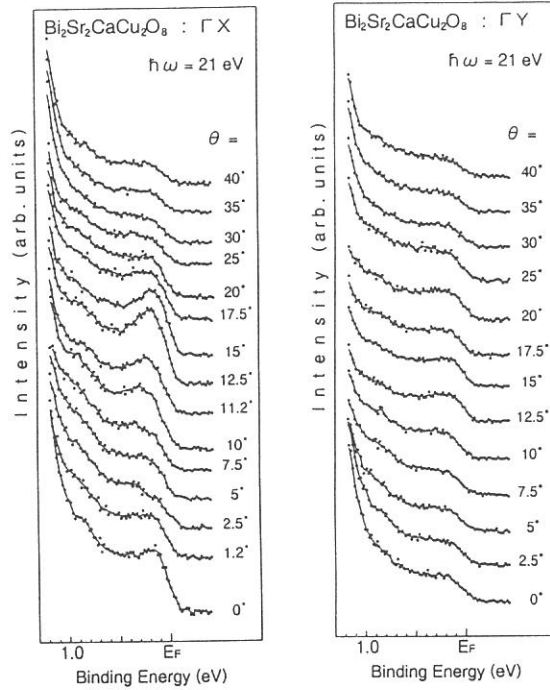


**Figure 1** Angle-resolved photoemission spectra of  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$  measured along the  $a$ - and  $b$ -axes in the crystal ( $\Gamma X$  and  $\Gamma Y$  directions in the Brillouin zone, respectively).

electron spectrometer at BL8B2. Its energy and angular resolutions were about 0.2 eV and  $2^\circ$ , respectively. A clean surface of the crystal for measurement was obtained by *in-situ* cleaving. The Fermi level of the sample was referenced to that of a gold film deposited on the sample.

### 3. Results and discussion

Figure 1 shows angle-resolved photoemission spectra of Bi2212 single crystal measured along the two high symmetry directions,  $\Gamma X$  ( $a$ -axis) and  $\Gamma Y$  ( $b$ -axis) in the crystal. We find that a substantial difference arises when we go to higher polar angles while the spectra look similar in the low polar angle region. Figure 2 shows the spectra in the vicinity of the Fermi level. We find in the  $\Gamma X$  direction that a dispersive band approaches the Fermi level with the polar angles and finally crosses it around  $15^\circ$ , while in the  $\Gamma Y$  direction along which the incommensurate BiO superstructure runs, we cannot observe such a remarkable change of the spectrum with the angle. The observed insensitivity of the spectrum against the polar angle in the  $\Gamma Y$  direction would be due to the band-folding caused by the BiO superstructure. Thus, a remarkable difference is recognized between the two directions. This indicates that the effect due to the BiO superstructure should be properly considered when we discuss the electronic structure of the Bi-system superconductors.



**Figure 2** Angle-resolved photoemission spectra of Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8</sub> in the vicinity of the Fermi level measured along the  $a$ - and  $b$ -axes in the crystal ( $\Gamma X$  and  $\Gamma Y$  directions in the Brillouin zone, respectively).

**Acknowledgment** - We thank T. Mochiku and K. Kadowaki, National Research Institute for Metals, for supplying a high quality Bi2212 single crystal.

# Electron Transfer in Metallofullerene Observed by Ultraviolet Photoelectron spectra [1]

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There have been a controversy [2–5] whether metal atoms in so-called metallofullerene are actually inside the carbon cage or not. An ESR measurement on  $\text{LaC}_x$  [2] indicates – 3 oxidation state of the carbon cage and an XPS result of  $\text{LaC}_x$  [3] indicates + 3 oxidation state of the La atom. They suggest that the metal atom is inside the cage. However, EXAFS studies [4,5] show different coordination numbers of the metal atom, which could be a counter evidence of an encapsulation of the metal atom. The investigation of the electronic structure gives a clue of the actual position of the metal atom in the metallofullerene molecules.

Ultraviolet photoelectron spectra (UPS) of metallofullerene,  $\text{LaC}_{82}$  are shown in Fig.1. The intensity of the spectral bands, particularly the first and second bands, change upon varying the incident photon energy as have been observed in the spectra of other fullerenes. This means that metallofullerene acts as the other empty fullerenes.

The spectra resemble those of empty fullerene,  $\text{C}_{82}$ . Figure 2 shows the UPS of  $\text{LaC}_{82}$  and  $\text{C}_{82}$ . However, a comparison of the UPS reveals a formation of new electronic states in  $\text{LaC}_{82}$  in the vicinity of the Fermi level ( $E_F$ ) as is shown in an insert of Fig.2. The new states locates at 0.9 and 1.6 eV below the  $E_F$  with the intensity ratio of 1 : 2. Their origin is the transfer of three electrons from La to  $\text{C}_{82}$  to form the electronic structure of  $\text{La}^{+3}\text{C}_{82}^{-3}$ . Present result combined with theoretical calculations on the position of the La atom in the molecule asserts an encapsulation of the La atom inside the carbon cage. As the second lowest unoccupied molecular orbital of  $\text{C}_{82}$  is half filled,  $\text{LaC}_{82}$  is expected to behave as a metal.  $\text{LaC}_{82}$  is, however, found to be semiconductive, since there is a clear gap between its UPS onset and the  $E_F$ . This indicates the existence of a molecular aggregation to stabilize the total electronic energy of solid  $\text{LaC}_{82}$ .

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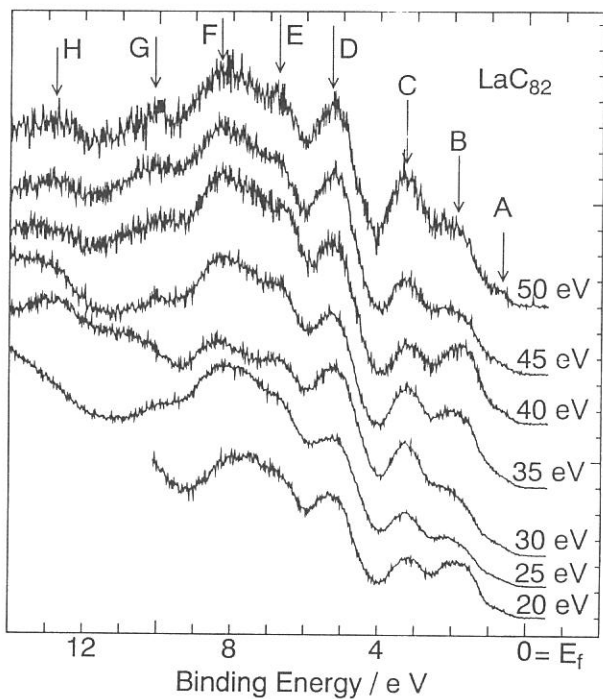


Fig. 1 Photon energy dependence of metalofullerene,  $\text{LaC}_{82}$ .

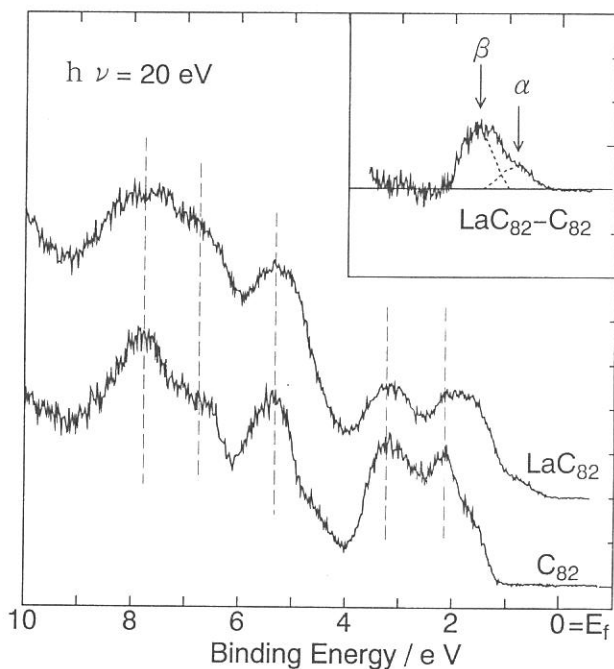


Fig. 2 Comparison of the UPS of  $\text{LaC}_{82}$  and empty fullerene,  $\text{C}_{82}$ .

## Photoemission Study on the Electronic Structure of Porphyrins

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Porphyrin has been the subject of considerable interest because of their unique properties as organic semiconductors. Recently the conductive property of 5, 10, 15, 20-tetraphenylporphyrin was found to change between n-type and p-type by substituting four phenyl groups and central metal [1]. But the mechanism of the change of conductive type is not well understood. In this study, we investigated the electronic structure of three porphyrins 5, 10, 15, 20-zinctetraphenylporphyrin (ZnTPP, p-type), 5, 10, 15, 20-tetraphenyl porphyrin (H<sub>2</sub>TPP, p-type), 5, 10, 15, 20-tetra(4-pyridyl)porphyrin (H<sub>2</sub>T(4-Py)P, n-type) by ultraviolet photoelectron spectroscopy (UPS). Their molecular structures are shown in figure 1. The change of the electronic structure by air-dosing was also discussed.

UPS spectra were measured with angle-resolving UPS system at the beamline 8B2 of UVSOR with plane-grating monochromator. The substrate was vacuum evaporated Ag film on molybdenum plate. Porphyrin thin films (28nm thick) were prepared by vacuum deposition on the substrate. The incident angle of photon ( $h\nu=40$  eV) was 50° and electrons were detected in normal emission.

In figure 2, the spectra of ZnTPP, H<sub>2</sub>TPP, and H<sub>2</sub>T(4-Py)P prepared and measured under ultrahigh vacuum are shown on binding energy scale relative to vacuum level. These spectra can be explained by superposition of the density of states (DOS) of porphine and the substituents [2].

In the case of inorganic semiconductors, p-type (n-type) semiconductor has its Fermi level below (above) the midgap. In order to investigate whether the same relation holds in the case of porphyrin, we discuss the energy separation between HOMO and Fermi level. In figure 3, the spectra in the HOMO region of fig.2 are shown on binding energy scale relative to the Fermi level of Ag substrate in figure 3. The energy position and lineshape of the HOMOs of the three porphyrins are similar. The energy difference among the HOMOs were within 0.2 eV. Since the HOMOs of the porphyrins are assigned to  $\pi$  orbital which is distributed over the macrocycle, this results indicates that the change of substituent does not significantly affect the electronic structure of the macrocycle.

Figure 4 shows the spectra of porphyrin films exposed to air (1 atm, 1 hr) before UPS measurement. Air exposure treatment did not change the lineshape of the spectra, but caused shift of HOMO peaks to the Fermi level. The binding energy of HOMO increases in the order of ZnTPP, H<sub>2</sub>TPP, H<sub>2</sub>T(4-Py)P. The conductive types of the porphyrins

have been estimated to be strong p-type, weak p-type, n-type for ZnTPP, H<sub>2</sub>TPP and H<sub>2</sub>T(4-Py)P, respectively [1]. This is consistent with the order of the HOMO's binding energy if the position of the Fermi level in the band gap is determined by the same mechanism as in inorganic semiconductors. These results suggest that oxygen molecules mixed in films serve as acceptor which leads to the shift of the Fermi level and that the conductive character is dependent on the sensitivity of each compound to air.

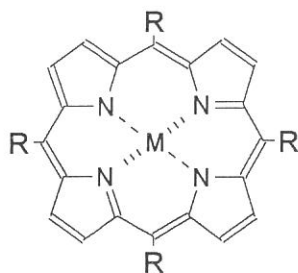


Figure 1. Molecular structure of porphyrins.  
 ZnTPP: M=Zn and R=C<sub>6</sub>H<sub>5</sub>  
 H<sub>2</sub>TPP: M=H<sub>2</sub> and R=C<sub>6</sub>H<sub>5</sub>  
 H<sub>2</sub>T(4-Py)P: M=H<sub>2</sub> and R=C<sub>5</sub>H<sub>5</sub>N

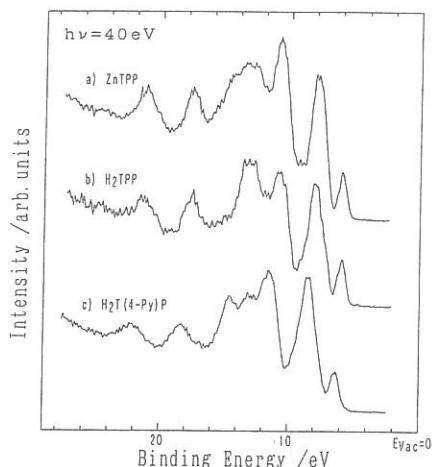


Figure 2. Ups spectra of porphyrins.  
 a) ZnTPP, b) H<sub>2</sub>TPP, c) H<sub>2</sub>T(4-Py)P

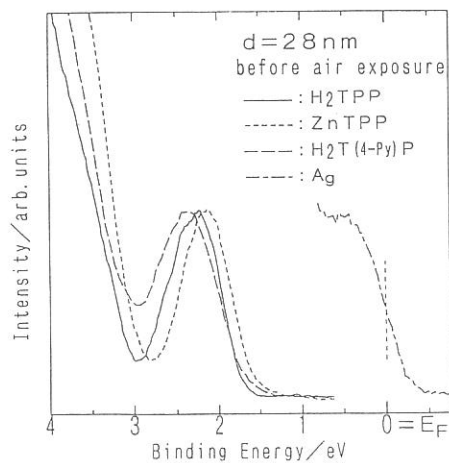


Figure 3. UPS spectra of porphyrins without air exposure in the threshold region.

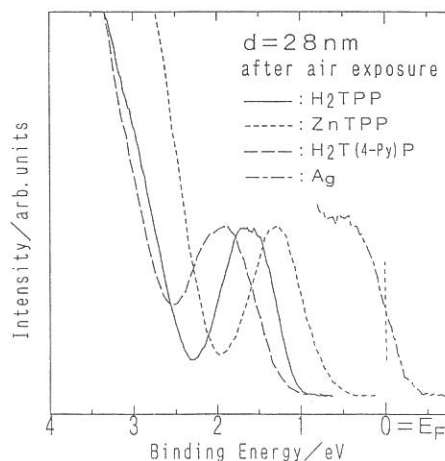


Figure 4. UPS spectra of porphyrins after air exposure in the threshold region.

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**Intermolecular energy-band dispersion in oriented thin films of  
bis(1,2,5-thiadiazolo)-*p*-quinobis(1,3-dithiole) (BTQBT)  
by angle-resolved photoemission**

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The energy-band dispersion relation,  $E = E(k)$ , is a fundamental basis for understanding the basic properties of solids. Angle-resolved ultraviolet photoemission spectroscopy (ARUPS) using synchrotron radiation is a powerful technique for directly measuring the valence band dispersion, and is well established for inorganic solids [1]. For organic solids, however, a few experiments have been reported during the past 10 years [2, 3]. Recently, we succeeded in preparing well oriented thin films of BTQBT by vacuum evaporation on cleaved HOPG graphite, and observed the energy-band dispersion of  $\pi$ -bands for the highest (HOMO) and next highest (NHOMO) bands. This is the first observation of intermolecular dispersion in a single-component organic molecular crystal. The results demonstrate that the BTQBT molecules have a strong intermolecular interaction.

The ARUPS measurements were carried out at BL8B2 of Ultraviolet synchrotron orbital radiation facility (UVSOR) in the Institute for Molecular Science. BTQBT was purified and carefully evaporated on HOPG substrate in vacuum of  $\simeq 10^{-10}$  Torr. The film thickness was  $\simeq 30$  Å. The incident photon energy ( $h\nu$ ) dependence was measured at an electron take-off angle  $\theta = 0^\circ$  and a photon incidence angle of  $\alpha = 50^\circ$ . The photon energy was changed in the region from  $h\nu = 12$  to 60 eV.

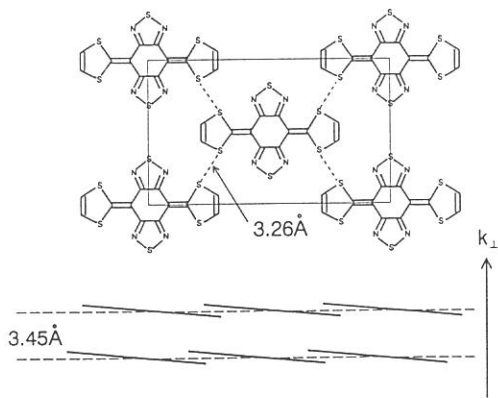
As shown in Fig. 1, the BTQBT molecules in a single crystal form a two-dimensional network by the short S $\cdots$ S contact of 3.26 Å. The network sheets are stacked with a small spacing of 3.45 Å to form molecular columns. In the thin films, the network sheets were deduced to lie parallel to the HOPG surface by the take-off angle dependence of photoelectron spectra [4].

Figure 2 shows an example of the  $h\nu$  dependence of photoelectron spectra for the oriented thin films of BTQBT. The HOMO band and NHOMO band are well separated from other valence bands. For the HOMO band, the continuous change of peak position with  $h\nu$  is clearly seen. The total energy shift in the peak position is about 0.5 eV. Although it is only  $\simeq 0.1$  eV for the NHOMO band, we can still see that the peak shifts in the opposite direction to the HOMO band.

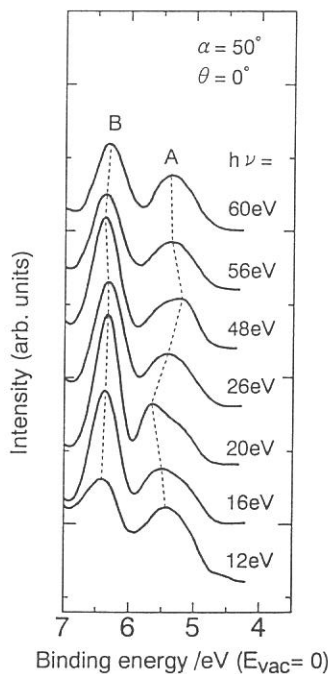
The energy-band dispersion for the HOMO band is determined by a least-squares fit of the experimental results supposing that it can be expressed by a simple tight-binding model. In Fig. 3, the results are shown in the reduced zone scheme. The filled circles are the experimental results, and the solid line at the HOMO band represents the best fit dispersion curve by a least-squares fit. We could estimate the inner potential for final states  $V_0 = -12.5$  eV, the lattice spacing normal to the film surface  $a_\perp = 3.4$  Å and, the transfer integral for the HOMO band  $t_{\text{HOMO}} = 0.092$  eV.

## References

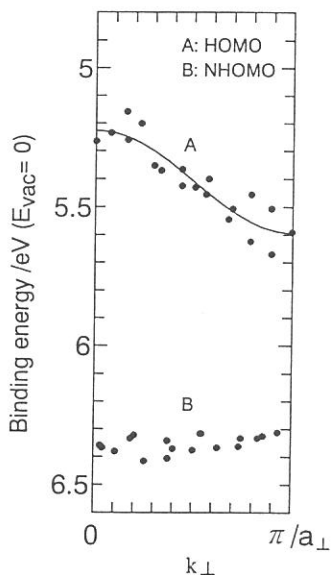
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**Fig. 1** BTQBT molecules in single crystal. In the present experiment, the energy-band dispersion was observed along  $k_{\perp}$ .



**Fig. 2** Photon energy dependence of photoelectron spectra at normal emission for BTQBT thin films.



**Fig. 3** Intermolecular energy-band dispersion for BTQBT thin films in the reduced zone scheme, where  $a_{\perp}$  is 3.4 Å.



Quantitative Analysis of Photoelectron Angular Distribution  
from Thin Films of Copper Phthalocyanine on MoS<sub>2</sub> surfaces:  
Determination of Molecular Orientation

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The angular distribution of photoelectrons from thin films of organic crystals involves information on the molecular orientation in the film as well as on the wave functions of valence electron. Therefore, the quantitative analysis of the photoelectron angular distribution from a valence state gives a detailed information on the molecular orientation in ultrathin films of functional organic molecule. An advantage of the angle-resolved ultraviolet photoelectron spectroscopy (ARUPS) in determining the molecular orientation is that it introduces less radiation damages into the organic films in comparison with other surface sensitive techniques which use electron beams. However, the quantitative analysis of the angular distribution from thin films of large organic molecules is usually difficult, and no one has performed the quantitative analysis after a challenging work by Permien *et al.* [1], which was reanalyzed by Richardson [2], on thin films of lead phthalocyanine. Recently, we have found that the angular distributions calculated with the independent atomic center approximation combined with molecular orbital calculation (IAC/MO) agree excellently with observed ones for thin films of BTQBT [3] and metal-free phthalocyanine (H<sub>2</sub>Pc) [4] on MoS<sub>2</sub> surfaces.

In the present study, we measured angular dependence of photoelectrons from HOMO orbital of Cu-phthalocyanine (CuPc) thin films deposited on MoS<sub>2</sub> surface, and determined the molecular orientation by the quantitative analysis of the observed angular distributions using IAC/MO method. For the calculation of the photoelectron angular distribution we used the wave function of HOMO orbital of H<sub>2</sub>Pc [4] for the HOMO state of CuPc. The molecular azimuthal angle  $\phi_s$ , which used in the calculation of  $\phi_s$  dependence of the photoelectron intensity, is shown in Fig. 1.

The experiments were performed at beam line BL8B2. The incident photon energy ( $h\nu$ ) was 40 eV and the incident angle of photons ( $\alpha$ ) was 0°(normal incidence). In the present measurements, the momentum vector of photoelectrons and the electric vector of incident photons were on the same plane perpendicular to the sample surface. The experimental azimuthal angle  $\phi$  was the angle between the electric vector and  $[11\bar{2}0]$  direction of MoS<sub>2</sub> surface which was determined by LEED measurements before CuPc deposition.

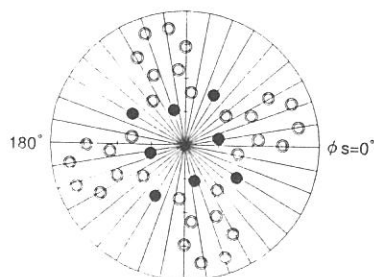


Fig. 1 Molecular azimuthal angle  $\phi_s$ . CuPc structure is shown by carbon (○) and nitrogen (●) atoms.

The  $\phi$  dependence of the HOMO-band intensity at the take-off-angle  $\theta=36^\circ$  is shown in Fig.2. The measurements were performed for  $\phi=15-135^\circ$  due to the limitation of azimuthal rotation of the sample manipulator. In the figure, the observed results are plotted for  $\phi=15-375^\circ$  assuming the relation expected for thin films deposited on  $\text{MoS}_2$  surface,  $\phi=\phi+120^\circ=\phi+240^\circ$  [5], since  $\text{MoS}_2$  surface structure shows 6-fold symmetry around the surface normal. The calculated  $\phi_s$  dependence for flat-lie molecular orientation was compared with observed results by changing azimuthal CuPc orientation on  $\text{MoS}_2$ . The best agreement between the observed and calculated results was obtained as compared in Fig. 2. The molecular orientation thus determined is shown in Fig.3. The present results show excellent agreement with those proposed by Hara *et al.* from RHEED experiments [5].

From the above results, it is concluded that (i) IAC-MO method is useful in simulating the photoelectron angular distribution, and (ii) CuPc molecules orient with the molecular plane parallel to the substrate surface and with azimuthal orientation shown in Fig. 3.

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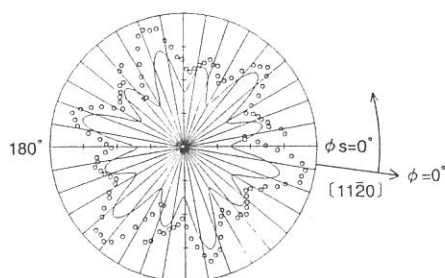


Fig. 2 Comparison between the observed (○) and calculated (—)  $\phi$  dependencies of ARUPS intensity of the HOMO band of thin films (6A) of CuPc on  $\text{MoS}_2$ . The origin of the experimental azimuthal angle  $\phi$  is at  $[11\bar{2}0]$  direction of  $\text{MoS}_2$  surface.  $\phi_s$  is the molecular azimuthal angle (see Fig. 1) used in the calculation. The calculated results are for flat-lie orientation of CuPc and after averaging the effects of the three-directional molecular orientation.

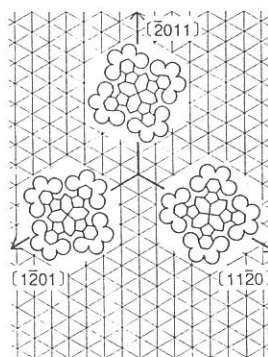


Fig. 3 Orientation of CuPc on  $\text{MoS}_2$  surface determined by the present work. Three directional orientation is shown schematically.

# ORIENTATION OF ORGANIC MOLECULE ON LITHIUM THIN FILM SURFACE

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All solid type lithium batteries have been attracting much attentions as a new type secondary batteries. In studies on an exploitation of all solid type lithium batteries, a reaction between lithium electrode surface and polymer electrolyte is one of the most important subject. IR spectroscopy and XPS studies suggested that ether polymer electrolyte reacted lithium, resulted in forming C-O-Li bond on lithium surface. An orientation of the products, however, which remarkably influences a batteries' function, is not clear, yet. We studied this subject, the orientation of the reaction products, by using UPS and report our results here.

The UP spectra were measured at BL-8B2 in UVSOR under following conditions; exciting photon energy 40 to 50 eV,  $\alpha = 50^\circ$ ,  $\theta = 0^\circ$  at room temperature. Li was evaporated on Mo substrate, thereafter, reacted with chemically synthesized  $C_{16}H_{33}O-C_2H_4-OC_{16}H_{33}$ , which was evaporated into the pre-chamber of BL-8B2.

Generally, ether polymer is employed as a solid electrolyte in all solid type lithium batteries. In this study, reaction of Li thin film with  $C_{16}H_{33}O-C_2H_4-OC_{16}H_{33}$  was employed as model reaction on Li electrode surface in all solid type lithium batteries.  $C_{16}H_{33}O-Li$  and ethene was formed as reaction products (Eq. 1).



Figure 1 shows the UP spectra of  $C_{16}H_{33}O-Li$  on Mo. Peak 1 did not shift by the exciting photon energy, while peak 2 showed the exciting photon energy dependence in the range from 40 to 50 eV. Moreover, a signal intensity ratio of peak 2 to peak 1 also clearly showed the exciting photon energy dependence in this energy range. These results suggest that the reaction product,  $C_{16}H_{33}O-Li$ , is oriented on Li electrode as illustrated in

Fig.2. The angle ( $\theta$ ) dependent UP spectra must be measured in order to obtain the more details on the orientation of the reaction products on Li electrode. We will also investigated about this point to clarify the cause of deterioration of lithium batteries and to improve them.

The results obtained here are present an important information for the exploitation of the novel type secondary lithium batteries.

We thank Dr. Kamada (UVSOR), Dr. Hasegawa (IMS) and Prof. Seki (Nagoya Univ.), for their help in promoting this study.

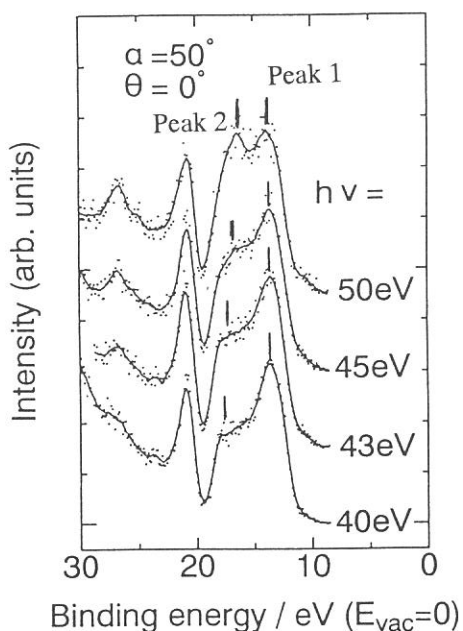


Fig. 1 Exciting photon energy dependent UP spectra of  $C_{16}H_{33}O-Li$  on Mo

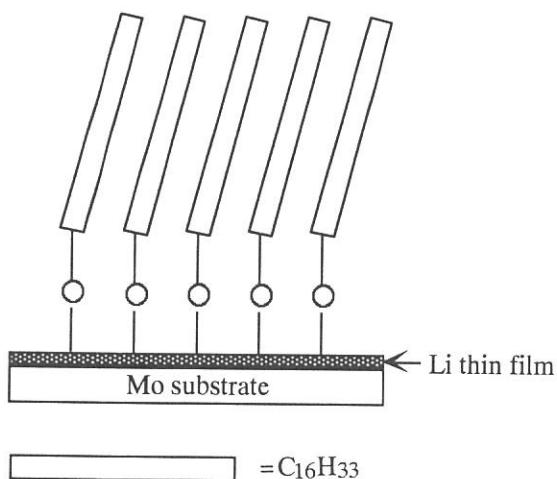


Fig. 2 Schematic model of the orientation of reaction products on Li film

## FAR INFRARED ABSORPTION OF ICE UNDER PRESSURE

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Recently we observed far infrared absorption spectra of ice VII and VIII for the first time by using a diamond anvil cell and the synchrotron radiation [1]. Large spectral change with respect to the lattice mode was elucidated by means of the far infrared absorption. Such change could not be observed in Raman spectra [2]. There appears an intense absorption designated as band A for ice VII in wavenumbers between 80 and 160  $\text{cm}^{-1}$  which correspond to a transparent region for ice VIII as shown in Fig. 1 of ref. [1]. Since ice VII takes a proton disordered structure, light absorption due to acoustic phonon modes becomes allowed. Theoretical prediction by Whalley and Bertie says that the absorption coefficient  $\alpha$  should be proportional to  $\nu^2$  multiplying the density of states for phonon  $D(\nu)$  [3]. In the low frequency limit, since  $D$  is proportional to  $\nu^2$ ,  $\alpha$  is expected to be proportional to  $\nu^4$ . In Fig. 1 we plot the absorption spectrum at the low energy side of band A in ice VII on logarithmic scales. The least square fitting by a straight line gives a relation;  $\alpha \propto \nu^{4.7}$ . The deviation of the index from 4 could be attributed to an increased index of the phonon density of states than 2.

Kolesnikov et al. reported inelastic incoherent neutron scattering (IINS) spectra for  $\text{D}_2\text{O}$  ice VIII at 25 K and ambient pressure [4]. They found three peaks at 117, 160 and 206  $\text{cm}^{-1}$  corresponding to peaks in the density of states for phonon in the translational region. The peak of our B band in  $\text{D}_2\text{O}$  ice VIII is found to locate at 165  $\text{cm}^{-1}$  when extrapolated to zero pressure and 25 K. Therefore the band B corresponds to the 160  $\text{cm}^{-1}$  peak in IINS spectrum which was attributed to the optic mode [4]. The peak of A band in  $\text{D}_2\text{O}$  ice VII is found to locate at 105  $\text{cm}^{-1}$  when extrapolated to zero pressure and 25 K. This is very near to the first peak in IINS spectrum which was interpreted as the peak of density of states in the acoustic branch [4]. This confirms the validity of our interpretation regarding the origin of band B in ice VII.

Figure 2 shows far infrared absorption spectrum of liquid  $\text{H}_2\text{O}$  (solid line) at room temperature at 0.3 GPa and one obtained at 100 K and 0.3 GPa (broken line). It should be noted that the spectrum taken at 100 K shows an increased absorption than that of liquid  $\text{H}_2\text{O}$ . Usually, liquid  $\text{H}_2\text{O}$  transforms

to ice  $I_h$  phase with decreasing temperature at 0.3 GPa. The spatial fluctuation with respect to the molecular orientations decreases in  $I_h$  compared with liquid phase. Therefore,  $I_h$  should show a weaker far infrared absorption than the liquid. The experimental result, however, contradicts this description as long as we assign  $I_h$  phase to the broken line in Fig. 2. One possible solution for this is that super cooled water transformed directly into an amorphous phase without passing  $I_h$  phase and the broken line is identified to an absorption spectrum of the amorphous ice. Kanno et al. reported [5] that the homogeneous nucleation temperature  $T_H$  of  $H_2O$  reaches  $-92$  °C at 0.2 GPa. On the other hand, the glass transition temperature  $T_g$  of  $H_2O$  is known to be  $-138$  °C at ambient pressure [6]. If  $T_H$  becomes lower than  $T_g$  by some reasons in our experimental conditions, the phase transition from liquid to amorphous phase might occur.

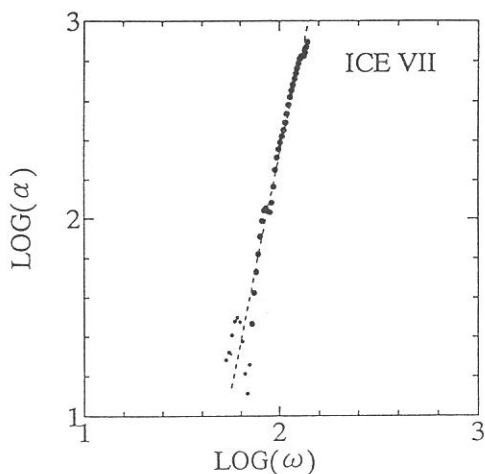


Fig.1 Far-infrared absorption spectra of band A in  $D_2O$  ice VII at 4.3 GPa and 270 K on logarithmic scales.

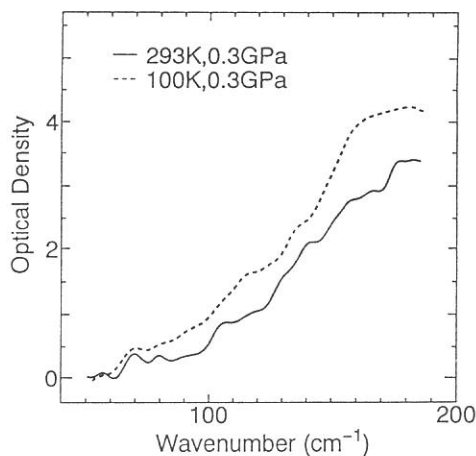


Fig.2 Far infrared absorption spectrum of liquid  $H_2O$  (solid line) and one at 100 K (dotted) at 0.3 GPa.

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# Far Infrared Absorption of SmTe Single Crystal

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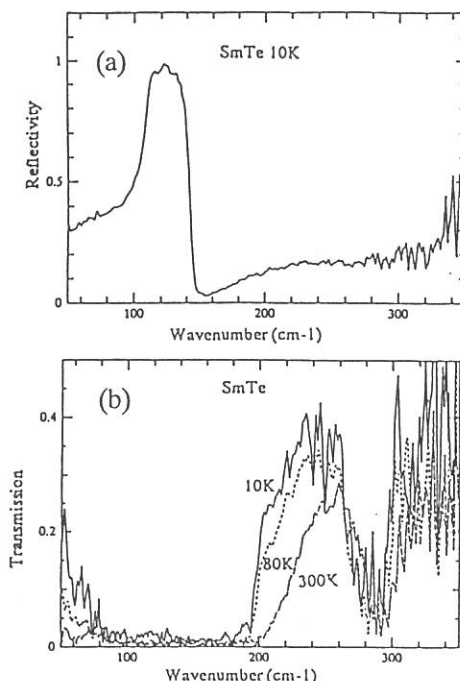
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Transmission and reflection spectrum of single crystalline SmTe in the far infrared region were measured at 10, 80 and 300 K. SmTe has been known to be insulator at normal pressure and to show gradually metallic properties with pressure. According to the electric resistivity measurement of SmTe, the electric resistivity decreases gradually with pressure and at pressure of 4 GPa the magnitude becomes smaller by the magnitude of 4 order of that at normal pressure. The magnitude is comparable with that of normal metals. In SmTe, that is, a metal-insulator (M-I) transition occurs gradually with pressure in contrast with SmS. Our final interest is to make clear the origin of such M-I transition by the observation of the change of the optical spectrum with pressure. The present purpose of the experiment is to observe the far infrared spectrum of SmTe at normal pressure.

The obtained reflection (a) and transmission (b) spectra of SmTe at the temperatures of 300, 80 and 10 K are shown in the figure. The reststrahlen band which is peculiar to insulating materials was observed clearly.



Figure

The reflection (a) and transmission (b) spectra of SmTe single crystal at 300, 80, 10 K. The spectral resolution was 1 cm<sup>-1</sup>.

# FAR-INFRARED AND MILLIMETER WAVE SPECTRA OF ALKALI CUPROUS HALIDES

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Alkali cuprous halides consist of alkali halides and cuprous halides. Ionic bond between alkali and halogen atom and covalent bond between copper and halogen atom coexist in these crystals<sup>1)</sup>. It is interesting to investigate optical phonon structure in these crystals. Some of these crystals show superionic conductivity. We have studied far-infrared and millimeter wave spectra of silver and copper ion conductors<sup>2)</sup>. In this study, three intermediate compounds of Rb-Cu-X system,  $\text{Rb}_4\text{Cu}_{16}\text{Cl}_{13}\text{I}_7$  ( $\sigma = 0.34 \text{ Scm}^{-1}$  at 300 K),  $\text{Rb}_3\text{Cu}_7\text{Cl}_{10}$  ( $\sigma = 3 \times 10^{-3} \text{ Scm}^{-1}$  at 300 K) and  $\text{Rb}_9\text{Cu}_{16}\text{Cl}_{25}$  ( $\sigma = 10^{-6} \text{ Scm}^{-1}$  at 300 K) were investigated.

Fig. 1 shows reflectivity spectra of these crystals at 300 K. Low energy tail below  $30 \text{ cm}^{-1}$  increased in proportion to their ionic conductivity. This is due to Drude like behavior of copper ions as discussed about  $\text{Rb}_4\text{Cu}_{16}\text{Cl}_{13}\text{I}_7$  in ref. 2. The damping is so large in  $\text{Rb}_3\text{Cu}_7\text{Cl}_{10}$  that the ionic plasmon model is hardly appropriate.

Fig. 2 shows conductivity spectra at 15 K. Main peaks were observed at  $33, 44, 68, 80, 97, 110$  and  $124 \text{ cm}^{-1}$  in  $\text{Rb}_4\text{Cu}_{16}\text{Cl}_{13}\text{I}_7$  and  $32, 73, 108, 126$  and  $165 \text{ cm}^{-1}$  in  $\text{Rb}_3\text{Cu}_7\text{Cl}_{10}$  and  $34, 51, 73, 94, 104$  and  $123 \text{ cm}^{-1}$  in  $\text{Rb}_9\text{Cu}_{16}\text{Cl}_{25}$ . The bands around  $30 \text{ cm}^{-1}$  are due to attempt mode of a cuprous ion in a cage of tetrahedron of halogen ions. The bands around  $120 \text{ cm}^{-1}$  are due to breathing mode of them. These phonon structures are shifted toward high frequency according to the difference of mass of conduction ion from silver ion conductors.

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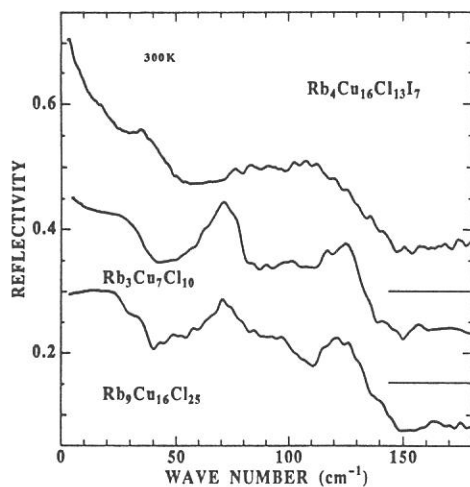


Fig. 1 Reflectivity Spectra of Alkali Cuprous Halides

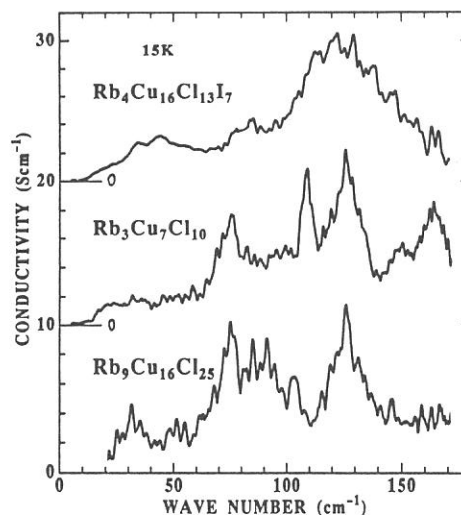


Fig. 2 Conductivity Spectra of Alkali Cuprous Halides



# Far infrared reflectivity spectra for $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$

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Since the discovery of high- $T_c$  cuprate superconductors, transition metal oxides have been attracting considerable interest as strongly correlated electron systems. Among these oxides,  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  system is characterized by the ferromagnetic property in the metallic state [1] (known as a “double exchange” system [2]). To understand the electronic origin, not only magnetic measurements but also investigation of the electronic state with strong Coulomb correlation is needed. Optical measurement over a wide range of energy scale is very informative for this purpose. In this study, as one part of optical studies of this system, far infrared reflectivity spectra were measured by using BL6A1 of UVSOR with varying Sr (doping) concentration  $x$  and temperature.

Samples investigated in this study are melt grown crystals by the floating-zone method. Fig.1 shows the temperature dependence of the resistivity for these samples. Samples for  $x = 0$  ( $\text{LaMnO}_3$ ) and  $x = 0.05$  show the insulating behavior of a typical activation type. The magnitude of the resistivity decreases with doping concentration  $x$ , and the resistivity for  $x \geq 0.1$  samples has quite anomalous temperature dependence. According to the magnetization measurements of these samples, those for  $x \geq 0.1$  show ferromagnetism at low temperature, and the Curie temperature of each sample is shown by arrows in Fig.1. The anomaly in the temperature dependence of the resistivity well coincides with the Curie temperature, indicating that the magnetic property and the conduction property are closely correlated in this system.

Fig.2 shows the reflectivity spectra below  $300\text{cm}^{-1}$  at room temperature. The value of reflectivity increases over the whole energy region investigated here with  $x$ , which indicates increase of Drude part. Such  $x$ -dependent reflectivity spectra are related to the conduction property of this system; i.e., it becomes conductive with doping. Fig.3 (a) and (b) show the temperature dependence of reflectivity spectra for  $x = 0.15$  and  $x = 0.20$ , respectively. As can be seen, there is quite a large difference in the temperature dependence of the reflectivity spectra between these two samples. For  $x = 0.15$ , the value of reflectivity largely increases between 250K and 220K, and keeps on increasing down to 190K. Below this temperature, however, the reflectivity rather decreases with temperature. On the contrary, the value of reflectivity for  $x = 0.2$  monotonously increases with decreasing temperature. Such a temperature dependence of the reflectivity for each sample is qualitatively consistent with that of the resistivity as shown in Fig.1.

In order to make quantitative discussion, the supplemented reflectivity measurements in the energy scale sufficiently above the far infrared region as well as the Kramers-Kronig analysis of the data is required. Such an investigation is now under way.

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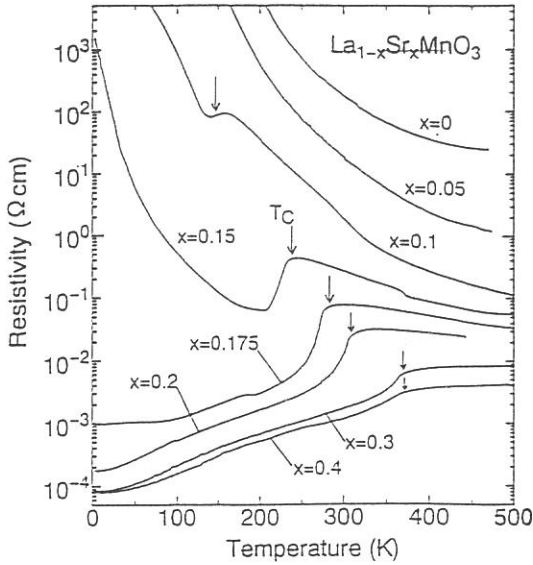


Fig.1 Temperature dependence of resistivity for  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$ .

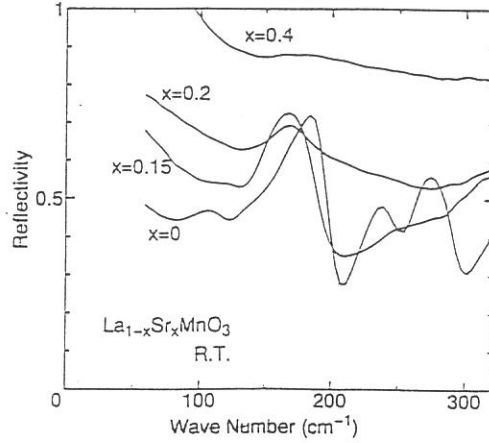


Fig.2 Doping dependence of reflectivity spectra for  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  at room temperature.

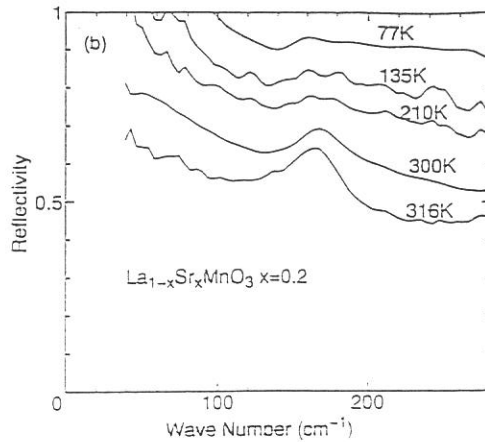
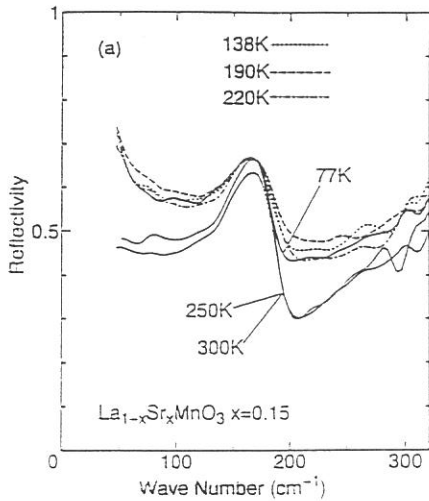


Fig.3 Temperature dependence of reflectivity spectra for (a)  $x = 0.15$  and (b)  $x = 0.20$ .

VACUUM ULTRAVIOLET ABSORPTION SPECTRA OF POLYETHYLENE TEREPHTHALATE FILMS

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In the previous reports<sup>1, 2)</sup>, we described preliminary results on the core electron absorption spectra of thin films of polyethylene terephthalate (PET) and polyethylene 2,6-naphthalate (PEN) by transmission mode; namely, we utilized so-called Grasshopper monochromator equipped at the BL2B1 of UVSOR facilities and measured the intensity of transmitted light through a sample in the range between 270 and 640 eV. The thickness of the samples was about 0.7 to 0.4  $\mu\text{m}$ . The samples were held between a pair of copper frames and the incident angle was either normal or 25° to the film plane. Attempts to assign absorption peaks were made utilizing the published data of various carbons and carbonaceous compounds including polymers. Summary of the results is shown in the table below. However, data on the polarization were somewhat obscure, partially because some samples were possibly splitted during irradiation and also because only one oblique angle was attempted except normal incidence.

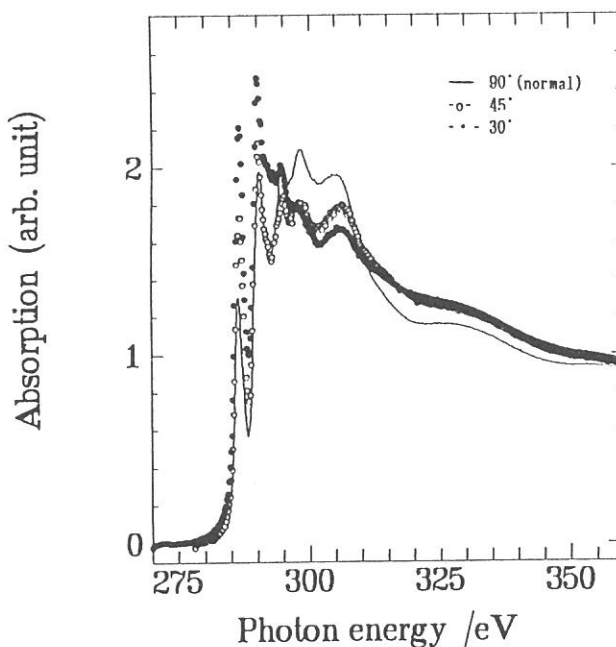
Therefore, this time, the absorption spectra by means of the total electron yield method was tried; the advantage of this method was an ease in realizing various oblique angles of incidence because of the sample holding method, while the charging up on samples was afraid. In actuality, the sample films were pasted by use of two-sided carbon adhesive tapes on a molybdenum disk, which was mounted on the holder rotatable around a vertical axis along the film plane. No bias voltage was applied on the sample.

Table I

Sample	Assignment and polarization							
	peak	sh.	peak	sh.	sh.	br.peak	br.peak	
PET undrawn	285.7eV	287.8eV	289.2eV		293.5eV	297.2eV	305 eV	
PET $\alpha$ 3.5 (obliq.)	285.4		289.3		293.5	296.6	303.5	
PET bi-ax.drawn	285.6		289.1		293.5		304.7	
PEN undrawn	285.8		289.3	291.4	293.6		ca.303.7	
PEN $\alpha$ 3.5 Y	285.5		289.5		294.3			
PEN $\alpha$ 3.5(obliq.)	285.7		289.4		ca.293.5		ca.305	
PEN bi-ax.drawn	285.5	287.7	290.5		293.3			
Average	285.6	287.8	289.3	291.4	293.5	296.9	304.5	
Polarization	(z)		(z)		(x,y)	(x,y)	(x,y)	
Assignment	1s $\rightarrow$ $\pi^*$		1s $\rightarrow$ $\pi^*$		1s $\rightarrow$ $\sigma^*$	1s $\rightarrow$ $\sigma^*$	1s $\rightarrow$ $\sigma^*$	
	C = C	C - O	C = O		C - C	C - C	C - C	
			C - H					

An example of the spectra of biaxially drawn PET film  $0.7\mu\text{m}$  thick is shown in Fig. 1; namely, such spectra taken at  $90^\circ$  (normal incidence),  $45^\circ$  and  $30^\circ$  by means of the total electron yield method were superposed so as the absorption intensities at just below K-edge and at the plateau above  $360\text{ eV}$  become equal for the three samples. The absorption peaks at  $285.6$  and also at  $289.3\text{ eV}$  increased for more tilted geometry, conforming to the fact that the molecular plane including a benzene ring is parallel to the film plane. These changes in absorption intensity were not found for the undrawn films in which the state is amorphous and the orientation is almost isotropic. The change in the absorption intensity in the region assigned to  $\sigma^*$  seemed somewhat different for each component; also, some difference was seen between PET and PEN, which was not so clear in the transmission mode measurements. The distribution of the direction of C-H orbitals should be responsible to the change in this range; since the energy difference between the uppermost and the lowest unoccupied  $\pi$  orbitals is about  $4.6\text{ eV}$  according to the PPP calculation<sup>3)</sup>, there would not be  $\pi^*$  contribution in the range above  $293\text{ eV}$ . For the oxygen core electron absorption, the main peak existed at near  $537\text{ eV}$ . A peak at  $529\text{ eV}$  was sensitive to the incident angle and film orientation and was conceived as originated from  $0\text{ ls}$  to  $\pi^*$ .

Fig. 1. Absorption spectra of a biaxially oriented PET film  $0.7\mu\text{m}$  thick in the range between  $270$  and  $360\text{ eV}$ .



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# O K-edge XAS Study of $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$

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$\text{SrLaFeO}_4$ , isostructure with  $\text{La}_2\text{CuO}_4$ , does not show a insulator to metal transition upon the hole doping.<sup>1)</sup> In this report, electronic structure of hole-doped  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  was studied by O K-edge X-ray absorption spectroscopy, and it will be concluded that the new hole states were formed around the original conduction band edge upon the doping.

The samples sintered were prepared at 1500°C for 48h in  $\text{O}_2$  flow. O K-edge X-ray absorption measurements were performed by total photoelectron yield mode at the beam line BL2B1. The surfaces of the samples were scraped with a diamond file just before measurements to avoid the surface contamination under a vacuum of  $1 \times 10^{-9}$  Torr.

Fig. 1 shows the obtained O K-edge XAS spectra of  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$  ( $0 \leq x \leq 0.3$ ). Since the energy resolution in this study was several electron volts, the spectra were broader than the reported XAS and EELS data on  $(\text{La},\text{Sr})\text{CuO}_4$  and  $(\text{La},\text{Sr})\text{NiO}_4$ .

Before discussing the effects of the doping on the spectra, we analyze the spectrum of non-doped  $\text{SrLaFeO}_4$  ( $x=0$ ). Four broad features were observed in the spectrum of the non-doped  $\text{SrLaFeO}_4$ , marked by vertical arrows in the figure. The broad features at  $\sim 2\text{eV}$  and  $\sim 4\text{eV}$  are attributed to O 2p part of the bands, to which Fe 3d states (upper Hubbard band) also are contributing heavily. The bands are split by octahedral crystal field into  $t_{2g}$  and  $e_g$  bands. The strong feature centered around 7eV is attributed to O 2p part of the bands mainly constructed from La 5d and Sr 4d orbitals. The broad feature around 12eV may be attributed to O 2p part of the bands mixing with Fe 4s states.

Upon the doping, it is observed that the  $\sim 7\text{eV}$  feature for  $x=0$  shifted toward higher energy region with increasing the doping level. This is probably caused by the energy differences between La 5d and Sr 4d orbitals.

The most remarkable change in the O K-edge XAS spectra upon the doping was an increase in the peak intensity at 2eV on going from  $x=0$  to 0.3. The increase suggests that the new hole states, to which O 2p contributes considerably, are formed upon the doping around the conduction band edge. We also measured Fe K-edge XAS spectra on these samples.<sup>2)</sup> The results suggested that the unoccupied Fe 3d density of states also increased upon the doping. Thus it is concluded that new hole states composed of O 2p and Fe 3d characters were formed around the original conduction band edge.

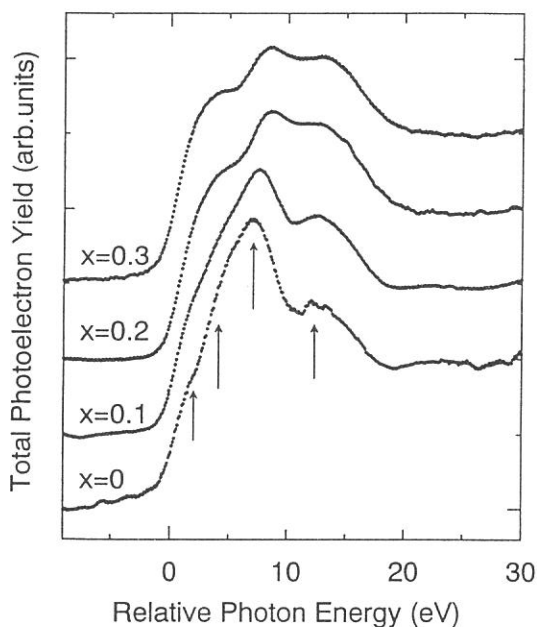


Fig. 1. O K-edge XAS spectra of  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$ .

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# Fe $L_{2,3}$ -edge XAS Study of $Sr_{1+x}La_{1-x}FeO_4$

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Electrical insulator of 3d transition metallic compounds are usually classified into Mott-Hubbard type or charge-transfer type based on their electronic structure. This categorization seems to be important in order to consider changes in the electronic structure upon the carrier doping. Fe-oxides are considered to be intermediate compounds between Mott-Hubbard and charge-transfer insulators. Abbate et al. measured Fe  $L_{2,3}$ -edge and O K-edge X-ray absorption spectra (XAS) of  $La_{1-x}Sr_xFeO_3$  with perovskite structure, suggesting that  $LaFeO_3$  was of charge-transfer type insulator and new hole states mainly composed of O 2p character were formed upon the Sr doping.<sup>1)</sup>

We studied Fe K-edge and O K-edge XAS spectra of hole-doped  $Sr_{1+x}La_{1-x}FeO_4$  with  $K_2NiF_4$  structure, which is regarded as an intermediate compound between Mott-Hubbard and charge-transfer insulators. It is concluded that the new hole state had not only O 2p character but also Fe 3d character. In this report, unoccupied electronic states of hole-doped  $Sr_{1+x}La_{1-x}FeO_4$  ( $0 \leq x \leq 0.3$ ) was examined by Fe  $L_{2,3}$ -edge XAS and the unoccupied Fe 3d states increased upon the hole doping.

Sintered samples were prepared at 1500°C for 48h in an  $O_2$  flow. Fe  $L_{2,3}$ -edge XAS measurements were performed by total photoelectron yield mode at the beam line BL7A, where incident photons were monochromatized with a double crystal monochromator using  $\beta$ -alumina ( $NaAl_{11}O_{17}$ ,  $2d=22.53\text{\AA}$ ) crystals. The energy resolution of this system was considered to be  $\sim 1.2\text{eV}$  in the photon energy region relevant to the present experiment. The surfaces of the samples were scraped with a diamond file just before measurements to avoid the surface contamination.

Fig. 1 shows Fe  $L_{2,3}$ -edge XAS spectra of  $Sr_{1+x}La_{1-x}FeO_4$  ( $0 \leq x \leq 0.3$ ). Since the energy resolution in this measurements was lower than the measurements performed by Abbate et al., the spectra obtained in this study were broader than the reported

data on  $\text{La}_{1-x}\text{Sr}_x\text{FeO}_3$ <sup>1)</sup>. The weak shoulder at  $\sim 2\text{eV}$  and strong peak at  $\sim 4\text{eV}$  in the spectrum of the non-doped sample are seen (marked by vertical arrows in the figure). These two peaks attributed to Fe 3d states with  $t_{2g}$  and  $e_g$  symmetry split due to octahedral crystal field. Upon doping, the intensity of the peak centered at  $\sim 4\text{eV}$  corresponding to  $e_g$  symmetry increased and the shoulder at  $\sim 2\text{eV}$  corresponding to  $t_{2g}$  level became unclear. It is considered that these changes in the spectra are caused by the increase in the unoccupied Fe 3d states, mainly that of  $e_g$  symmetry, upon the doping. The results obtained here appear to be consistent with those obtained by Fe K-edge (Fe 1s 3d transition) XAS spectroscopy. In conclusion, we found that the new hole states formed upon the doping are composed of both Fe 3d and O 2p characters.

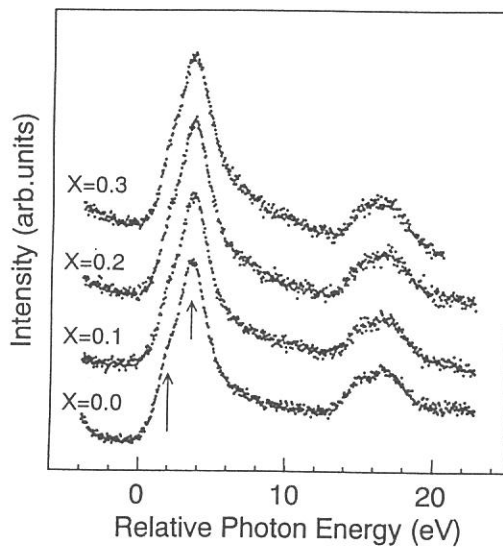


Fig. 1. Fe  $L_{2,3}$ -edge XAS spectra of  $\text{Sr}_{1+x}\text{La}_{1-x}\text{FeO}_4$ .

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# POLARIZED XANES STUDIES ON FLUORINATED POLYETHYLENE AND ITS MODEL COMPOUNDS

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Fluorinated polyethylene and its model compounds are of great interest lately for their applications to the aligning-layers for various organic-functional molecules.<sup>1)</sup> However, the mechanisms responsible for the alignment in relation to the mechanical rubbing process are hardly understood. In this study, we have performed a series of experiments with use of X-ray absorption near edge structure (XANES) spectroscopy in order to determine (1) the initial alignment of the fluorinated polyethylene and its model compounds and (2) the possible structure change of the polymers subjected to the mechanical rubbing process.

Samples used were tetrafluoroethylene oligomer (TFO,  $n \approx 85$ ) and perfluorotetracosane (PFT,  $C_{24}F_{50}$ ). F K-edge XANES spectra were measured at the beam line 1A of UVSOR at IMS. The spectra were taken for evaporated films (1000 Å) in total current mode.

Figure 1 shows a typical F K-edge XANES spectrum of PFT. The first peak A was assigned as  $\sigma^*$  (C-F).<sup>2)</sup> In order to determine the molecular orientation of PFT, the incident-angle-dependences of the peak(A) intensity are taken as shown in Figure 2 with theoretical fit, where we have assumed the helicoidal structure of PFT and the polarization factor of the incident beam  $P=1$ . From this analysis, we conclude that PFT chains are oriented normal to the surface.

After applying the mechanical rubbing on the evaporated PFT films, we have conducted the similar experiment to see the possible structural change of PFT. In Figure 3, the incident-angle-dependence of the peak(A) intensity are shown with theoretical fit. The definitions of the rubbing direction and the incident angle are illustrated in the inset. As is clear from the figure, the inclination of PFT chains induced by the mechanical rubbing is about  $5^\circ$  from the surface normal. On the contrary to the case of TFO<sup>3)</sup>, PFT film hardly changes its structure by the rubbing process. This is probably due to the fact that PFT has higher crystallinity than TFO.

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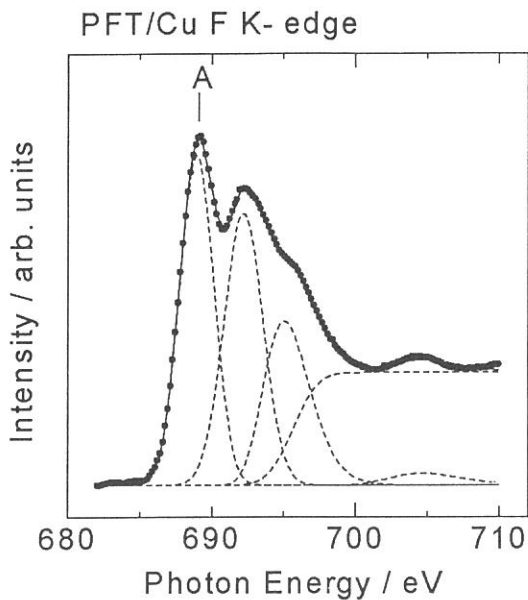


Figure 1 F K-edge XANES spectrum of PFT (filled circles, solid line) and theoretical fit (dashed line). The first peak (A) is assigned as  $\sigma^*$  (C-F).<sup>2)</sup>

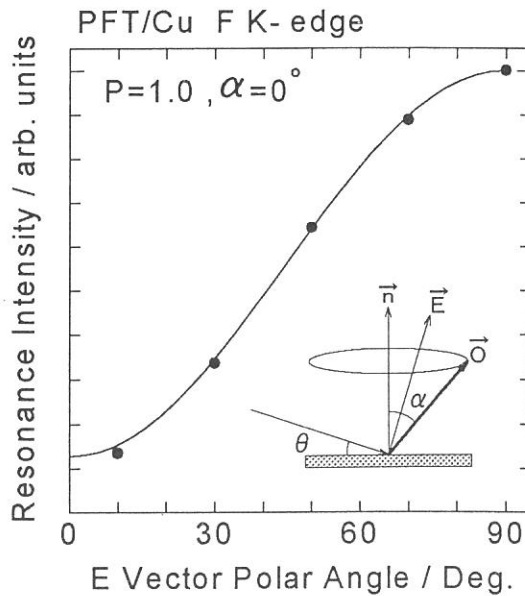


Figure 2 Incident angle dependence of the peak(A) intensity of the PFT film. The definition of the angle is illustrated in the inset. We have assumed the polarization factor  $P=1$  and the helical structure of PFT.

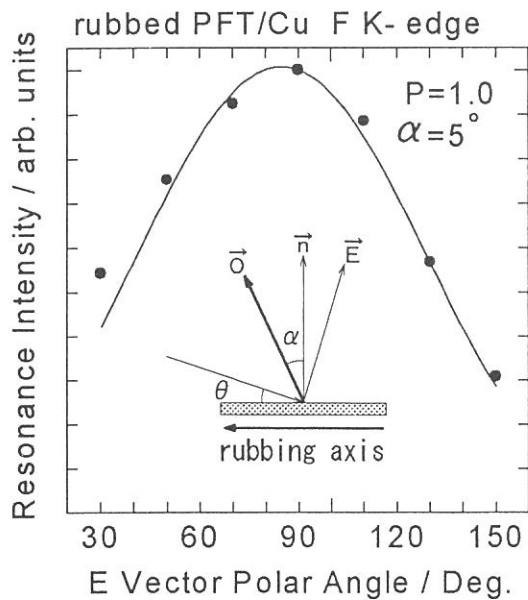


Figure 3 Incident angle dependence of the peak(A) intensity of the rubbed PFT film. The definition of the angle is illustrated in the inset.

# Mg K-edge XAFS Study of Alkali-Metal Ion Loaded on MgO Catalysts

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## 1. Introduction

In our previous report<sup>1)</sup>, Na-doped Al<sub>2</sub>O<sub>3</sub> catalyst has been exhibited a basic property. It was determined on the basis of Na K-edge XANES of sodium halides that the ionicity of Na-O bonds in that catalyst relates to the energy position of the prominent peak, which is consistent with the catalytic behavior<sup>2)</sup>. And also, we reported previously that manganese ions interacting with sodium ions on MgO surface are present Mn<sup>4+</sup> ions under an ambient condition and are converted as Mn<sup>2+</sup> ions by evacuation at 773K. In this process, the Na ions on the surface play a role in electron donation though Na-O bonds<sup>3)</sup>.

It was reported that Na-evaporated MgO has super-basic sites<sup>4)</sup>. In this report, we researched that alkali-metal ion loaded on MgO catalysts from Mg K-edge XAFS studies. We discuss the correlation of the electronic structure of the alkali-metal ion loaded on MgO with the generation of new basic sites.

## 2. Experimental

Magnesium oxide was prepared by evacuation of magnesium hydroxide at 873K for 3h. Alkali-metal loaded samples were prepared by impregnating magnesium oxide with the ethanol solution of LiNO<sub>3</sub>, NaOEt and KNO<sub>3</sub>, respectively (Content of alkali-metal is 2.2mmol/g). All the catalysts were followed by drying and calcining in air at 873K for 3h. Catalytic behavior was examined by 1-butene isomerization at 323K.

The Mg K-edge absorption spectra of the catalysts were measured at BL-7A soft X-ray beam line with UVSOR facilities, when used a beryl two-crystal monochrometer.

## 3. Results and Discussion

Fig.1 shows the Mg K-edge XANES pattern over alkali-metal ion loaded on MgO. At 1310.1eV, a sharp absorption due to 1s-3p transition were observed. From these profiles of Mg K-edge XANES, the intensity of the Mg K-edge absorption at 1310.1eV is relatively increased with the alkali-metal ion loading, and the ratio of the intensity of broad bands at 1316.0 eV and 1318.0 eV were varied from bulk MgO. Further, in the case of Li ion-loaded MgO, the shape of X-ray absorption were similar to that of MgO at relatively higher-loading (content is 7.8mmol/g). This change was not observed over Na/MgO or K/MgO.

During the 1-butene isomerization on Na/MgO and K/MgO, the value of *cis/trans* isomers of produced 2-butene was increased by alkali-metal loading. The sample of Li/MgO exhibited no reactivity for 1-butene isomerization. In the study of X-ray diffraction, the samples of alkali-metal loaded on MgO only possessed the diffraction pattern due to bulk MgO, except Li/MgO. This sample has a structure which was determined to the mixture of bulk MgO and lithium hydroxide monohydrate. It is quite different from Na or K ion loaded-MgO, and no basic site was observed.

In the results of k<sup>3</sup>-weighted Mg K-edge EXAFS (Fig.2), the radial distance were obtained at about 0.25nm over MgO and alkali-metal ion loaded on MgO. This distance is attributed to a bond length between Mg<sup>2+</sup> and O<sup>2-</sup> over bulk MgO. This distance corresponds with the spacing of MgO(100) plane by X-ray diffraction. On the other hand, 0.18nm of radial distance was obtained

over MgO. This distance agrees very closely with the radial distance of Mg(OH)<sub>2</sub>, that was mainly observed over this sample. Therefore, the distance observed by MgO may correspond with that of remained magnesium hydroxide. In the case of alkali-metal ion loaded on MgO, the distances around 0.18nm were shortened from the result of EXAFS. Therefore, we discuss that new active sites are formed on MgO surface by alkali-metal ion which combine with hydroxyl group and/or O<sup>2-</sup> as anion. Thus, alkali-metal ion linked to surface oxygen ion such as Mg-O-Na<sup>+</sup> activates surface oxygen ion in the case of Na or K ion loaded-MgO. Consequently, the dispersion of electric charge between Mg<sup>2+</sup> and O<sup>2-</sup> causes an increase of ionicity. Now, in the result of XANES pattern, the energy positions were not shift but change the relative intensities at 1310eV and others of absorption peaks by each alkali-metal ion loading. Therefore, the effect of alkali-metal loading is rather an increase of ionicity on MgO surface than the generation of partial basic sites attributed to surface OH group. From these results, activated surface oxygen ion, which was induced by alkali-metal ion, acts as basic sites.

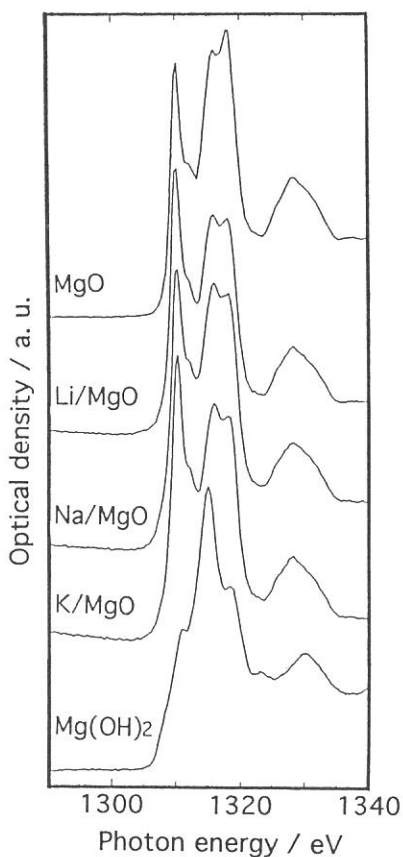


Fig. 1 Mg K-edge XANES of alkali-metal loaded on MgO

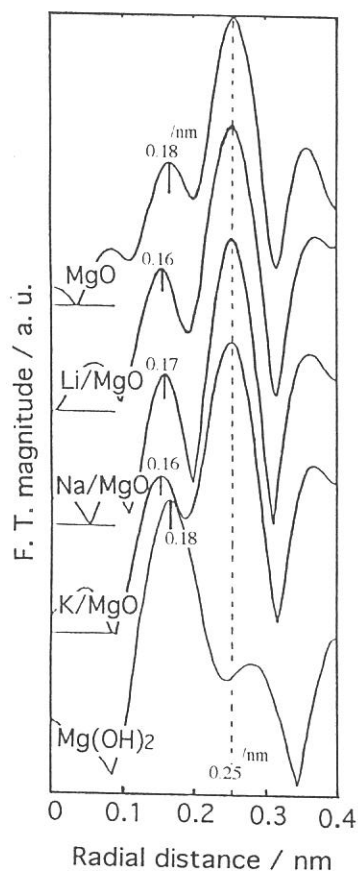


Fig. 2 F. T. of  $k^3$ -weighted EXAFS of alkali-metal loaded on MgO

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## Polarized Ni- $L_{2,3}$ , P-K and S-K Absorption Spectra in NiPS<sub>3</sub>

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NiPS<sub>3</sub> is a 3d transition-metal compound related to the CdCl<sub>2</sub> structure. The crystal is constructed of a stack of sandwich layers each of which is weakly bonded by van der Waals forces. The magnetic and electric property indicates that this material shows antiferromagnetic property at low temperature, the Néel temperature is 155K, and the Ni ion is present in high spin state at room temperature. We measured polarized Ni- $L_{2,3}$ , P-K and S-K absorption spectra in NiPS<sub>3</sub> single crystal. The crystal-field-strength parameter  $10Dq$  was estimated from the experimental multiplet structure by comparing the calculated spectrum.

Measurements have been performed by using synchrotron radiation at the BL-7A line of the Ultraviolet Synchrotron Radiation Facility (UV-SOR), Institute for Molecular Science. We have used a double crystal monochromator, and applied Beryl(1010) to the crystal for measurement of Ni-L absorption, and InSb(111) for P-K and S-K absorption. Absorption spectra were measured by using an electron multiplier by means of photoelectric yield from single crystal surfaces. The sample was cleaved in a vacuum by peeling off with Scotch tape along the sample surface (ab plane). The polarization dependency was measured by changing the incident angle to the sample surface from normal ( $\theta = 0^\circ$ ) to grazing incidence ( $\theta = 80^\circ$ ).

Ni 2p absorption spectra (XAS) of NiPS<sub>3</sub> were shown in Fig.1. The solid curve ( $\theta = 0^\circ$ ) was taken for the polarization of electric field parallel to the ab plane(E//ab), and the dotted curve ( $\theta = 80^\circ$ ) was taken for nearly parallel to the c-axis(E//c). These spectra were normalized at the top of the first peak. The first peak which appeared about 852.5eV corresponds to the  $2p_{3/2}$  absorption peak, and the second one which appeared about 870eV corresponds to the  $2p_{1/2}$  absorption peak. The peak shift is not clear at present. The structure appeared about 857eV shows a weak polarization dependency. The  $L_{2,3}$  XAS spectra are interpreted in terms of multiplet structure which results from the interaction between a 2p hole and 3d electrons of metal ions <sup>1)</sup>.

We have estimated the strength of the crystal field of MnPS<sub>3</sub> and FePS<sub>3</sub> to be about 0.6eV by comparing with the theoretical spectra which calculated for these dihalides by de Groot et al.<sup>2)</sup> In the case of NiPS<sub>3</sub>, there is no data which corresponds to our experimental spectra. Then we compared with the another spectra of Ni dihalides calculated by van der Laan et al.<sup>3)</sup> in which hybridization effect with ligand orbital was taken in account. The spectrum of NiI<sub>2</sub> is similar to our spectrum. In this calculation, the strength of covalency hybridization  $V$  value is 1.5eV and  $10Dq$  value is 1.5eV. However, from the optical measurements, P.A.Joy et al. reported that all three materials (MnPS<sub>3</sub>, FePS<sub>3</sub>

and  $\text{NiPS}_3$ ) indicate nearly same value of  $10Dq$ .<sup>4)</sup> Recently, Kotani et al<sup>5)</sup> calculated the spectra of Ni dihalides with cluster model in order to explain the X-ray photoemission spectra and XAS spectra using a same parameter. From the good agreement between the spectrum of  $\text{NiPS}_3$  and that of  $\text{NiBr}_2$ , calculated by Kotani, we can estimate the crystal field strength and the covalency hybridization value  $V$  to be 0.6eV and 2eV, respectively.

P-K XAS spectra of  $\text{NiPS}_3$  was shown in Fig.2(a). And S-K XAS spectra of  $\text{NiPS}_3$  was shown in Fig.2(b). The solid curve represent the  $E//ab$  spectrum, and the dotted one represent the  $E//c$  spectrum. The intensity of the spectra were normalized at the first peak. Both spectra show the polarization dependency at the absorption edge. Especially the S-K XAS spectra show the dominant change. The interpretation of these polarization dependency is now in progress.

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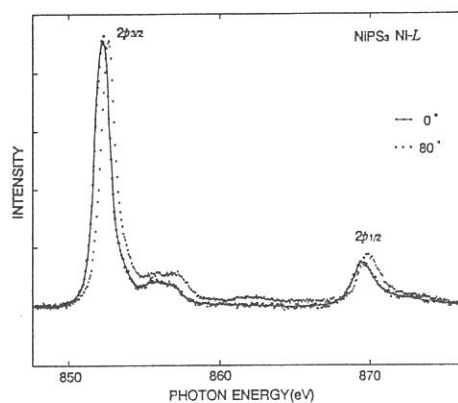


Fig.1. Ni-L<sub>2,3</sub> XAS spectra

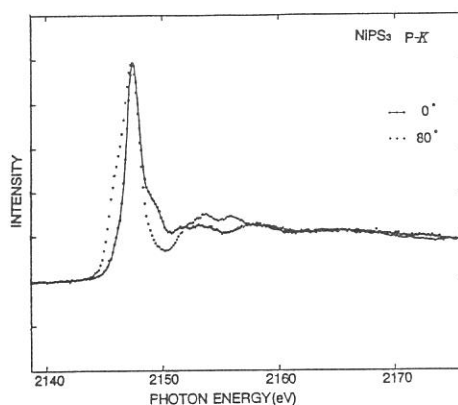


Fig.2.(a) P-K XAS spectra

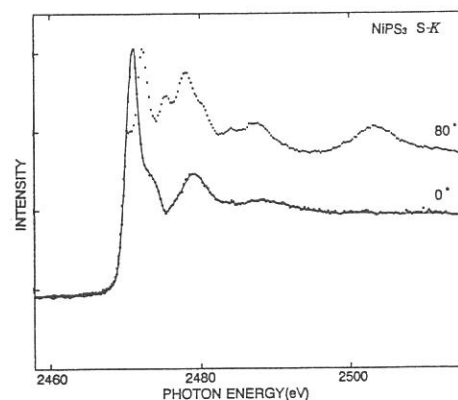


Fig.2.(b) S-K XAS spectra

# Study on Electronic States of Amorphous Semiconductors by Soft X-ray Core-Absorption Spectroscopy

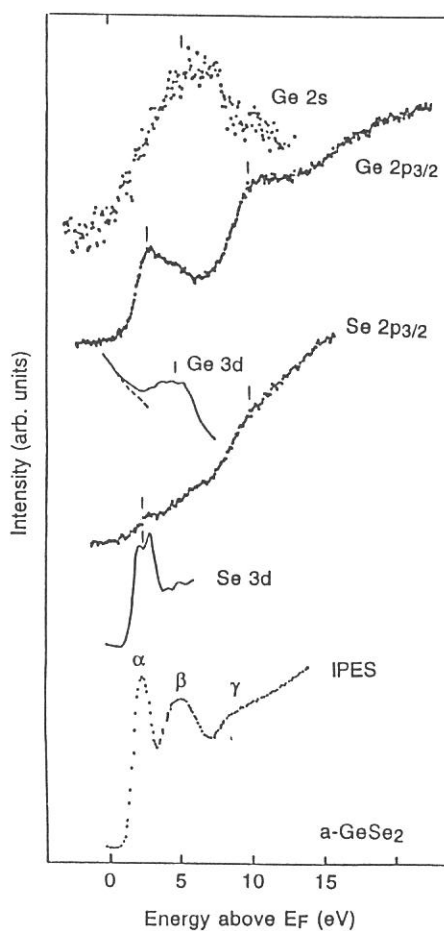
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We have already obtained the unoccupied density of states (DOS) of amorphous (a-) GeSe<sub>2</sub> by means of inverse-photoemission spectroscopy (IPES) in our laboratory. The IPES spectrum is shown at the bottom of figure 1. As seen in the figure the spectrum has three peaks as indicated by  $\alpha$ ,  $\beta$  and  $\gamma$ . The core-absorption spectra also provide information on the conduction-band DOS, including the selection rule of angular momentum on the optical transitions. By comparing IPES spectrum with core-absorption spectra, we can derive the contribution of each orbital components in conduction-band DOS [1,2].

The Ge 2s and 2p, and Se 2p core-absorption spectra were measured on BL-1A of UVSOR facilities using double Beryl monochromator. The core-absorption measurements were performed by the total electron yield mode at room



**Figure 1.** The core-absorption and the inverse-photoemission spectra of a-GeSe<sub>2</sub>. The Ge 3d and Se 3d core-absorption spectra by Inoue et al. [3] are also shown.

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temperature. The sample film of a-GeSe<sub>2</sub> with thickness of 1000Å was evaporated onto a clean Au substrate in a preparation chamber that was taken into UVSOR from our laboratory. The sample was *in situ* transferred into an analyzer chamber under base pressure below  $5 \times 10^{-10}$  Torr.

Large solid circles in Fig. 1 show core-absorption spectra. Solid lines in the figure indicate the Ge 3d and Se 3d spectra measured by Inoue et al. [3]. The energy position of the  $\alpha$  peak in the IPES spectrum is nearly equal to those of the first peaks in Ge 2p<sub>3/2</sub> and Se 3d, and the first shoulder in Se 2p<sub>3/2</sub> core spectra. The position of the  $\beta$  peak corresponds to those of the peaks in Ge 2s and Ge 3d, and that of the  $\gamma$  peak agrees with those of the second one in Ge 2p<sub>3/2</sub> and second shoulder in Se 2p<sub>3/2</sub> core spectra. Such characteristic features of the core-absorption spectra and the IPES spectrum suggest that the  $\alpha$ ,  $\beta$  and  $\gamma$  peaks are mainly composed of the Ge 4s and Se 4p, the Ge 4p and Se 4p, and the Ge and Se 4d and/or 5s orbitals, respectively.

We have also measured the core-absorption spectra of a-GeSe, a-GeTe, a-As<sub>2</sub>Te<sub>3</sub>, a-As<sub>2</sub>Se<sub>3</sub>, and discussed the contributions of partial DOS's in their conduction bands.

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## Soft X-ray Absorption Spectra of $\text{Cd}_{1-x}\text{Mn}_x\text{Te}$ Diluted Magnetic Semiconductors

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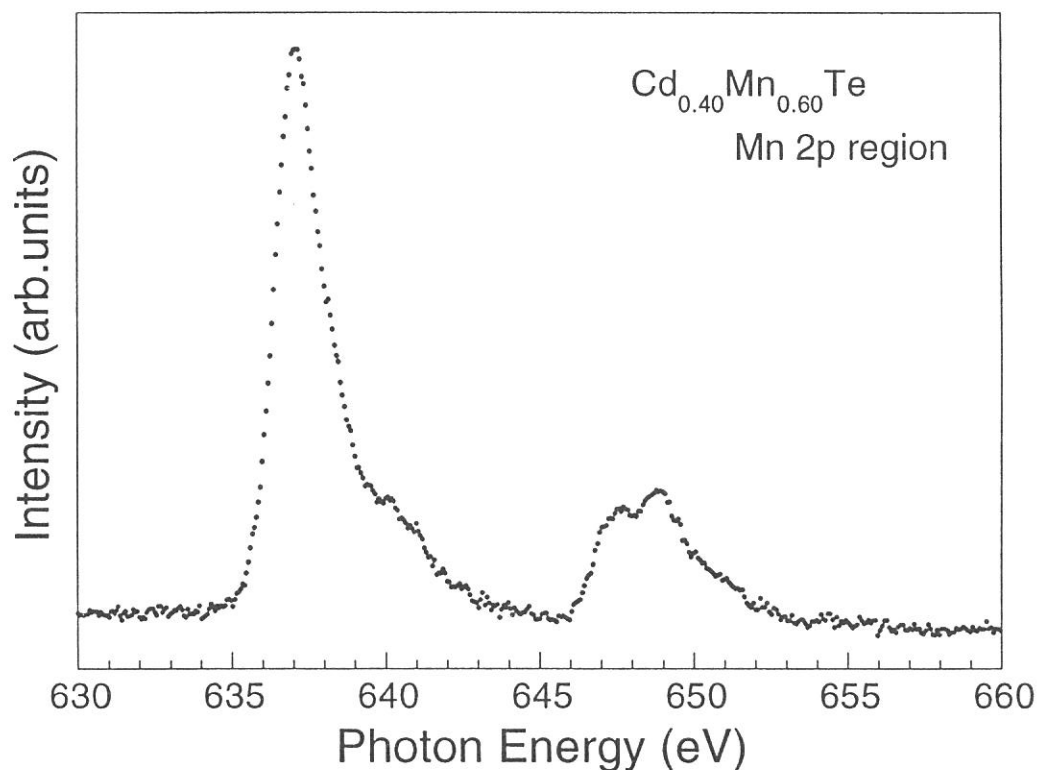
$\text{Cd}_{1-x}\text{Mn}_x\text{Te}$  alloys have attracted a great deal of attention as a new class of semiconductors, since the replacement of Cd with Mn yields novel magneto-optical properties[1]. Such phenomena are derived from a hybridization of the Mn 3d states in the high spin configuration with the sp-band states of the host crystals. We have investigated the electronic structures of  $\text{Cd}_{1-x}\text{Mn}_x\text{Te}$  by means of photoemission and inverse-photoemission spectroscopy, so far. In the inverse-photoemission spectra, which give information on the total density of states (DOS) in conduction-band (CB), the unoccupied Mn 3d $\downarrow$  and sp-band states have been observed as a narrow peak at 3.6 eV and a broad structure around 7 eV above the valence-band maximum, respectively[2]. In the present study, we performed the soft x-ray absorption spectroscopy experiments on  $\text{Cd}_{1-x}\text{Mn}_x\text{Te}$  to separate  $l$  components of the unoccupied states by use of dipole-allowed transitions.

The absorption spectra were taken at BL-1A of UVSOR equipped with a double crystal monochromator. A set of  $\beta$ -alumina was used. Clean surfaces for the measurements were prepared by scraping with a diamond file under ultrahigh vacuum below  $2 \times 10^{-9}$  Torr in a preparation chamber. Then, the sample was *in situ* transferred into the analysis chamber with base pressure of  $5 \times 10^{-10}$  Torr. The Cd 3s, 3p, Mn 2s, 2p, Te 3s and 3p absorption spectra were recorded in total electron yield mode and normalized to the monochromator output by use of yield from Au mesh. All measurements were performed at room temperature. Bulk single crystals of CdTe and  $\text{Cd}_{0.40}\text{Mn}_{0.60}\text{Te}$  were grown through a Bridgman method. We verified the samples forming homogeneous crystal phase by x-ray diffraction measurement. The Mn concentration was determined by electron-probe micro-analysis.

Because of a poor signal to noise ratio of obtained spectra, it was difficult to compare them with inverse-photoemission spectra and separate  $l$  components of the CB-DOS. We can, however, roughly remark the following

items on the Mn 2p absorption spectrum. The intense peak at 637 eV in the Mn 2p absorption spectrum of  $\text{Cd}_{0.40}\text{Mn}_{0.60}\text{Te}$  in Fig. 1 is mainly ascribed to the dipole-allowed transitions from Mn  $2p_{3/2}$  core level to the unoccupied Mn  $3d\downarrow$  states. It should be noticed that the Mn  $2p_{1/2}$  spectrum is significantly different from the Mn  $2p_{3/2}$  one with respect to their intensity and shape, and the crystal field multiplets of the  $2p^63d^5 \rightarrow 2p^53d^6$  transitions show up also in the spectra. Detailed analysis is in progress.

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**Fig. 1** Mn 2p absorption spectrum of  $\text{Cd}_{0.40}\text{Mn}_{0.60}\text{Te}$ . The structures around 637 and 648 eV correspond to transitions from Mn  $2p_{3/2}$  and  $2p_{1/2}$  initial states, respectively.

ORIGIN OF STRUCTURES IN PHOTOCONDUCTIVITY EXCITATION SPECTRA OF  
SUPERCRITICAL XENON DOPED WITH ANTHRACENE

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Photoconductivity excitation spectra of nonpolar fluids doped with anthracene have been extensively studied with a interest on origin of structures observed in these spectra[1, 2]. Three groups ( Holroyd et. al.[1], Tweeten and Lipsky[2], Nakagawa et. al[3] ) have suggested their own interpretations. In this work, we have measured the photoconductivity excitation spectra with smaller increment of xenon density than previous machine time with an attempt to get a final answer.

Experiment were performed at the BL1B beam line of the UVSOR. We used a high pressure photoconductivity cell with electrode gap distance of 0.2 mm and a MgF<sub>2</sub> front window. Monochromatic light with a band width of 0.8 nm from a 1 meter Seya-Namioka monochromator was used. Density of xenon was controlled at 300 K from 0.3x10<sup>21</sup> cm<sup>-3</sup> to 8.0x10<sup>21</sup> cm<sup>-3</sup> by changing the pressure.

Figure 1 shows photoconductivity excitation spectra. Peak positions of structures observed in Fig. 1 were plotted in Fig. 2 as a function of xenon density together with peak positions observed in optical absorption spectra measured at previous machine time.

We analyzed the peak shift in terms of a cluster model [4] which successfully reproduced the density dependence of ionization potential Ip,

$$E^i(N) = E^i(0) + \frac{\Delta E^i n_m N}{N_1(n_m - 1) + N} \quad ,$$

where, E<sup>i</sup>(N) is the peak position of peak i under xenon density N, E<sup>i</sup>(0) is the peak position of peak i observed in gas phase, ΔE<sup>i</sup> is shift due to association of one xenon atom to anthracene, n<sub>m</sub> is the maximum association number, N<sub>1</sub> is the xenon density under which density one xenon atom associate to anthracene. In the best fit procedure, we assumed that N<sub>1</sub> and n<sub>m</sub> values should be the same with the case of ionization potential; N<sub>1</sub>=0.8x10<sup>21</sup> cm<sup>-3</sup> and n<sub>m</sub>=6. Moreover, E<sup>i</sup>(0) values should be equal with the absorption peaks observed for gas phase anthracene[5]. Thus ΔE<sup>i</sup> is the only one parameter to be best fit. Curves B to G in Fig. 2 show a result of the

fitting procedure. The best fit values of  $\Delta E^i$  are determined to be  $-0.056$ ,  $-0.1$ ,  $-0.097$ ,  $-0.081$ ,  $-0.096$ , and  $-0.062$  eV, for peaks B, C, D, E, F, and G, respectively.

As seen from the figure, observed peak shift seem to be well reproduced via the cluster model except for the curve C. The reason why the only bud fit was obtained for curve C is not clear at the present time.

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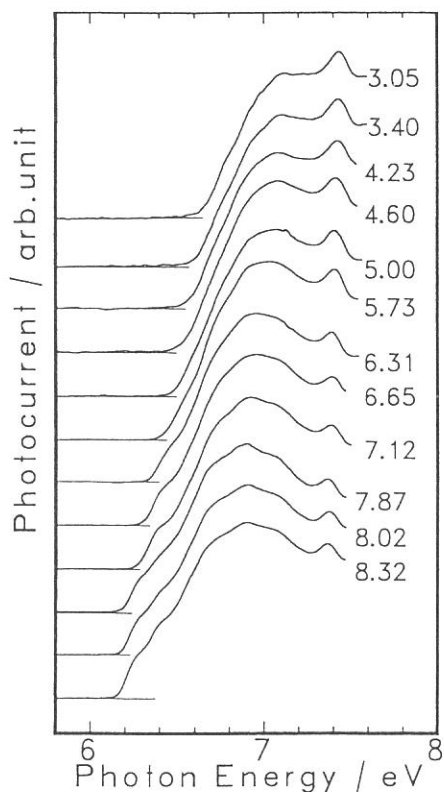


Fig. 1 Photoconductivity excitation spectra of anthracene-doped supercritical xenon fluids. Numbers near the photocurrent curves designate xenon densities in the unit of  $10^{21} \text{ cm}^{-3}$ .

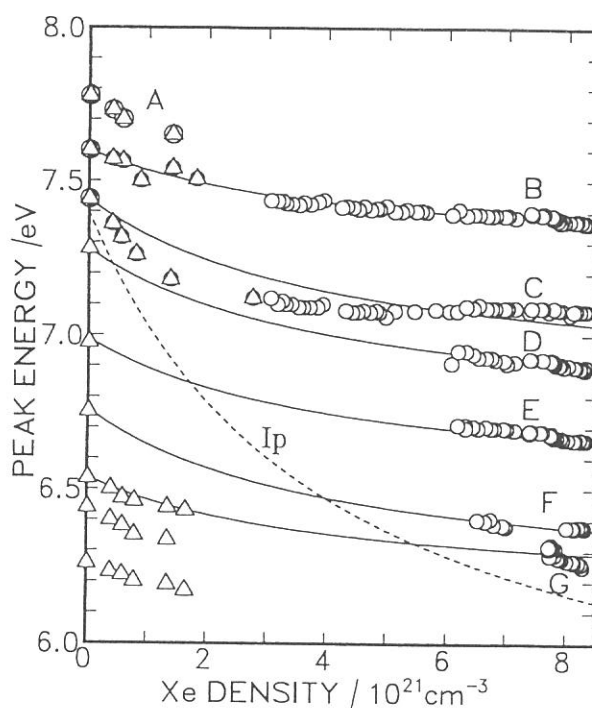


Fig. 2 Peak positions of photocurrent peaks (O) and optical absorption peaks ( $\Delta$ ) as a function of xenon density.

# PHOTOIONIZATION POTENTIAL OF C<sub>60</sub> DOPED IN LIQUID 2,2-DIMETHYLBUTANE

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It was reported[1] that the magnitude of polarization energy P of C<sub>60</sub> positive ion in C<sub>60</sub> solid are smaller than that ( typically 1.7 eV[2] ) of other polycyclic aromatic hydrocarbons. The value P was estimated by the equation;  $I_S = I_g + P$ , where  $I_S$  (=6.17 eV[1]) is the threshold energy of photoemission of solid C<sub>60</sub> and  $I_g$  (=7.6 eV[3]) is the gas phase ionization threshold energy of C<sub>60</sub>. In this work, we measured photoionization potential of C<sub>60</sub> doped in a typical nonpolar liquid, 2,2-dimethylbutane ( 22DMB ), in an attempt to study the nature of polarization of C<sub>60</sub> ions in nonpolar liquids.

C<sub>60</sub> sample supplied by Professor Y. Achiba of Tokyo Metropolitan University was used after purification via vacuum evaporation. 22DMB from Aldrich Co. ( 99% ) was purified by degassing at 150 K after passing a column filled with the molecular sieves 4A at 220 K which was activated at 720 K for 24 hours.

Photoconductivity measurements were performed at the BL1B beam line of the UVSOR. Monochromatic light with a band width of 0.8 nm from a 1 meter Seya-Namioka monochromator was introduced between the two electrodes. Intensities of transmitted light and photocurrent were measured and recorded simultaneously. About 17 pA of photocurrent was observed at ~180 nm. Value of photoionization quantum yield  $\eta$  was calculated from absorbed photon number and photocurrent values.

Value of ionization potential  $I_p$  was estimated via a semi-empirical threshold law[4],  $\eta(h\nu) = B(h\nu - I_p)^{5/2}$ , where,  $h\nu$  is the incident photon energy, B is a constant. Figure 1 shows a plot of  $\eta^{2/5}$  vs. incident photon energy. From the figure,  $I_p$  value was estimated to be ~6.53 eV. It has been well established that ionization potential  $I_p$  of aromatic hydrocarbons doped in nonpolar liquids is written with the polarization energy P of the cation and the conduction band energy  $V_0$  of the liquid,  $I_p = I_g + P + V_0$ [4]. Using the experimental values of  $I_p = 6.53$  eV together with  $I_g = 7.54$  eV and  $V_0 = -0.20$  eV, we estimated the P value to be -0.94 eV. The Born formula is

known to be appropriate to reproduce P values for aromatic hydrocarbon cations in nonpolar liquid with the dielectric constant  $\epsilon$ ,

$$P = - \frac{q^2}{8\pi\epsilon_0 R} \left(1 - \frac{1}{\epsilon}\right) ,$$

where, q and R is the charge and radius of cation, respectively. As the  $\epsilon=1.87$  for 22DMB, radius R of  $C_{60}$  cation in liquid 22DMB is estimated to be 0.419 nm. This value is smaller than the van der Waals radius 0.55 nm of  $C_{60}$  molecules calculated from solid density by 24%. The same tendency is observed for the case of anthracene; cation radius R in nonpolar liquids is  $\sim 0.325$  nm[5] and the van der Waals radius is  $\sim 0.38$  nm, the latter is smaller than the former by 15%.

In summary, interaction between  $C_{60}$  cation and 22DMB molecule is of nearly the same nature with interaction between aromatic hydrocarbon cations and 22DMB molecules. Thus the small shift in ionization potential from  $C_{60}$  gas phase to solid phase is due to weakness of polarization effect between  $C_{60}$  cation and  $C_{60}$  molecules in solid  $C_{60}$ .

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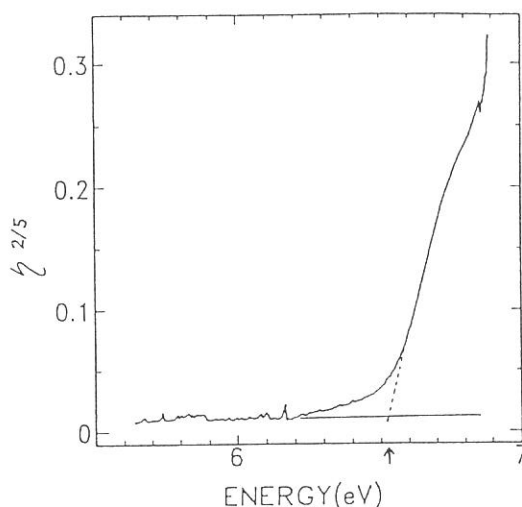


Fig. 1 Photoionization quantum yield  $\eta$  of  $C_{60}$  doped in liquid 2,2-dimethylbutane as a function of incident photon energy. In vertical axis,  $\eta^{2/5}$  is plotted.

## VUV Reflection Spectra of Densified Silica Glass

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Amorphous silica(a-SiO<sub>2</sub>) much attracts many researchers for understanding structure and properties of amorphous materials. Densification of the a-SiO<sub>2</sub> is one of interesting phenomena to understand the amorphous structure. The structure of dense a-SiO<sub>2</sub> was studied well by the measurements of infrared(IR) absorption<sup>1)</sup> and Raman scattering<sup>2)</sup> spectra or neutron diffraction<sup>3)</sup>. Influence on the electronic structure by densification was, however, little reported. Recently we<sup>4)</sup> had suggested that band in the VUV region, which assigned by interband transitions, shift toward lower energy with increasing density. In this report, reflection spectra of dense a-SiO<sub>2</sub> has been measured in the VUV region. Dependence of the band seen in the spectra on density is discussed by relating to the microscopic structural deformation.

A fused silica synthesized directly by hydrogen-oxygen flame hydrolysis was used as a starting material. Densification was carried out with a 6-8 multi-anvil type high pressure apparatus. The density was measured by Archimedes' method. The vacuum ultraviolet(VUV) reflectance was measured at a beam-line BL7B of UVSOR facility. Lithium fluoride single crystal was used for relative calibration of photon energy. The measurement was performed at room temperature in the region 8-12eV with a resolution of about 0.03eV.

The reflection spectra of glasses undensified and densified by 2% and 16% at 300K are shown in Figure 1. A strong reflection band at 10.3eV and a weak band at 11.4eV are observed. Line widths of the both bands broaden with increasing density. The peak positions of the strong and the weak bands shift toward lower energy by about 0.2eV after the 16% densification.

First, the shift of both bands toward lower energy with increasing density is in agreement with the model proposed by Kitamura et al.<sup>4)</sup>. The model was derived from an unification of the extended point dipole theory and the harmonic oscillator model. In the model, the centripetal force for the oscillators decreases with increasing density resulting in lower energy shift of their resonance frequencies because of increasing their number in a unit volume. So that a resonance energy at 10.2eV in the model, which corresponds to the strong band, shift toward lower energy. The model also predicts that the lower energy shift of the 10.2eV band is estimated by about 0.05eV after 16% densification. The estimated values is smaller than the value of the shift observed in the VUV reflection spectra. Difference between the estimated and observed values is probably due to a

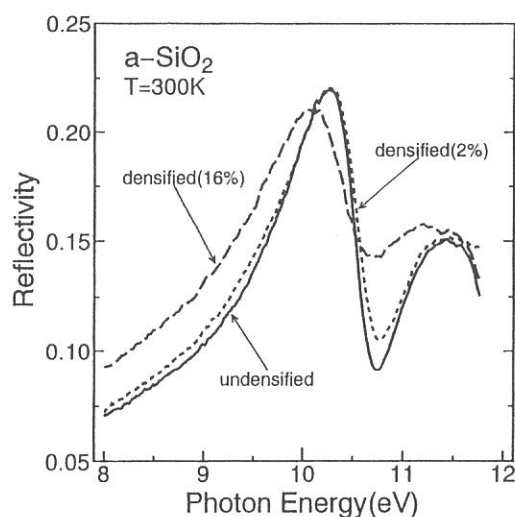


Fig.1 VUV Reflectivity for a-SiO<sub>2</sub> undensified and densified by 2% and 16% at 300K.



change in band gap energy, since a change in electronic structure by densification is not taken into account in the model. Narrowing of the mean bond angle between  $\text{SiO}_4$  tetrahedra (Si–O–Si) and slight elongation of Si–O bond on densification were suggested from Raman scattering spectra<sup>5</sup>. Xu et al<sup>6</sup> pointed out no relationship between the Si–O–Si bond angle and the band gap energy on all polymorphic crystalline  $\text{SiO}_2$ , while the band gap energy widens with an increase of the Si–O bond length. Since the length increases slightly with increasing density, it is deduced that the band gap energy decreases to some extent resulting in the shift of the bands toward lower energy in the VUV region by densification. The narrowing of bond angle corresponds to increasing number of the tetrahedra in a unit volume resulting in increasing number of the harmonic oscillators. It is concluded that the decrease of the centripetal force of the harmonic oscillator and the elongation of Si–O bond length are the origin of the lower energy shift of the two bands with increasing density.

Secondly, the broadening of the strong and weak bands is probably due to an increase of randomness on a certain microscopic structure, because the band width of the a- $\text{SiO}_2$  in the VUV reflection spectra is wider than that of the crystalline  $\text{SiO}_2$ . In the radial distribution function obtained from the neutron diffraction measurement<sup>3</sup>, broadening of a band attributed to the second nearest Si–O length was found with increasing density. The broadening of distribution on the second nearest Si–O length by densification suggests that the rotational arrangement between two  $\text{SiO}_4$  tetrahedra has diversity as compared with the undensified state. Although the band gap energy did not calculated elsewhere, increasing of the diversity on the rotational arrangement might be the reason of the broadening. Another possible reason of the broadening is a distortion of the  $\text{SiO}_4$  tetrahedra. Susman et al.<sup>3</sup> derived broadening of the distribution for the O–Si–O bond angle along with narrowing of the distribution for the Si–O–Si bond angle by MD simulation. Since the O–Si–O bond is in the tetrahedron, the broadening suggests some increase in distortion of the tetrahedra which should correspond to the broadening of the two bands at 10.3eV and 11.4eV.

### Acknowledgement

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VUV ABSORPTION SPECTRA OF THERMAL-POLED SiO<sub>2</sub> GLASSES  
WITH OPTICAL SECOND HARMONIC GENERATION ACTIVITY

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Second harmonic generation (SHG) should not be theoretically observed for solids having macroscopic inversion symmetry such as glass. However, when some inversion asymmetry is introduced to these solids, induced SHG activity will occur. In 1991, Myers *et. al.*<sup>1)</sup> reported the SHG activity in electrically polarized SiO<sub>2</sub> glass, and in the next year, Okada *et. al.*<sup>2)</sup> observed SHG from corona-polarized SiO<sub>2</sub> glass surface. We also observed SHG from electrically polarized SiO<sub>2</sub> glasses and found that the SHG intensity increases with increasing the concentration of hydroxyl group in the glass<sup>3)</sup>.

Recently, we found that the SHG activity depends not only on the OH concentration but also on the preparation method of SiO<sub>2</sub> glass. SHG was not observed from SiO<sub>2</sub> glass prepared by vapor axial deposition (VAD) method though it contains relatively high amount of OH group as shown in Fig.1. SHG signal with its intensity depending on the OH concentration was observed only from glasses prepared by sol-gel method and by direct melting of quartz crystal. Furthermore, ESR measurement indicated that SHG intensity does not depend on the concentration of paramagnetic imperfection centers.

So, in this study, measurement of VUV absorption spectra of the SiO<sub>2</sub> glasses prepared by different methods was carried out to investigate the relation between the SHG intensity and the concentration of imperfections which have absorption bands in the VUV region.

Fig.2 shows the absorption spectra of SiO<sub>2</sub> glasses prepared by direct melting and by VAD, in which the former shows the SHG activity but the latter does not. These two spectra are very similar. It is reported<sup>4)</sup> that Si-Si homobond in SiO<sub>2</sub> glass shows the absorption band around 7.6 eV, H<sub>2</sub>O molecule around 7.5 eV, Si-Si-Si bond around 6.7 eV, silicon E' center (three-fold coordinated silicon radical) around 5.5 eV, silicon lone pair center (two-fold coordinated silicon) around 5.2 eV, and O<sub>3</sub> molecule around 4.8 eV.

However, any of these absorption bands is observed in the spectra of both glasses. Fig.3 shows the absorption spectra of sol-gel synthesized  $\text{SiO}_2$  glasses prepared under two different conditions. One has absorption band around 6.9 eV and the other around 7.3 eV, though origin of these bands is not identified yet. Although  $\text{SiO}_2$  glasses both prepared by melt-quenching and by sol-gel have SHG activity, their VUV absorption spectra were different to each other.

In addition, no obvious change was observed between the absorption spectra of electrically polarized and unpolarized  $\text{SiO}_2$  glasses.

In summary, VUV absorption spectra of both SHG active and inactive silica glasses were measured, but no relation was found between the VUV absorption spectra and SHG activity.

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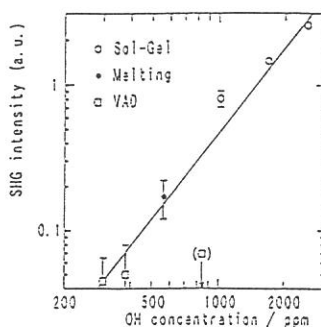


Fig.1 Relation between SHG intensity and OH content of  $\text{SiO}_2$  glasses. Data except for that of VAD sample lies on one line.

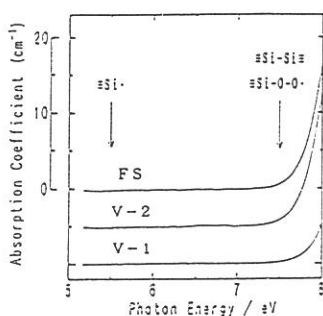


Fig.2 VUV absorption spectra of  $\text{SiO}_2$  glasses prepared by direct melting (FS) and by VAD (V-1, V-2) methods.

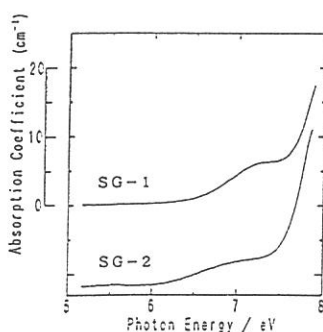


Fig.3 VUV absorption spectra of  $\text{SiO}_2$  glasses prepared by sol-gel (SG-1, SG-2) method.

# The electron affinity of CVD diamond with surface modification

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## 1 Introduction

The successes in diamond synthesis from vapor phase and substantial improvement in its quality raise the possibility of realization of diamond-based semiconductor devices with special characteristics which cannot be attained using silicon devices. Furthermore, a semiconductor diamond film has a possibility for an efficient cold cathode or photocathode due to its negative electron affinity on the (111) surface [1, 2].

In general, the negative electron affinity can be observed either for insulators, such as wide band-gap compounds, or surfaces after suitable treatments, e. g. , Cs-O-treated Si, which is chemically sensitive. Although the large phototreshold ( $E_{th}=5.5\pm 0.05$  eV) of diamond (111) surface precludes its use as a photocathode in the visible and near-ultraviolet light regions, it still has potentially interesting and useful photoemission properties such as high photoyield efficiency in the vacuum ultraviolet region. In case of natural diamond, it is known that the quantum yield (electrons per photon) of the (111) surface increases nearly linearly with photon energies ranging from the phototreshold (5.5 eV) to  $h\nu = 9$  eV (20%), and then increases to 40%-70% throughout the energy range of  $12 < h\nu < 35$  eV which is ten times larger than that of silicon.

In this study, we investigated the electron affinity of homoepitaxial single crystalline and polycrystalline diamond surfaces grown by a chemical-vapor-deposition (CVD) method. Surfaces with H termination or O adsorption were examined. H-terminated surfaces were obtained for CVD diamond exposed to hydrogen plasma after the diamond growth. Some of diamond films were exposed to hydrogen and oxygen plasma for 10 s to obtain the O-adsorbed surface. The resistance of O adsorbed specimen is higher than that of H-terminated specimen.

## 2 Experimental

Diamond films were synthesized by means of a microwave plasma CVD on diamond (111) and  $p^+$ -Si (100) substrates. The process parameters used were as follows: substrate temperature of 900 °C (as monitored by an optical pyrometer), 40 Torr in pressure, 10% CO and 90%  $H_2$  gas, and gas flow rate of 100 sccm. A dilute  $B_2H_6$  gas was used for boron doping in order to prevent the specimens from charging during electron spectroscopic measurements.

Photoyield measurements were carried out using synchrotron radiation from the 750-MeV storage ring of the Institute for Molecular Science. Specimens were biased to -240V so as to collect emitted electrons. A LiF filter was used to eliminate higher-order lights of  $h\nu > 11.6$  eV.

## 3 Results and discussion

Figure 1 shows photoemission yields of (111) single crystalline CVD diamond. These spectra were corrected by a relative beam intensity of incident photons. As seen in the inset of Fig. 1, the photoemission yield (curve a) appears although is weak in intensity just above 5.5 eV (the fundamental band gap) and starts to increase for  $h\nu > 6.8$  eV, which corresponds to the onset of the vertical transitions between the valence band and the conduction band. This lineshape reproduces an absorption coefficient curve reported by Roberts and Walker. This fact signifies the existence of a negative electron affinity since the onset of band-to-band transitions is well correlated to the onset of electron emissions.

The H-terminated surface (curve a) can emit more electrons than the O-adsorbed surface (curve b). In the case of the O-adsorbed surface, the onset of photoyield shifts to the higher energy side by  $\sim 0.3$  eV, compared to the H-terminated surface. A possible

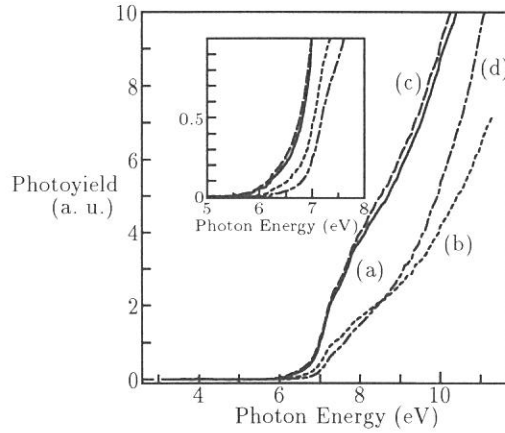


Figure 1: Photoyield of (a) H- and (b) O-adsorbed surfaces of (111) single crystalline CVD diamond and (c) H- and (d) O-adsorbed surface of polycrystalline CVD diamond.

origin of the shift is an increase in the work function after the oxygen plasma treatment. A high electronegativity of oxygen may play the major role on the increase in work function. In the case of silicon, the work function increases for (111) surfaces exposed to oxygen.

Photoemission yield spectra of polycrystalline diamond (curve c, d) are similar to those of the (111) single crystalline diamond (curve a, b) as shown in Fig. 1. This indicates that the photoemission is mainly determined by the (111) surfaces with the lowest work function, as described above.

On the other hand, the photoemission yield from polycrystalline diamond is larger than that from the (111) surface. The crystallinity of (111) and (100) CVD diamond films may influence the photoemission yield. The escape depth for secondary electrons may be determined by distributed defects which can prevent the photo-excited electrons from escaping to vacuum. More defects are present in the (111)-oriented diamond films than in the (100)-oriented one. At lower photon energies, where only the (111) surface contributed to the yield the observed photoyield of polycrystalline diamond containing (111) and (100) surfaces is similar to that of the single crystalline (111) surface. At higher photon energies, on the other hand, the photoyield is stronger than that of the (111) surface. The large yield at the high photon energy is considered to be due to the difference of photoemission area since the surface area of polycrystalline diamond is larger than the (111) single crystalline diamond due to the surface morphology.

## 4 Summary

We have investigated the electron affinity of the single crystalline and polycrystalline CVD diamond surfaces.

The photoemission yield of H-terminated surface is higher than that of O-adsorbed surface in (111) single crystalline CVD diamond. The electron affinity is negative for the H-terminated (111) diamond while slightly positive for the O-adsorbed one. In the case of polycrystalline CVD diamond, the photoemission yield at low photon energies near the band gap energy is determined mainly by (111) surfaces appearing in the specimen.

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## VUV Optical Characteristics in TEOS-SiO<sub>2</sub> Films Prepared by PECVD

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Deposition of SiO<sub>2</sub> thin films from TEOS by PECVD is widely utilized for the deposition of interlevel dielectric layer in ultra-large-scale integrated circuit (ULSI) devices, because TEOS-SiO<sub>2</sub> is featured by low deposition temperature and good step-coverage [1]. However, little is known about structural imperfection which might be included in TEOS-SiO<sub>2</sub>. Analysis of photoluminescence spectra has been proved to be a powerful tool to study the defect structure in SiO<sub>2</sub> [2]. Therefore, optical absorption and photoluminescence of TEOS-SiO<sub>2</sub> films measured by synchrotron radiations were studied.

The TEOS-SiO<sub>2</sub> films were deposited using an apparatus shown in Fig.1, with the deposition parameters listed in Table 1. Oxygen, used as carrier and oxidation gas, was introduced from the top a glass bell-jar and excited with an RF power of 13.56 MHz through a capacitive coupling. TEOS gas vaporized and transported at 70 °C was led into the 'tail-flame' of the oxygen plasma. The SiO<sub>2</sub> films can be raised to 700 °C.

Figure 2 shows vuv absorption spectra. The OH-related absorption seen as a sharp slope from 7.5 eV to 8.0 eV decreases with an increase in the deposition temperature. These results indicate that a SiO<sub>2</sub> film with a less amount of OH-related impurities is deposited at a higher deposition temperature.

On the other hand, Fig.2 also indicates that an absorption band appears at 7.6 eV in the 600 °C-deposited SiO<sub>2</sub>. By the absorption of these 7.6-eV photons, the photoluminescence, of which intensity has a negative dependence on the measurement temperature, is observed around 4.4 eV. Referring to the studies on high-purity silica [3], the absorption at 7.6 eV and photoluminescence at 4.4 eV are considered to be due to the oxygen vacancy (≡Si-Si≡). This indicates that the optimum substrate temperature for the deposition of SiO<sub>2</sub> is around 500 °C, and that OH-groups and oxygen vacancies appear below and above this temperature respectively.

A semilog plot of the decay of 4.4-eV luminescence at 53 K excited by 7.6-eV photons shown in Fig.3 indicates that the luminescence decays very fast (< 3 ns) and nonexponentially. The reason for this nonexponential decay is discussed by analysis to estimate the distribution of decay constant. The solid curve in Fig.3, obtained by assuming a stretched-exponential function:  $\beta/\tau \cdot (\tau/t)^{1-\beta} \cdot \exp(-(t/\tau)^\beta)$  and the least squares method; the distribution of decay constants is considered to correlate with 1/β. This distribution in TEOS-SiO<sub>2</sub> films (β = 0.78) is contrast to the nearly single-exponential decay observed for the 4.4-eV luminescence in high-purity silica glass (β = 0.93), although the effective decay constant τ is consistent with the silica glass (2.5-2.8 ns)[4].

Compared with the FT-IR absorption spectra around 1070 cm<sup>-1</sup> indicating the states of Si-O-Si structure, the 1070 cm<sup>-1</sup>-band in TEOS-SiO<sub>2</sub> deposited at 600 °C is broader than in thermal silicon dioxide. This means that the TEOS-SiO<sub>2</sub> film deposited at 600 °C has more distorted structure than thermal oxide. Such distorted structure seems to bring about electronic and/or structural perturbation of oxygen vacancies,

resulting in the distribution of the decay constant.

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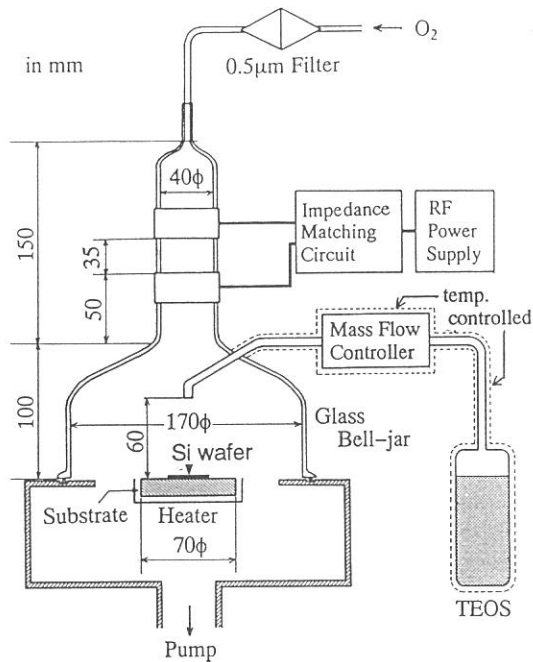


Table 1. Deposition Conditions.

RF power	20	W
TEOS flow rate	1	sccm
O <sub>2</sub> flow rate	10	sccm
pressure	0.2	Torr
substrate temp.	300–600	°C
TEOS temp.	70	°C

Fig.1. Plasma CVD apparatus for SiO<sub>2</sub> deposition.

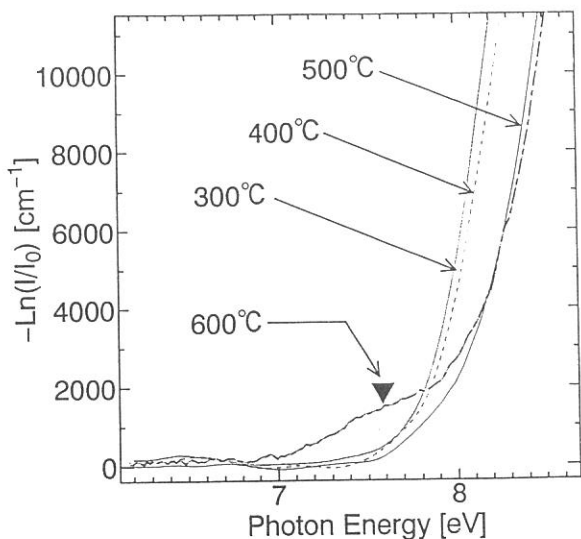


Fig.2. Effect of the deposition temperature on the uvv absorption spectra. (▼ shows the absorption at 7.6 eV.)

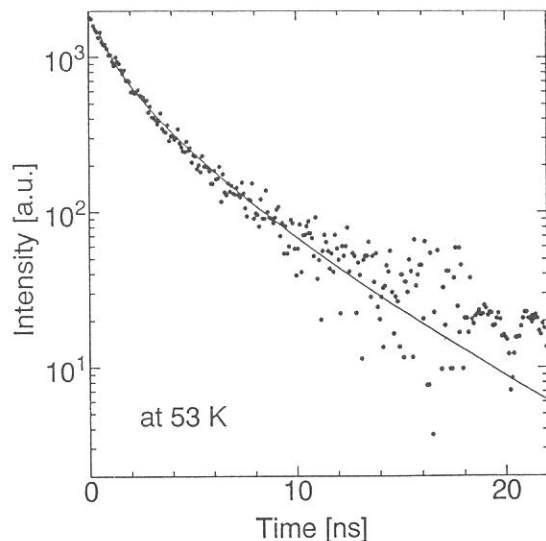


Fig.3. Semi-log plot of the decay of 4.4-eV luminescence band obtained at 53 K for TEOS-SiO<sub>2</sub> deposited at 600 °C excited by 7.6-eV photons. The solid curve is drawn by assuming the stretched-exponential function.

## Optical Properties of Heavy Metal Fluorides

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In most cases, the energy level of non-bonding F 2p is much shallower than that of occupied outer orbitals of metal ions in solids. However, the energy level of outer s-orbital of heavy metals is comparable to that of 2p in F or O in ionic solids because lower valence state becomes favorable for these heavy metal ions as exemplified that  $Tl^+$  ( $6s^2$ ) is more stable than  $Tl^{3+}$  ( $6s^0$ ). It is of interest to examine the relation between the optical and electric properties and nature of energy band structure for heavy metal fluorides. In this project we focused to elucidate the energy band structure of  $TlAlF_4$  using UV SOR BL1B.

Samples used here were prepared on synthetic  $SiO_2$  glass substrate by vacuum evaporation method and the thickness was  $\sim 500$  nm.

Figure 1(a) and (b) shows the reflection spectrum (incident angle;  $45^\circ$ ) and absorption spectrum obtained by K-K transformation from (a), respectively. Three distinct bands centered at 6.0, 6.7, and 7.9 eV were observed in the energy region higher than the band gap (5.3 eV). The assignment of these bands is in progress with the aid of molecular orbital calculations.

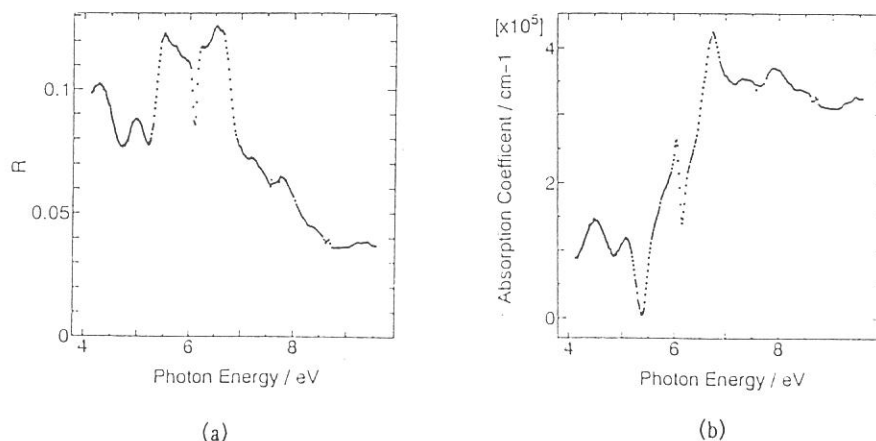


Fig.1 Reflection spectrum (a) and absorption spectrum (b) calculated by K-K transformation



## Optical Conductivity of FeSi

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FeSi is known as a narrow gap semiconductor which shows anomalous magnetic properties. It shows large magnetic susceptibility maximum around 500 K which is difficult to interpret by normal band picture of semiconductor.<sup>1</sup> Moriya interpreted this anomalous magnetic susceptibility qualitatively by temperature induced moment deduced from spin fluctuation theory,<sup>2</sup> and this temperature induced moment was confirmed by neutron measurement.<sup>3</sup> But the origin of the narrow energy gap has been unclear yet. Recently, Fisk *et al.* proposed that the material is one of Kondo insulators,<sup>4</sup> i. e. the energy gap originates from the hybridization between a conduction band and a localized magnetic moment as rare-earth compounds. So there is an interest in the electronic structure including the origin of the energy gap state. We have measured the reflectivity spectrum of the high quality single crystal in the energy range from 50 meV to 32 eV using a beam line 7B and a laboratorial spectrometer system. The crystal structure is *B20* type of distorted NaCl structure. The energy band including a spin-orbit effect and the theoretical optical conductivity (OC) have been calculated by Kulatov. Mattheiss *et al.* also calculated the band structure but using more simple model with a simple cubic and without a spin-orbit effect.<sup>5</sup> These calculated results are similar to each other but the gap energy is different from other one, i. e. the former's energy gap is 0.05 eV and the latter 0.11 eV. Moreover the advantage of Kulatov's calculation is that we can make direct comparison of the OC spectra which are obtained theoretically and experimentally.

The obtained OC spectra at 300 and 6 K after a Kramers-Kronig transformation of reflectivity spectra are shown in Fig. 1. At 300 K, the OC spectrum is like a metal because the intensity below 0.1 eV is almost flat. However, an energy gap of about 0.1 eV opens at 6K because the OC intensity becomes weak below 0.15 eV.

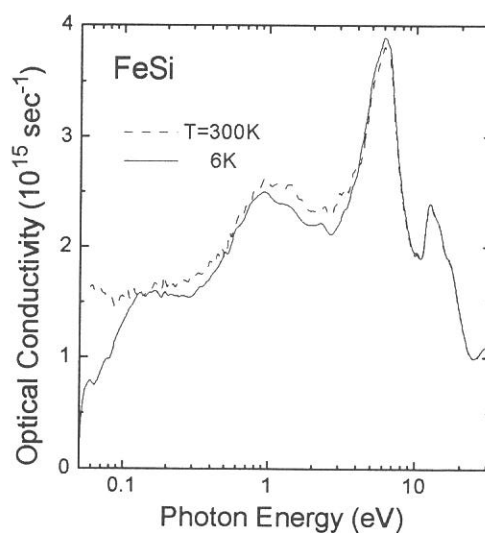


Fig. 1 Optical conductivity of FeSi single crystal in the energy range from 0.05 to 32 eV. The energy gap is seen in the energy position of about 0.1 eV at 6 K.



The result is qualitatively equal to that measured by Schlesinger *et al.*<sup>6</sup> They suggested that the energy gap is due to the creation of a Kondo insulator. But the gap energy is comparable to the thermal energy at 300 K. So the energy gap can be hidden by the thermal excitation at 300 K. In fact, the calculated joint density of states using the Kulatov's band calculation results show that the energy gap is hidden by the thermal excitation at high temperature. Therefore we think that the temperature dependence of energy gap observed in the spectra can be explained by the thermal excitation in the rigid band without considering the gap formation of a Kondo insulator around 100 K.

Other structures in the OC spectrum are expected by the band calculation. The calculated OC spectrum is shown by a dashed line in the lower part of Fig. 2 and by a solid line which is smoothed by Lorentzian with the band width of 0.4 times the energy position. After smoothing, the measured and calculated OC are very similar to each other except for the intensity ratio of 1 eV and 2 eV peaks.

In conclusion, the results of the optical measurement can be understood fairly well by band calculation, but the connection between the band calculation and the strong correlation in FeSi is still unclear.

The authors are grateful to Dr. A. A. Povzner for supplying the sample of FeSi.

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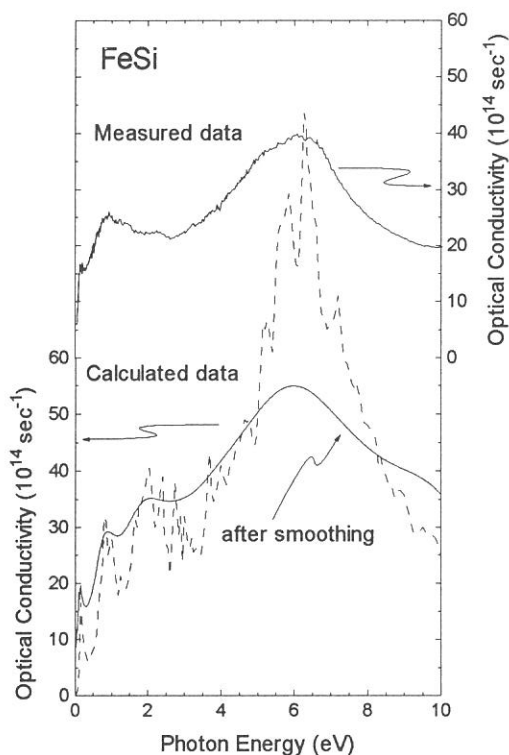


Fig. 2 Measured (upper part) and calculated (lower part) optical conductivity of FeSi. The solid line of calculated data is after smoothing of the dashed data. (See text in detail.)

## VUV Reflectivity Spectra of Rare-Earth Sesquioxides III

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We measured reflectivity spectra to investigate the electronic state of a series of  $R_2O_3$ 's. We have already reported the VUV reflectivity spectra of seven kinds of  $R_2O_3$  single crystals [1, 2]. In this report, we measured the reflectivity spectra of another three kinds of  $R_2O_3$  single crystals (R= Pr, Sm and Eu) in addition.

From the X-ray diffraction patterns, it is shown that  $R_2O_3$ 's of La, Pr and Nd have hex type crystal structure (called A-type), Sm and Eu have monoclinic type (B-type), and Er, Tm, Yb, Lu and Y have bcc type (C-type). Measurements of reflectivity spectra were done in the photon energy region between 3 eV and 40 eV at 300K at the beam line BL7B.

The reflectivity spectra of all  $R_2O_3$  materials are similar to other ones which have even a different crystal structure. This means that the origin of the main structure of these spectra is almost equal to one another. We will make a detailed analysis using the optical conductivity spectra (Figure 1), which are derived from the Kramers-Kronig transformation of the reflectivity spectra. There are three structures in common, which are located around 10 eV (here called a), 18 eV (b) and 30 eV (c). In our previous papers, we have explained the origin of the main part of the structure a, b and c, i. e. a is due to the transition from  $O-2p$  to  $R-5d$ , b is  $O-2p$  to  $R-6s$  and c is  $R-5p$  to  $R-5d$ . In addition, the difference of the detailed structures of peak a is due to the difference of the expanse of the  $R-4f$  states due to the  $4f$  multiplet [2].

The peak c has doublet structure in  $R_2O_3$ 's (R= Er ~ Y). That is explained that  $R-5p_{3/2}$  and  $R-5p_{1/2}$  states are divided in the C-type crystals. From La to Lu, it is clearly seen that the position of the structure c is gradually shifted to the high energy with the increase of the atomic number (57 - 71). The reason is the screening to the potential energy from the nucleus of the  $4f$  electron. Because the  $4f$  electron locates at the inner shell, the outer band of the rare-earth site does not affect from the coulomb potential of the atomic nucleus, i. e. the  $5p$  state goes to the high binding energy and the  $5d$  state does not move as the atomic number increases. Therefore we can observe that the  $5p-5d$  absorption shows a blue shift with the increase of the atomic number.

The spectra which belong to the A-type crystal structure are not only similar to one another but also those of B-type. This means the above explanation can be applied to other crystal structure groups. In detail, the peak structure at about 10 eV in  $La_2O_3$  is different from that of  $Pr_2O_3$  or  $Nd_2O_3$ , that is thought to be an effect of the unoccupied  $4f$  states. The origin of the peak structures at 4.1 eV in  $Pr_2O_3$  and 5.2 eV in  $Nd_2O_3$  are unknown up to the present.

In conclusion, it is thought that the information about the fundamental electronic state in the  $R_2O_3$ 's were obtained. To the next step, we are going to investigate a series of the

Pr oxides, which is said to be in mixed valence state and change the degree of oxidation from  $\text{Pr}_2\text{O}_3$  ( $\text{Pr}^{3+}$ ) to  $\text{PrO}_2$  ( $\text{Pr}^{4+}$ ).

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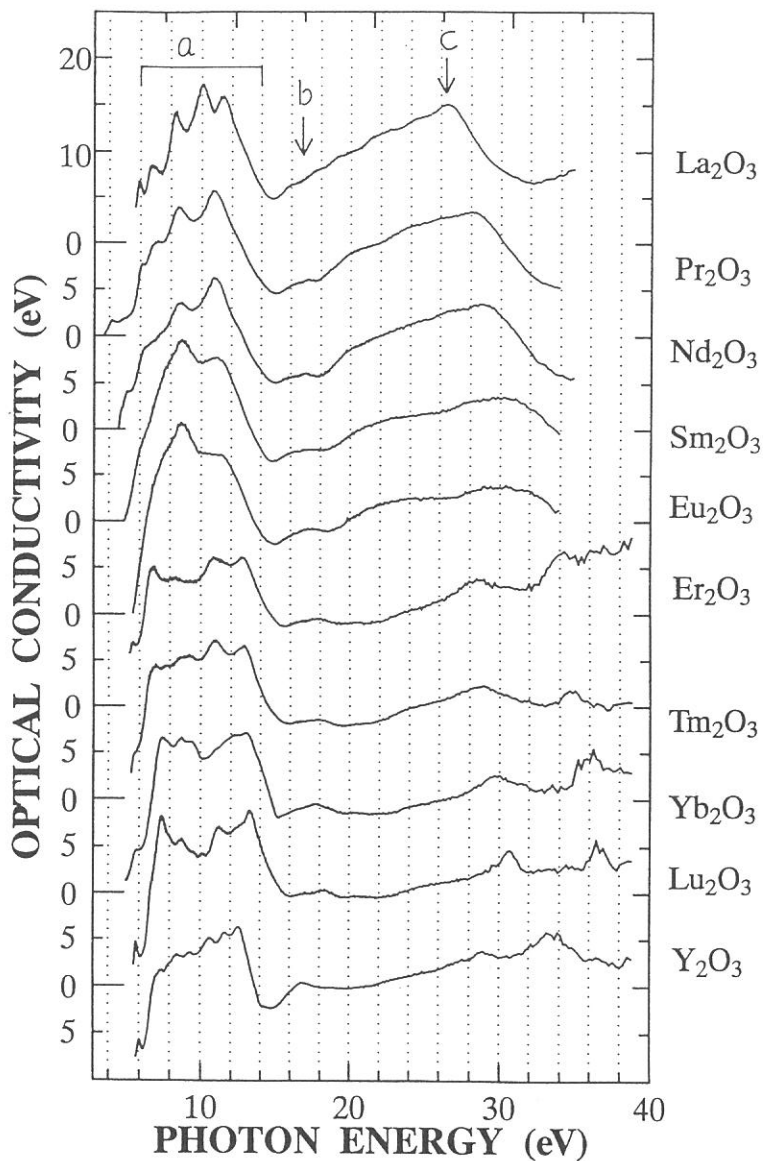


Fig. 1  
Optical conductivity spectra of  $\text{R}_2\text{O}_3$ 's from the Kramers-Kronig transformation of the reflectivity spectra.

## Exciton Absorption Spectra of Thin KCN and RbCN Crystals

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Alkali cyanide crystals, when cooled from the pseudocubic disordered phase into the low temperature phase of  $\text{CN}^-$  elastic order and higher symmetries, break up into a multi-domain structure. The crystals become to be opaque since each domain shows birefringence and multiple scattering of light is occurred.

Much attention has been paid to the excitonic properties of the ionic molecular crystals as well as the mechanism of the phase transition. However, from the lack of a convenient method of sample preparation of opaque materials, little study on the exciton has been carried out except the measurement of the reflection spectra [1].

For the first time, absorption spectra of very thin KCN and RbCN crystals have been measured in UV region at the BL-7B of UVSOR facility. The crystals with thicknesses of 0.1-10  $\mu\text{m}$  were prepared from the melt using capillarity for pulling it into a narrow gap of a cell made by two  $\text{CaF}_2$  single-crystal plates. This technique has already been applied to the cases of alkali halides [2] and  $\text{CuCl}$ .

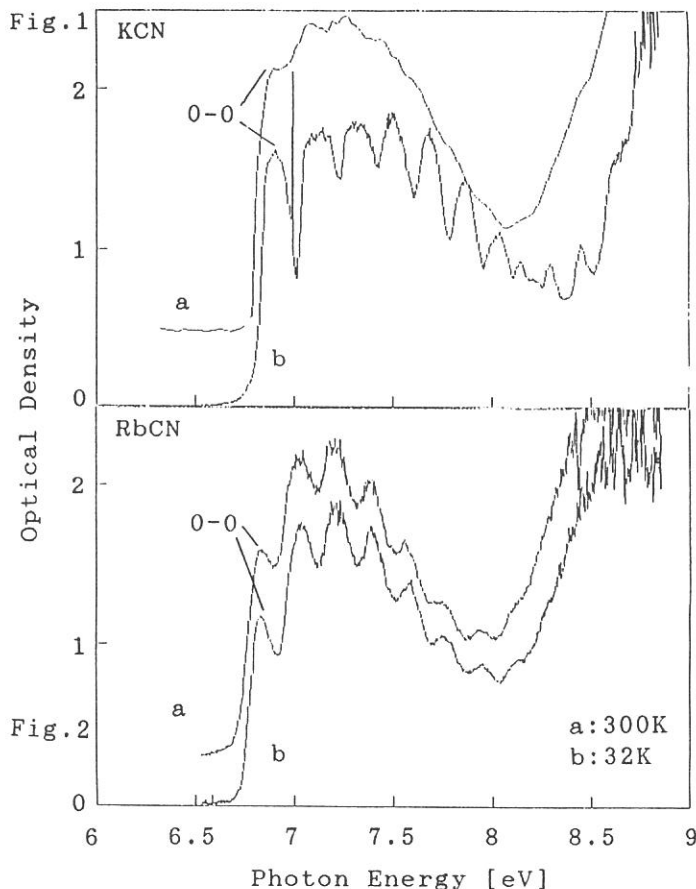
Figures 1 and 2 show the absorption spectra of KCN and RbCN, respectively. Measurements were done at 300 K(a) and 32 K(b) for the samples with thicknesses of about 1  $\mu\text{m}$ . We can see clear vibrational structures of 0-0, 0-1, ... molecular excitons with an interval of about 200 meV in the region of 6.7-8.6 eV. Maximum absorption coefficient in this region is estimated to about  $10^5 \text{ cm}^{-1}$ . This large value suggests that the excited states of the  $\text{CN}^-$  ions are singlet, which coincides with the assignment that the exciton states are attributed to an  $X^1\Sigma^+ \rightarrow A^1\Pi$  vibronic transition in  $\text{CN}^-$  ions [1].

In KCN, a complicated structure was observed below 80 K in the region of 8.0-8.5 eV as shown in Fig. 1(b). This structure is due to overlapping of two progressions of Davydov splitting corresponded to two  $\text{CN}^-$  ions situated in a unit cell of orthorhombic symmetry. The ferroelastic ordered phase is formed at 168 K, and a second-order phase transition leading to the

order of the electric dipole of the  $\text{CN}^-$  occurs at 83 K. Such splitting was not observed in RbCN because of an orientational glassy state of  $\text{CN}^-$  ions around 32 K. The vibrational structure almost disappeared with increasing temperature in KCN, while little change was observed in RbCN. The onset of a charge transfer exciton band is seemed to appear at higher energies. This onset shifts to low energy side with increase of temperature for both specimens.

A very sharp structure is indicated on the 0-0 exciton band of KCN in Fig. 1(b). This sharp peak shifts to high energy side with increase of temperature and disappears above 80 K. Similar behavior was observed for the angle of incoming light; the peak disappears above several degrees. A large fraction of the crystal axes of the orthorhombic domains can be aligned because the thin crystals are under uniaxial stresses acting on the surface of the sample [3,4]. This peak may be related to the "partially ordered multi-domain structure". The similar peak was not found in RbCN, in which the degree of order is lower than that of KCN.

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## OPTICAL SPECTRA OF TIN(II) IODIDE

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Tin(II) iodide ( $\text{SnI}_2$ ) is a layered material and the crystal structure of  $\text{SnI}_2$  belongs to a monoclinic  $\text{C}_{2h}^3$  ( $\text{C2/m}$ ) space group.<sup>1)</sup>  $\text{SnI}_2$  has the isoelectronic configuration of  $\text{PbI}_2$ . In contrast to the case of well studied  $\text{PbI}_2$ , optical properties of  $\text{SnI}_2$  have been known very scarcely. Only the optical spectra below 3 eV have been reported for evaporated thin films and single crystals.<sup>2)</sup> In the present study, polarized reflection spectra of  $\text{SnI}_2$  single crystals were measured.

Single crystals of  $\text{SnI}_2$  were grown from vapor phase. The crystals were obtained as brilliant red needles elongated along  $b$ -axis. Crystals were also grown by the Bridgman method and it was found by the X-ray diffraction measurement that the crystals are cleaved along the (201) plane. Figure 1 shows reflection spectra of  $\text{SnI}_2$  grown from vapor phase. Spectra were measured at 13K for polarization parallel ( $E//b$ ) and perpendicular ( $E\perp b$ ) to the  $b$ -axis. The direct exciton peak is observed at 2.564 eV for  $E//b$ , while it is observed at 2.572 eV for  $E\perp b$ . The peak position in single crystal is about 0.09 eV higher than that in evaporated thin film at 86K.<sup>2)</sup> The intensity of the exciton peak for  $E//b$  is about three times stronger than that for  $E\perp b$ . The peak position of the exciton band of  $\text{SnI}_2$  is close to that of  $\text{PbI}_2$ . The exciton transition is regarded as the intra-atomic excitation in the cation site ( $5s^2 \rightarrow 5s5p$  in  $\text{Sn}^{2+}$ ) as is the case of  $\text{PbI}_2$ .<sup>3)</sup> The polarization dependence of the exciton band would be ascribed to the combined effect of the crystal field splitting and the spin-orbit interaction of the 5p level in  $\text{Sn}^{2+}$  ion.

Doublet peaks are found at 26.17 eV and 27.04 eV as shown in Fig. 2. They are attributed to the core exciton transition from the  $\text{Sn}^{2+}$  4d core level to the 5p level. The dichroism in this region is weak compared to the fundamental absorption region in Fig. 1. This indicates that the anisotropic crystal field effect

is weak and the excitons are highly localized as is the case of  $\text{Pb}^{2+}$  5d core excitons in orthorhombic lead halides.<sup>4)</sup>

The authors would like to thank professor K. Itoh of Hiroshima University for X-ray diffraction measurement to determine crystal orientation.

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Fig. 1.  
Reflection spectra  
of  $\text{SnI}_2$  below 5 eV.

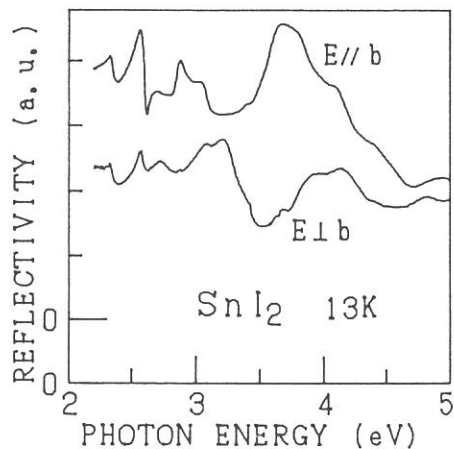
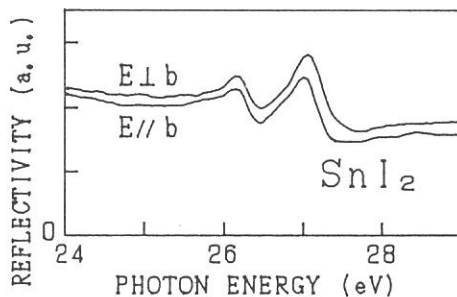


Fig. 2.  
Reflection spectra of  
 $\text{SnI}_2$  in the  $\text{Sn}^{2+}$  4d  
core exciton region.



# OPTICAL PROPERTIES OF GALLIUM IODIDE

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Gallium halides belong to III-VII compounds. Amongst III-VII materials, thallose halides and indium halides have been extensively studied mainly because of their characteristic optical properties and the polaron nature of electrons and holes of an intermediate coupling strength [1]. Unlike these mono-halides, gallium mono-halides are quite unstable, while tri-iodide GaI<sub>3</sub> is obtained as a stable material although it is quite hygroscopic [2]. It crystallizes in a layered orthorhombic structure. In the present study, optical properties of GaI<sub>3</sub> single crystals are investigated for the first time using a optical flat surface cleaved in a vacuum.

Figure 1 shows the reflection spectrum of a GaI<sub>3</sub> single crystal measured at 15 K. There appear sharp lines at 3.8 eV, 4.1 eV and 4.3 eV probably due to a creation of excitons, and at a higher energy broad structures are also observed.

In Fig. 2 are shown absorption spectra of a GaI<sub>3</sub> single crystal near the absorption edge for various temperatures. At 15 K, the absorption spectrum shows an unstructural band tail starting around 3.1 eV, and the tail shows an apparent red shift with increasing temperature. It is found that a logarithmic plot of the absorption coefficient gives a straight line for all temperatures investigated, indicating that the absorption edge of GaI<sub>3</sub> is well described by the Urbach rule. The best fit to the data obtained gives the steepness constant  $\sigma_0 = 0.3$  and the converging energy  $E_0 = 3.44$  eV. The small value of the steepness parameter suggests that the exciton-phonon interaction is very strong in GaI<sub>3</sub>.

We also measured luminescence spectra of GaI<sub>3</sub> at low temperatures. However, no luminescence was detected near the absorption edge and at a lower energy region. This indicates that excitons in GaI<sub>3</sub> are unstable in a free state in agreement with the small value of the steepness constant.

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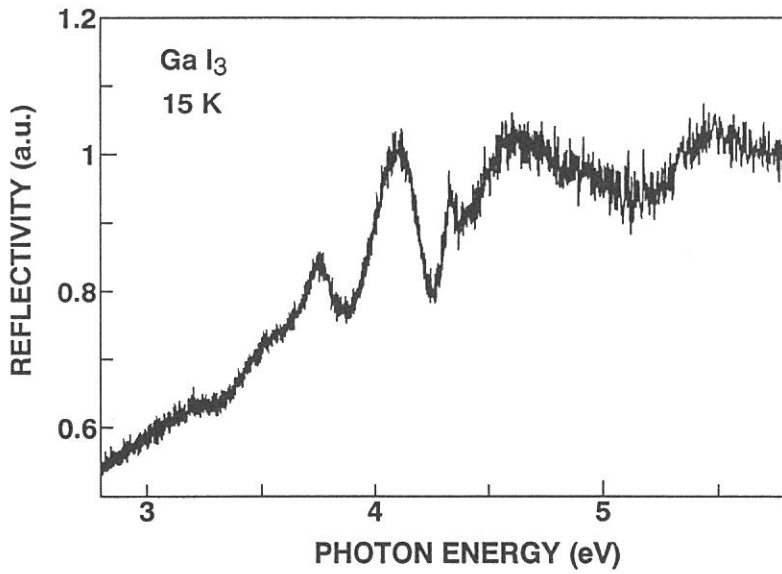


Fig.1 Reflection spectrum of a GaI<sub>3</sub> single crystal at 15 K.

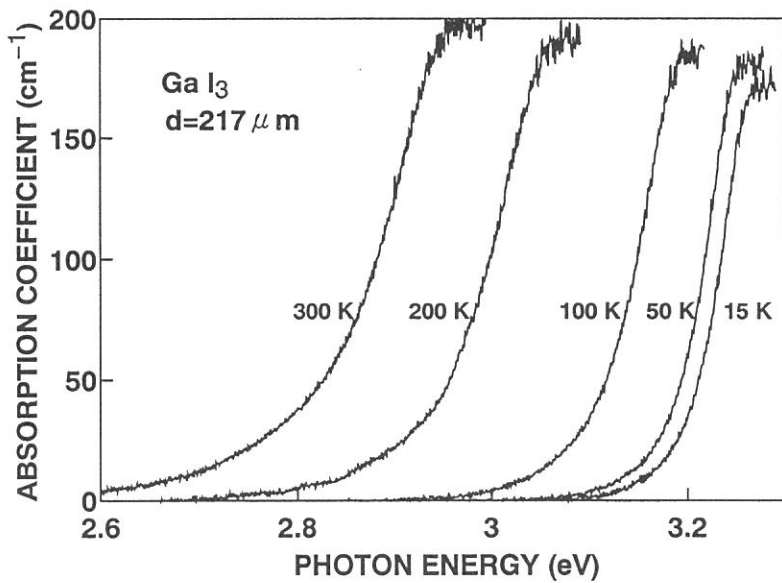


Fig.2 Absorption spectra of a GaI<sub>3</sub> single crystal near the absorption edge for various temperatures.

# Reflection Spectra of Thallous Halides in 5d Core Exciton Region

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In the optical spectra of heavy-metal halide crystals, there appear sharp lines due to the metal  $d$  core-exciton transition in vacuum ultraviolet region. The lines are accompanied with fine structures in many halide crystals, which have not been well understood as yet. In the present study, polarized reflection spectra of cubic TlCl and TlBr and orthorhombic TlI have been measured in the energy region up to 30 eV.

Figure 1 shows the reflection spectrum of TlCl in 2 - 25 eV region at room temperature. The spectral features are consistent with the previous result of Hinson and Stevenson [1]. Two sharp peaks observed in 14 - 17 eV region of the spectrum are due to the  $Tl^+$  5d core-exciton transition.

Figure 2(a) and (b) show reflection spectra of TlCl and TlBr, respectively, in the  $Tl^+$  5d core-exciton region. The peaks 1, 2 and 3 can be ascribed to the allowed transition in  $Tl^+$  ion from the  $^1S_0$  ground state to the  $J=1$  excited states of  $^3P_1$ ,  $^1P_1$  and  $^3D_1$ , respectively, as is the case of Pb-halide crystals [2, 3]. The  $J=3$  excited states of  $^3F_3$ ,  $^1F_3$  and  $^3D_3$ , and  $J=4$  excited state of  $^3F_4$ , which are optically forbidden in a free-ion state, can couple with the  $J=1$  states through a cubic crystal-field interaction. The subpeaks denoted by 0 and 1' is probably due to such transitions.

In Fig.3 are shown polarized reflection spectra of orthorhombic TlI in the  $Tl^+$  5d core-exciton region at 16 K. The spectra are somewhat dichroic; weak structures denoted by 1' and 2' are observed for  $\mathbf{E} // \mathbf{a}$  polarization, but the counterparts are not found for  $\mathbf{E} // \mathbf{c}$ . Similar polarization dependences have been reported in the  $In^+$  4d core-exciton spectra of indium halides [4], which have the same crystal structure and similar electronic configurations. Therefore, the observed dichroism in TlI would be explained by an atomic excitation picture including the anisotropic crystal-field effect.

We thank Prof. S. Hashimoto for providing TlCl and TlBr single crystals.

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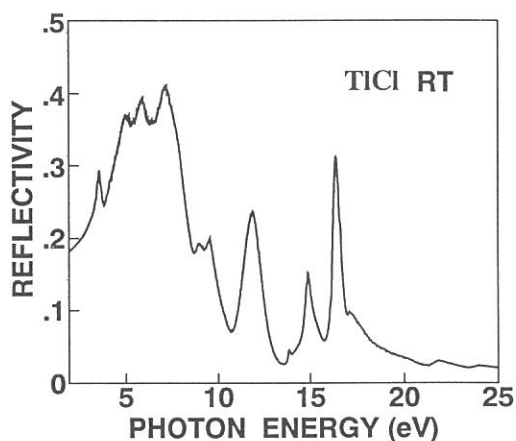


Fig.1. The reflection spectrum of TlCl at room temperature.

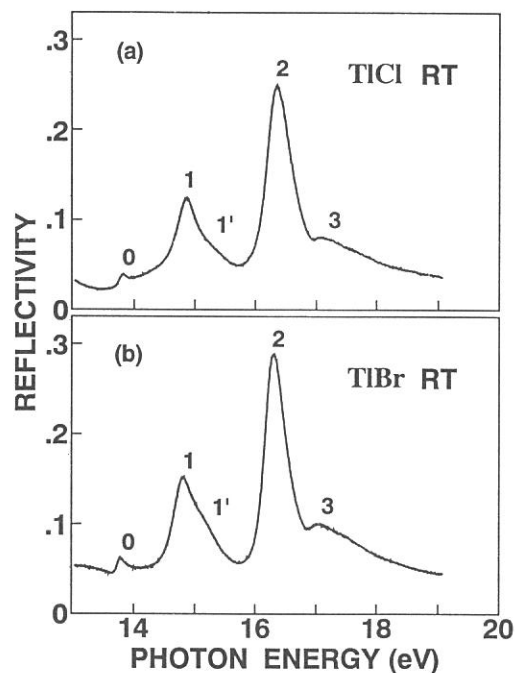


Fig.2. Reflection spectra of (a) TlCl and (b) TlBr in  $Tl^+$  5d core exciton region at room temperature.

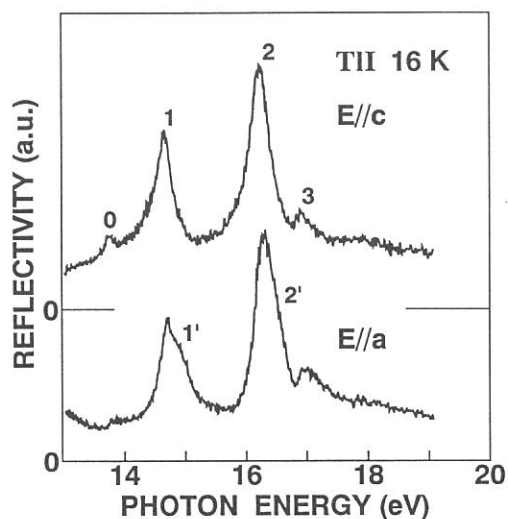


Fig.3. Reflection spectra of orthorhombic TlI in  $Tl^+$  5d core exciton region at 16 K.

# Luminescence due to Self-Trapped Excitons in Fluor-phlogopite

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Synthetic mica fluor-phlogopite  $\text{KMg}_3\text{AlSi}_3\text{O}_{10}\text{F}_2$ , whose crystalline structure is based on the hexagonal network of linked (Si, Al) $\text{O}_4$  tetrahedra, is a typical example of layered insulators [1]. However, its fundamental optical properties have been little studied, in spite of the wide application in various fields of science and technology. In the present work, we have performed luminescence measurements on mica fluor-phlogopite at low temperatures.

Figure 1 shows the luminescence spectrum of fluor-phlogopite measured at 10 K. An intense emission band is observed at 5.4 eV, with a tail toward the low-energy side. This band is stimulated with VUV photons of an energy equal to or larger than that of the lowest exciton absorption band at 8.7 eV, indicating that it is of intrinsic origin. The large Stokes shift (= 3.3 eV) suggests strongly that the 5.4-eV luminescence is emitted after considerable lattice relaxation, i.e., excitons are self-trapped by deforming the lattice around them. The self-trapping of excitons could be caused by the strong exciton-phonon coupling which is a characteristic feature of insulator with large band-gap energy. The present result is the first observation of intrinsic luminescence originating from self-trapped excitons in mica crystals.

Intensity of the 5.4-eV luminescence is almost constant up to 70 K, and is reduced to  $\sim 1/10$  at 300 K. The activation energy for thermal quenching is estimated to be 49 meV.

Figure 2 shows the decay profile of the 5.4-eV luminescence measured at 10 K under the single-bunch operation. It appears that the 5.4-eV luminescence exhibits two decay components; a long-lived phosphorescent component with a lifetime of 0.48  $\mu\text{s}$  and a weak fluorescent component with a short lifetime of 1.8 ns. This means that the initial luminescent state would be a pair of the triplet and singlet states separated slightly by the exchange interaction. Such a situation is analogous to that of the self-trapped exciton luminescence in alkali halides, ammonium halides and rare-gas solids [2, 3].

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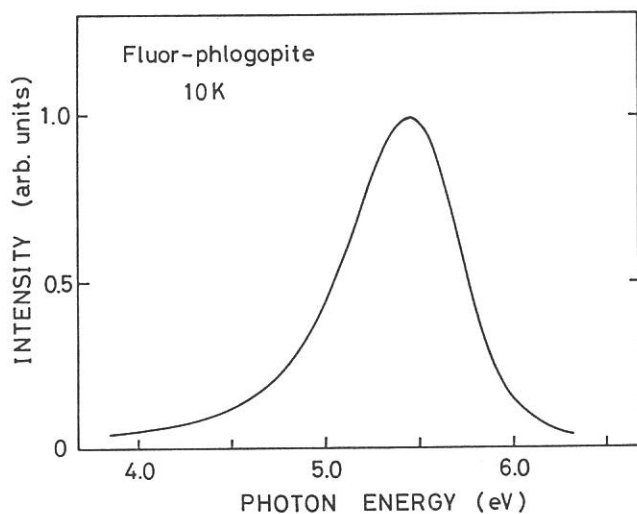


Fig.1. Luminescence spectrum of a single crystal of fluor-phlogopite measured at 10 K under the excitation with UV light at 8.86 eV.

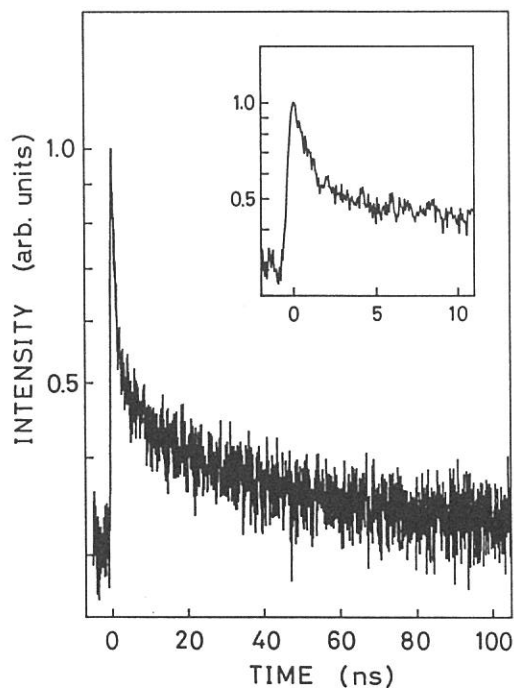


Fig.2. Decay behavior of the 5.46-eV luminescence observed at 10 K under the single-bunch operation at 8.86 eV. The inset shows the fast decay component in an expanded scale.

# TIME-RESOLVED MEASUREMENTS OF AUGER-FREE LUMINESCENCE

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Auger-Free (AF) luminescence in CsF, CsCl, CsBr, RbF and BaF<sub>2</sub> has been studied under excitation with monochromatic light pulses from BL1B equipped with a 1 m Seya-Namioka vacuum monochromator under single bunch operation. Time-resolved spectra were obtained using a system for simultaneously detecting signals through two different time windows.<sup>1)</sup>

In fig. 1 are shown time-resolved emission spectra for 5 crystals under excitation into outermost-core band with 21.4 eV light. Solid curves indicate spectra for fast decay components with lifetimes of  $\sim 1$  ns and dotted ones those for slow decay components with lifetimes of hundreds of ns or longer. The solid curves coincide with previously reported spectra for fast decay components under excitation with pulsed electron beam or pulsed X-rays.<sup>2,3)</sup> The lifetime of the fast decay component for each crystal was in reasonable agreement with the reported value<sup>4)</sup> and was found to have the same value at three emission energies shown by arrows. This indicates that the solid curves are due to AF luminescence in all energy region.

If a hole produced in the core state relaxes to the top of the band before radiative transition, and if the effects of lattice relaxation and deformation of the valence band could be neglected, luminescence band will be located in the range of  $E_{vc} - \Delta E_v < h\nu < E_{vc}$ , where  $E_{vc}$  is the energy difference between the tops of the valence band and the outermost-core band and  $\Delta E_v$  is the valence-band width. The energy range estimated from the results of photoemission<sup>5)</sup> is shown by horizontal line for each crystal in the figure. The low energy edges of the observed luminescence are situated at the energies lower than the estimated ones by 0.5 - 1 eV for all cases. Therefore, the effects neglected above are important in the radiative decay process of core holes.

The strong slow decay components appearing in the lower energy side of AF luminescence in CsCl, CsBr and BaF<sub>2</sub> are due to valence holes as they were also observed when excited in the valence-band region. In RbF and BaF<sub>2</sub>, however, a weak slow component appears in the higher energy region, as shown by shadow in the figure. Its peak energy is larger than that of the fast component in each crystal. In fig.2 is shown an emission decay curve for BaF<sub>2</sub> observed at 5.63 eV. The lifetime of the slow component is estimated to be longer than 500ns. Its intensity at  $t=0$  is smaller than 0.1% of that of the fast component but its time-integrated intensity is  $\sim 8\%$  of that of the fast component. In fig.3 are shown time-resolved excitation spectra for both of the components observed at

5.63 eV. The slow component (dotted curve) shows the same excitation spectrum as the fast one (solid curve) and it is not observed under excitation into valence band. This clearly shows that the slow component can also be attributed to the core holes. As the lifetime of AF luminescence is determined by the fastest radiative transition,<sup>2)</sup> existence of a forbidden transition would not result in such a slow decay component. Further investigation is necessary to clarify the mechanism to explain this slow decay component.

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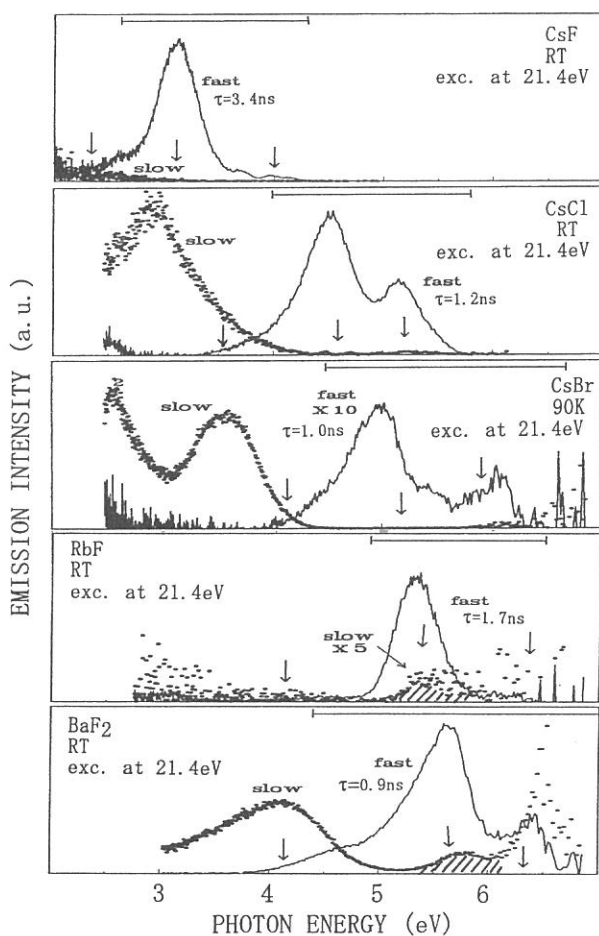


Fig. 1

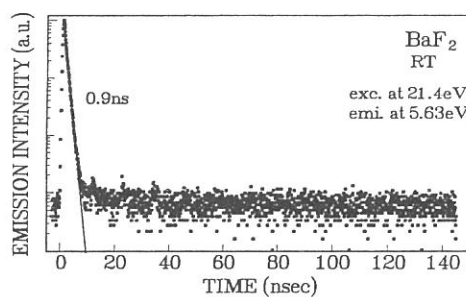


Fig. 2

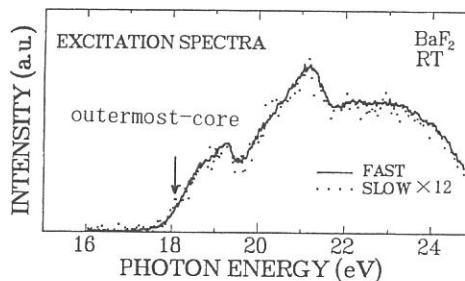


Fig. 3

# INTRINSIC LUMINESCENCE FROM SELF-TRAPPED EXCITONS IN BaFBr

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BaFBr crystal, a layered ionic crystal having the tetragonal structure, is a useful host material for application to photo-stimulated luminescence phosphors<sup>1</sup>. In the present study, recombination luminescence from BaFBr has been investigated under excitation with vacuum ultra-violet at BL1B beam line of UVSOR.

Figure 1(a) shows an emission spectrum observed at 6.5K under excitation at 8.85eV above the band gap energy. Three emission bands are observed at 5.0eV, 3.7eV and 2.4eV. An excitation spectrum for 5.0eV band, shown in the right side of the figure, rises with a threshold at 7.6eV, which corresponds to the absorption band tail of the  $n=1$  exciton due to  $\text{Br}^-$  valence hole. Positions of halogen doublet are shown by arrows at 7.6eV and 8.1eV. The broken curve shows an absorption spectrum due to  $\text{O}^{2-}$  impurities contained in the measured sample. It should be remarked that the band exists in position overlapping with the 5.0eV emission band. When excited at 5.06eV corresponding to the peak energy of the  $\text{O}^{2-}$  absorption band, only the 2.4eV emission band appears (Fig.1(b)).

Figure 2(a) shows emission spectra observed at 14K and 100K under excitation at 7.75eV. In raising temperature, a new emission band is found to appear at 4.1eV with quenching of the 5.0eV and 2.4eV bands. An excitation spectrum for the 4.1eV band, shown in the right side of the figure, exhibits a threshold at 7.6eV as that of the 5.0eV band. In Fig.2(b) is shown the temperature dependence of emission intensities at 5.0eV, 4.1eV and 2.4eV measured by stimulating with 7.75eV light. Solid curves are calculated curves ( $\Delta E=118\text{meV}$ ,  $\tau_{0v}=2.42\times 10^7$ ). As seen in the figure, the 5.0eV and 2.4eV bands weaken in the same way around 70~100K with growth of the 4.1eV band.

Among several emission bands observed, only two of the 5.0eV band at low temperatures and the 4.1eV band at high temperatures exhibit the clear threshold at 7.6eV for excitation into the lowest exciton absorption due to  $\text{Br}^-$  valence holes. No other emission bands were observed when excited into the inner valence band originating from  $\text{F}^-$  p states. This suggests that the free hole created in the  $\text{F}^-$  p states immediately relaxes into the uppermost valence band to self-trap finally as out-of-plane  $\text{Br}_2^-$ , being in line with theoretical calculation by Baetzold<sup>2</sup>. Therefore, it is most likely that the 5.0eV and 4.1eV bands both come from STE's with the  $\text{Br}_2^-$  ion core. As seen from Fig.2(b), the 5.0eV band is observed at temperatures much lower than 120K,



where the  $\text{Br}_2^-$  is stable<sup>3</sup>. We conclude that the 5.0eV band is intrinsic luminescence from STE's with the out-of-plane  $\text{Br}_2^-$  core. On the other hand, the 4.1eV band appearing above 80K and still strongly at temperatures much higher than 120K is hardly attributed to the intrinsic luminescence. This band comes probably from perturbed STE's ;  $[\text{Br}_2^- + e]$  trapped in the vicinity of impurity ions or point defects.

Measurements with a time correlated single photon counting method under single-bunch operation made it sure that both the 5.0eV band at low temperatures and the 4.1eV band at high temperatures are phosphorescence with a lifetime longer than  $\mu\text{s}$ . Thus, the 5.0eV band has characteristics of triplet luminescence with a long lifetime, so that it can be regarded as a family of triplet  $\pi$  bands of the STE luminescence in alkali bromides. As for alkali halides, the  $\pi$  emission bands are classified into three types (I, II and III) with respect to the magnitude of Stokes-shift energy<sup>4</sup>. In this connection, it is noteworthy that the Stokes-shift of the 5.0eV band is almost the same to that of the type I  $\pi$  band in NaBr. Based on this point, we suppose that the STE responsible for the 5.0eV band is situated on-center.

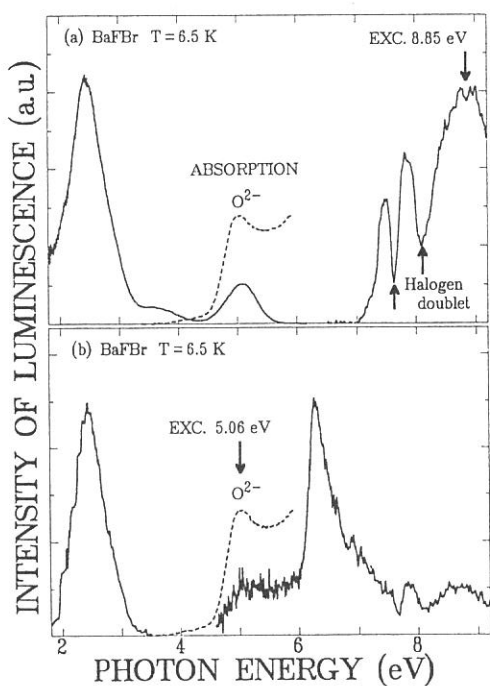


Fig. 1

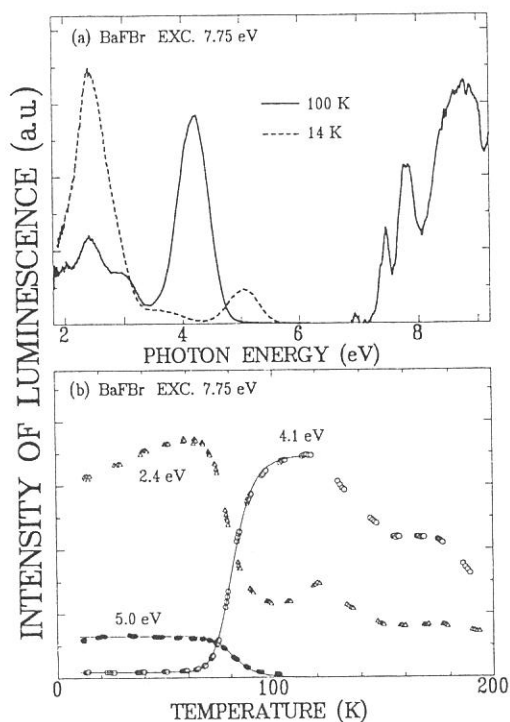


Fig. 2

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# Decay Behavior of UV-luminescence in CdCl<sub>2</sub>-CdBr<sub>2</sub>

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Cadmium chloride (bromide) crystallizes in a layer-type structure. A cadmium ion is located at the center of an octahedron composed of six halogen ions sitting at the vertices. The top of the valence band consists mainly of halogen 3p (or 4p) orbitals while the bottom of the conduction band has cadmium 5s character. Intrinsic absorption corresponds to the transitions from np (halogen) to 5s (cadmium). Two luminescence bands (UltraViolet and Yellow) are observed with UV-light excitation in the fundamental absorption region. They are associated with radiative decay of self-trapped excitons (STE). The STE-states in CdCl<sub>2</sub> and CdBr<sub>2</sub> are believed to be the excited states of the [Cd<sup>2+</sup>X<sub>6</sub><sup>-</sup>]<sup>4-</sup>-complex molecular ions (X=Cl or Br), that is, optically created excitons cause formation of the complex ions to localize around them. The lowest excitonic state is the T<sub>1u</sub> state of which the electron-hole configuration is expressed as {a<sub>1g</sub>(σ<sup>a</sup>),t<sub>1u</sub>(π<sup>n</sup>)} with using the molecular orbitals of [Cd<sup>2+</sup>X<sub>6</sub><sup>-</sup>]<sup>4-</sup> in O<sub>h</sub> symmetry. This state split into E<sub>u</sub> and A<sub>2u</sub> states by the axial field of D<sub>3d</sub> symmetry. The STE state connected to the UV emission is assigned to the A<sub>2u</sub> state in D<sub>3d</sub> symmetry. On the other hand, the Y-emission is related to the E<sub>g</sub> state arising from the {a<sub>1g</sub>(σ<sup>a</sup>),e<sub>g</sub>(σ<sup>a</sup>)} configuration.

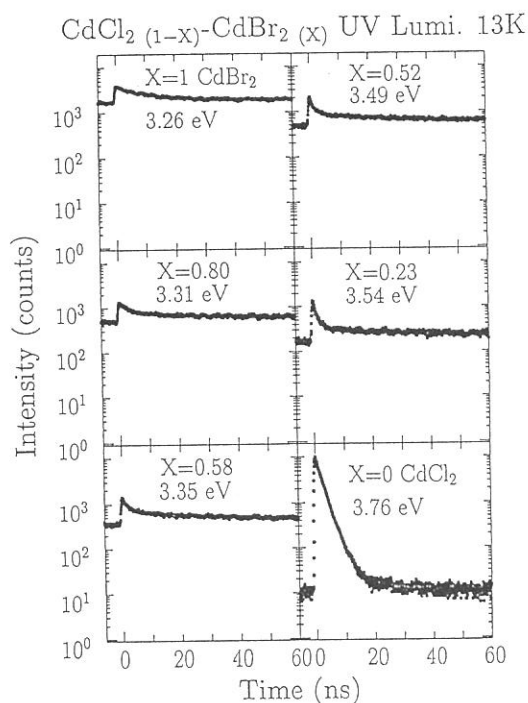


Fig.1

The UV-emission would be directly connected to the STE of the lowest energy exciton. The decay curves of the UV-emission consist of two exponential components, namely, the fast ( $\tau_f=2.0$  ns for CdCl<sub>2</sub> and 11 ns for CdBr<sub>2</sub>) and the slow ( $\tau_s \gg 178$  ns) ones. They are attributed to the radiative decay of the spin singlet and triplet STE's. The existence of the triplet component indicates that some of optically created singlet excitons are switched into the triplet ones through spin-orbit interaction. The decay profiles of the UV-emission much differ between CdCl<sub>2</sub> and CdBr<sub>2</sub> in the time-integrated intensity ratios of  $\tau_f$  to  $\tau_s$  components (97:3 for CdCl<sub>2</sub>, 6:94 for CdBr<sub>2</sub>), which reflects the difference between Cl<sup>-</sup> and Br<sup>-</sup> in the strength of the spin-orbit interaction.

Decay profiles of the UV-emission were investigated on the CdCl<sub>2</sub>(1-X)-CdBr<sub>2</sub>X mixed crystals, where X denotes the concentration of CdBr<sub>2</sub> in the mixture. Excitation was made with single-bunched undulator radiation from UVSOR (36eV, 0.5 ns duration, 178 ns interval).

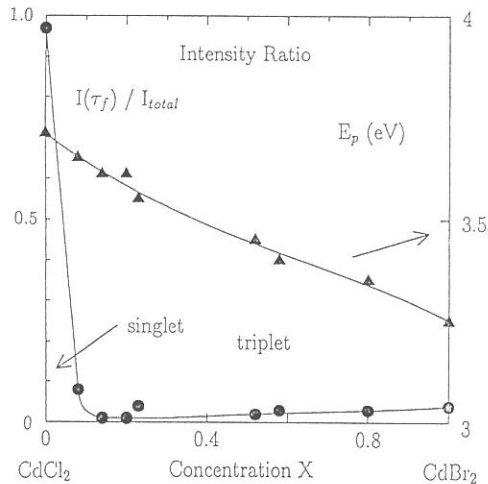


Fig.2

The observed results are shown in Fig.1. As increasing  $X$  in the mixed crystal, the decay profile changes rather drastically from the  $\text{CdCl}_2$ - to the  $\text{CdBr}_2$ -type in the region of  $X < 0.2$ . On the other hand, the UV-emission spectral band shifts rather continuously from 3.76 eV to 3.26 eV and enhances its intensity gradually, when increasing  $X$ .

In Fig.2 are shown concentration dependence of the peak position and the time-integrated intensity ratio of the fast (singlet) component to the total intensity of the UV-emission in the  $\text{CdCl}_2(1-X)\text{-CdBr}_2X$  mixed crystals. As increasing  $X$  from 0 to 0.1, the intensity ratio of the fast component decreases abruptly from 0.97 to 0.02. Above  $X = 0.1$ , almost all UV-luminescence comes from the slow (triplet) components. The peak position of the UV-emission band shifts almost linearly with  $X$  from that in  $\text{CdCl}_2$  to that in  $\text{CdBr}_2$ .

The figure 3 shows the STE-states associated with the UV-emission. The  $A_{2u}$  state is the excitonic state having a hole on the  $a_{2u}$  molecular orbital derived from the halogen  $np$  ( $n=3$  for  $\text{Cl}^-$  and 4 for  $\text{Br}^-$ ) and an electron on the antibonding  $a_{1g}$  orbital derived from the cadmium  $5s$ . This state split into the singlet and the triplet states by exchange interaction as shown in the figure. The singlet state is connected with the lowest excitonic absorption band. In STE, these states correspond to the initial states responsible for the UV-emission. The fast decay component of the UV-emission comes from the singlet state which is populated directly with the optical excitation, while the slow decay component is related to the triplet state which is not to be populated without spin-orbit interaction. The triplet state split into two states with s-o interaction and further split with a Jahn-Teller distortion as shown in the figure. Attributing to these states, the slow decay component is further decomposed into three components, the temperature dependence of which shows rather complex behavior due to phonon-assisted nonradiative processes among these adjacent three levels.

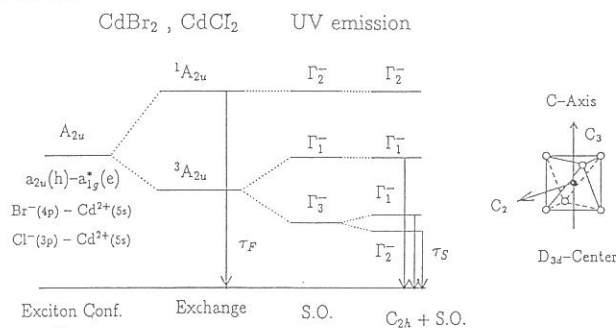


Fig.3

In conclusion, The S-T conversion rate increases surprisingly with increase of  $X$  in the region below 0.1 in the mixture, which is explained with larger s-o interaction and smaller electron affinity of  $\text{Br}^-$  than those of  $\text{Cl}^-$ .

## LUMINESCENCE DECAY OF Pb ION CLUSTERS IN $\text{Pb}_{1-x}\text{Cd}_x\text{I}_2$ SOLID SOLUTIONS

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By an admixture of a small amount of  $\text{PbI}_2$  into a crystal of  $\text{CdI}_2$ , Cd ions are substituted with Pb ions randomly in the two dimensional cationic layer of the  $D_{3d}$  type crystal. The heavy doping gives rise to a solid solution of  $\text{Pb}_{1-x}\text{Cd}_x\text{I}_2$  in which small aggregates or clusters of Pb ions are dispersed in  $\text{CdI}_2$  matrix. The lowest energy absorption of the solid solution in the range of  $x$  from 0.9 down to 0.5 is associated with an electronic excitation of Pb ion clusters, originating from 6s-6p transition of Pb ions.[1] Optical excitation of the cluster induces a luminescence band near the absorption edge. In order to investigate the character of the excited states in the cluster, we have studied the decay characteristics of the luminescence.

In Fig.1(a) are shown emission and excitation spectra measured on a sample with  $x=0.7$  at 10K. The spectrum denoted by "Total" is the emission measured under steady state excitation at 2.80eV. The broken curve is the excitation spectrum measured at the emission peak. A decay profile of the emission measured at 2.75eV is shown in (b). It consists of a fast and a slow decay components. The inset shows the time response of the fast component measured with short light pulses from the beam line BL1B of UVSOR under single bunch operation. The dotted curve is the response function of the detection system (a microchannel plate photomultiplier equipped with a time-correlated single photon counting system) , and the decay time of  $\sim 600\text{ps}$  was derived by a deconvolution analysis. The time resolved emission spectra for the fast and slow components are shown in (a) denoted by F and S. The emission band of the slow component is clearly seen to locate at an energy lower than that of the fast component. It is natural to suppose that the fast and slow components of the emission originate from singlet and triplet manifolds of the excited state of a cluster. The splitting energy between singlet and triplet bands has been found to increase with the decrease in the content of Pb ions, and hence with the decrease in the cluster size. The result can be explained in terms of the change in the strength of an exchange interaction between electrons and holes due to their confinement in the cluster. Details of the discussion will be given elsewhere.[2]

In Fig.2 are shown emission spectra of the fast component (curves) and the decay times (dots) measured at various emission energies for three different excitation energies. The emission band shifts to lower energy with the decrease in the excitation energy. Excitation in the lower energy region corresponds to excitation of clusters with larger size. The decay times, being nearly constant against the emission energy as seen in (b) and (c), would be due to selective excitation of larger clusters, and it must be determined by a radiative decay rate of the excited singlet state of a cluster and the relaxation rate into the triplet state.

On the other hand, for excitation in higher energy region (case (a)), the decay time changes remarkably with the emission energy. This fact indicates that the emission band is composed of that from various clusters with different size. Furthermore, it is to be noted that the value of the decay time in higher emission energies is lower than those in (b) and (c), while it is higher for lower energy emission. The fact suggests that excitation of clusters with larger size is induced by an energy transfer from those with smaller size. The transfer rate is of a similar order of magnitude to the radiative decay rate, which suggests the dipole-dipole transfer process.

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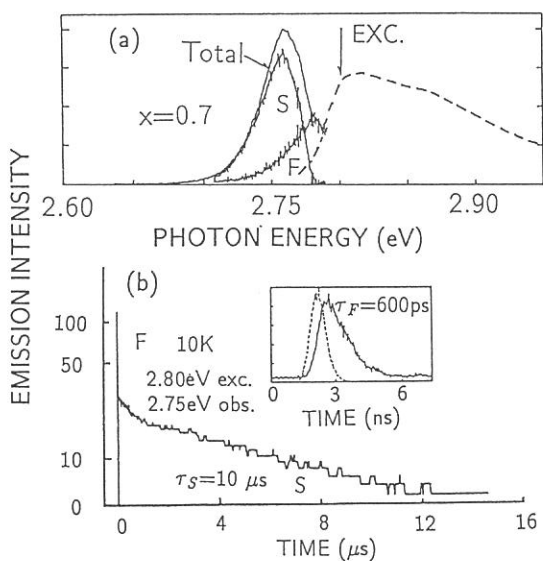


Fig.1 (a):Emission and excitation spectra at 10K in  $Pb_{1-x}Cd_xI_2$  with  $x=0.7$ . F and S are time-resolved emission spectra of the fast and slow decay components. (b):Decay profile of the emission at 2.75eV. The inset is the time response of the fast component.

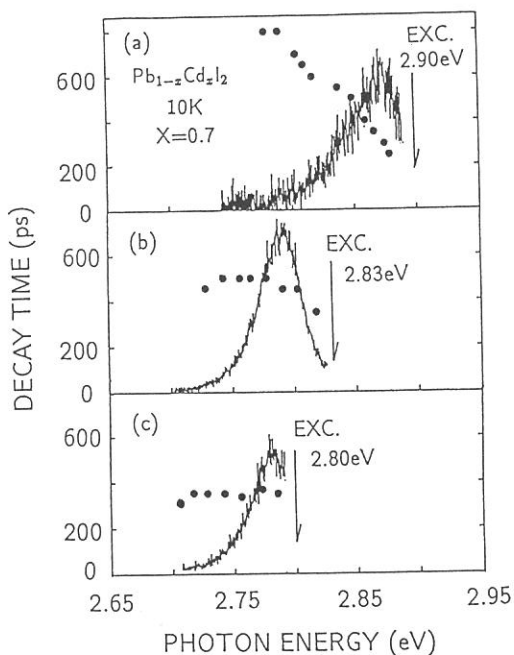


Fig.2 Emission spectra of the fast decay component measured for three excitation energies. Decay times (dots) are plotted as a function of emission energy.

## VARIATION OF DECAY CURVES FOR AUGER-FREE LUMINESCENCE FROM BaF<sub>2</sub> AGAINST EXCITING PHOTON ENERGY

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Non-radiative process of the outermost core holes at the distance of the order of 100 Å from the crystal surface is proposed to interpret the very fast component with decay time from 0.3 ns to 0.6 ns for Auger-free luminescence(AFL) from BaF<sub>2</sub> and CsCl crystals in our previous paper[1]. In order to confirm the non-radiative process near crystal surface, the decay curves of the AFL from BaF<sub>2</sub> were measured for different excitation photon energies from the threshold energy  $E_{th}$  of the excitation of the outermost core holes upto 35 eV for the luminescence intensity down to the 1/5000 of the peak intensity.

Figure 1 shows typical decay curves from the cleaved BaF<sub>2</sub> crystal excited under 23 eV and 28 eV photon excitation at normal incident angle. The absorption lengths are 60 Å and 140 Å for 23 eV and 28 eV photons, respectively[2]. The decay curves have a very fast luminescence component of decay time of 0.3 ns and the main luminescence component of  $0.83 \pm 0.17$  ns as reported in[1], together with a slow component of decay time of  $4.3 \pm 1.0$  ns. The faster decrease in the intensity is observed under 23 eV photon excitation compared with that under 28 eV photon excitation. The decay curves after about 3 ns from the peak intensity are nearly independent of excitation photon energy. The intensity of the slow component of 4.3 ns is about 2 % of the total intensity.

Figure 1 also shows the luminescence decay curve from polished crystal with 23 eV photons. It is expected that the polished crystal may have some additional defects at near crystal surface compared with those in a cleaved crystal. Polishing procedure is the same as that for the preparation of a crystal for scintillation counter: first polished with SiC no. 300-1000, then finally polished with aluminum no. 2000-8000. The faster decrease in the intensity is observed for the polished crystal, as compared with that for the cleaved crystal.

The quenching rate  $Q(t=3ns) = -\ln[I(t=3ns)/I_0(t=3ns)]$  is introduced in[3]. Here,  $I(t)$  and  $I_0(t)$  are the measured time dependence and that for the radiative decay free from non-radiative process. In Fig. 2, the measured  $Q(t=3ns)$  values are plotted against excitation photon energy together with the absorption coefficient reported in [2]. The large  $Q(t=3ns)$  values are observed in the energy region of  $E_{th}$  to 26 eV, in which the absorption coefficient is large. The smallest  $Q(t=3ns)$  at 28 eV is observed in the energy less than  $E_{th} + E_g$ . The larger  $Q(t=3ns)$  at 32 eV is explained in terms of the non-radiative process induced by interaction of the outermost core hole and the electronic excited state that is produced by the photoelectron being accompanied with the outermost core hole[3].

In conclusion the very fast component of AFL from BaF<sub>2</sub> crystal under vuv photon excitation is explained in terms of the additional non-radiative process of the outermost core holes at near crystal surface.

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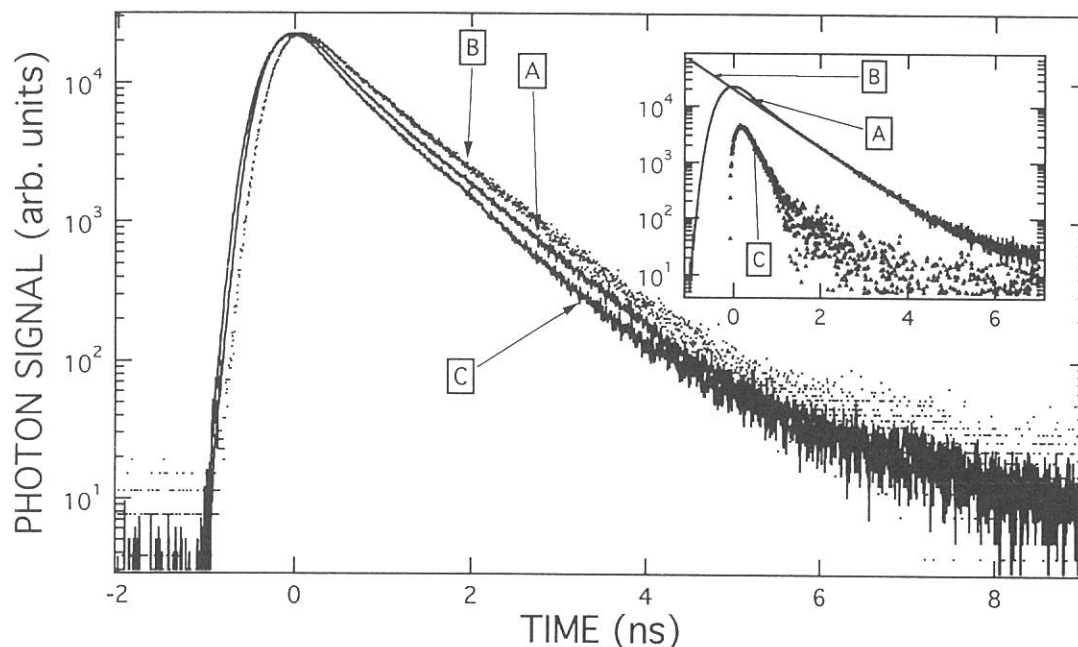


Figure 1. Decay curves for the 5.5 eV band from the cleaved BaF2 crystal under excitation of 23 eV photons(A) and excitation of 28 eV photons(B), and the decay curve from the polished BaF2 crystal under excitation of 23 eV photons(C). Inserted figure shows the decay curve for the 23 eV photon excitation(A), the fitted curve with two decay times of 0.83 ns and 4.3 ns(B). The curve (C) is the very fast luminescence component.

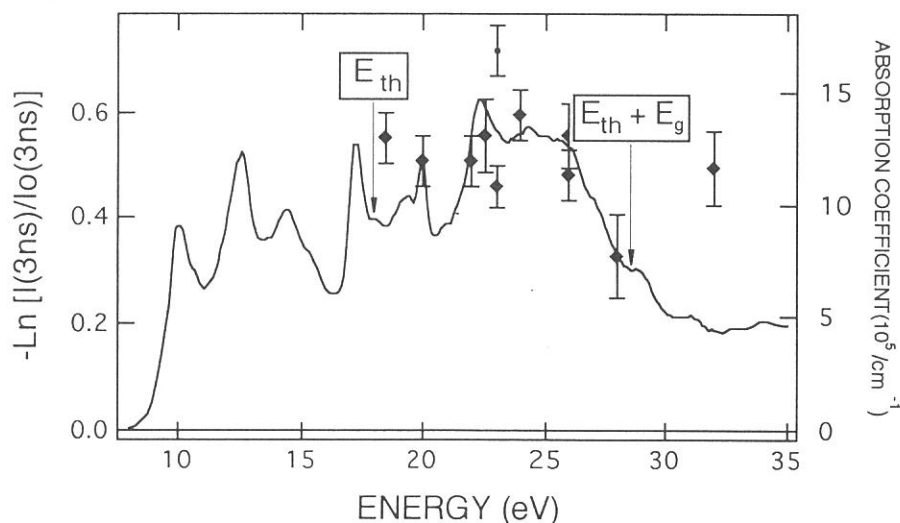


Figure 2. The measured  $Q(t=3\text{ns})$  values from the cleaved BaF2 crystal ( $\blacklozenge$ ) and from the polished crystal ( $\bullet$ ) plotted against excitation photon energy together with the absorption coefficient reported in [2].

EXCITATION SPECTRA OF LUMINESCENCE  $\sigma$ -BAND IN KBr THIN CRYSTALLINE  
LAYERS GROWN ON KCl SUBSTRATE.

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The present authors have first observed the intrinsic luminescence  $\sigma$ -band of KBr crystalline thin layers<sup>1)</sup> and suggested that the substrate excitation strongly affects on the observed band in its intensity. In the present studies, excitation spectra of the  $\sigma$ -band in the KBr thin layers grown on KCl single crystal substrates, as well as in KBr and KCl bulk crystals, are observed, and the substrate excitation effect is clearly revealed.

The KBr thin layers were grown on the creaved surface of KCl single crystals in the vacuum of  $2 \times 10^{-6}$  Torr by means of the molecular vapor deposition technique consisting of a slow deposition rate of 1nm/min and of a thermal annealing at 180 C for 15 minutes. Luminescence experiments were performed at BL-1B by the use of a 1m Seya-Namioka monochromator with a slit width of 10Å band path and also the use of a Jobin-Yvon grating monochromator with a slit width of 20Å. Excitation spectra of the  $\sigma$ -band were measured in the photon energy range 6-12 eV at 30K in the vacuum more than  $2 \times 10^{-8}$  Torr. The observed excitation spectra for the  $\sigma$ -band (4.53eV) of the KBr 200Å layer and for that (4.43eV) of the KBr bulk crystal are partially shown in Fig. 1. The later spectrum well coincides with previous literatures<sup>2,3)</sup> in its profile. Difference of the former from the later means that the 200Å layer spectrum (the former) includes some KCl-substrate-excitation-effect. In order to estimate the effect, the bulk spectrum multiplied a small factor is subtracted from the layer spectrum.



Remained spectrum is shown in Fig. 2 with an absorption spectrum of KCl at  $10K^4$ ) for comparison. It can be said that the profile of the remained spectrum starting to rise at 7.5 eV and gradually increasing in the high energy region indicates the contribution of the electron excited in the substrate to the luminescence  $\sigma$ -band in the layer. A sharp peak existing at 8.2 eV corresponds to the high energy tail of the  $\Gamma$ -exciton in KCl and also to the conduction band edge of KBr.<sup>5)</sup> This suggests the presence of some interactions between a discrete exciton level of KCl substrate and a continuum state of the KBr layer at the interface.

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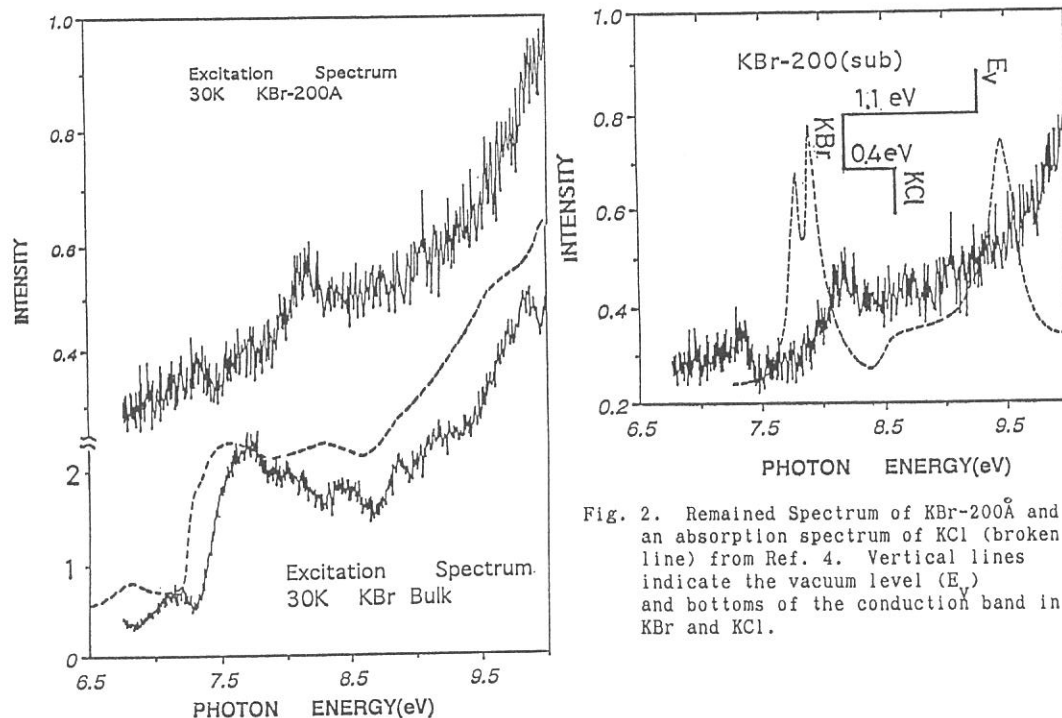


Fig. 1. Excitation Spectra of  $\sigma$ -band in KBr-200Å and in KBr-bulk. Broken line curve is a previous data of KBr-bulk from Ref. 3.

Fig. 2. Remained Spectrum of KBr-200Å and an absorption spectrum of KCl (broken line) from Ref. 4. Vertical lines indicate the vacuum level ( $E_V$ ) and bottoms of the conduction band in KBr and KCl.

# Exciton Absorption Spectrum of CuCl Microcrystal under Pressure

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The exciton absorption spectrum of CuCl microcrystal under pressure was measured at 100 K. In the bulk state of CuCl, the  $Z_{12}$  and  $Z_3$  exciton absorption bands appear at 3.29 and 3.22 eV. They correspond to the electronic transitions from the  $\Gamma_8$  and  $\Gamma_7$  valence bands to the  $\Gamma_6$  conduction band, respectively. The purpose of the present experiment is to make clear the behavior of the exciton state of CuCl in the microcrystalline state in relation with the structural phase transition under pressure. In general the exciton state in the microcrystal state suffers from the quantum size effect according to the decrease in the size of the particle. Such phenomenon at normal pressure has been studied by many groups from the optical study. However, the response of the exciton state in the microcrystal to homogenous high pressure has not been studied yet. The volume compression by the applied pressure decreases, for example, continuously the size of the particle and leads to the increase of the quantum size effect. In addition, the study of the phase transition in the microcrystal under pressure through the spectral change under pressure is very interesting subject to investigate the role of atoms at surface in the phase transition in the microcrystal. In the microcrystal, the critical pressure for the phase transition might be different from that in the bulk state because of the important role of atoms at surface.

The CuCl fine microcrystal was prepared by a conventional gas evaporation technique. Obtained CuCl microcrystals were mixed with silicon grease which works as a pressure transmitting material. The shape of the microcrystals and the size distribution were observed by an electron microscopic experiment. The shape was found to be almost spherical. The size of CuCl microcrystals which was used in the present experiment was rather large and 1950 Å in average. In general, the quantum size effect of the exciton state in the mesoscopic system is considered to occur, for example, for the case of particle of which size is smaller than about 20 times of a Bohr radius of the exciton. Such critical size of CuCl is considered to be smaller less than about 500 Å. In the present experiment, the energy positions of two peaks observed in the microcrystal CuCl at normal pressure coincided well with those of the bulk. This means that the quantum size effect does not occur for the present case in consistent with the result of the electron microscopic observation.

The conventional lever-arm diamond anvil cell was used as the pressure cell up to 8 GPa installed at the BL1B spectroscopic system of the UVSOR.

Obtained absorption spectra of CuCl microcrystals at 100 K from 3 to 4.5 eV energy range are shown in Fig.1. At normal pressure  $Z_3$  band of the exciton state appeared at 3.22 eV and the  $Z_{12}$  at 3.28 eV. The peak positions were coincided with those of bulk CuCl because of the large particle size. With pressure the peak showed a blue shift and the intensities decreased rapidly. At pressure between 4.6 and 5.1 GPa, the profile of the absorption spectrum suddenly changed. The observed peak positions of the  $Z_3$  and  $Z_{12}$  bands were plotted with pressure in Fig.2. Double vertical broken lines means the pressure region at which the phase transition from a zinc blende structure to a tetragonal

occurs in the bulk state. In the tetragonal structure, the  $Z_3$  band disappeared and the  $Z_{12}$  band splitted into two peaks. The slopes of the lines which show the energy shift of the  $Z_3$  and  $Z_{12}$  peaks with pressure were smaller than those in the bulk state. The precise analysis revealed that such difference in the slope of the curves can be explained if the volume compressibility of the microcrystal becomes smaller by 26 % than that of the bulk state. This means that the material becomes harder in the microcrystal than in the bulk state. Further analysis is now on progress.

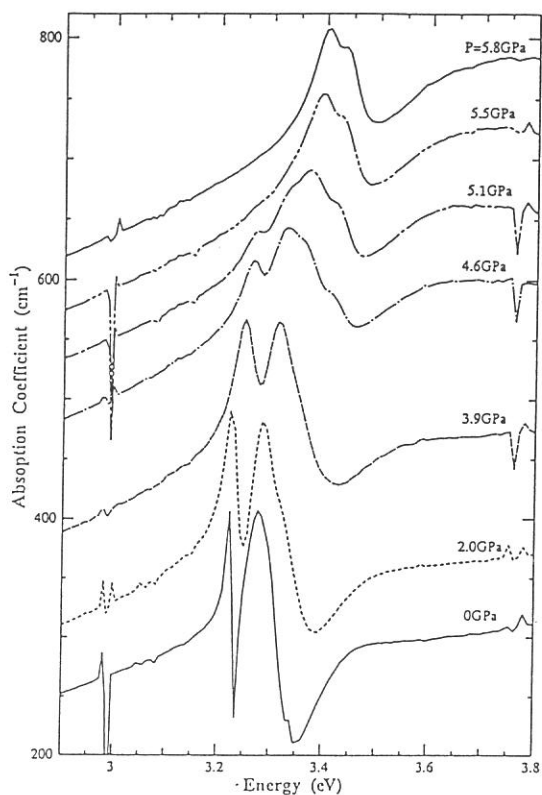


Fig.1  
Absorption spectra of CuCl  
microcrystals with pressure  
at 100 K.

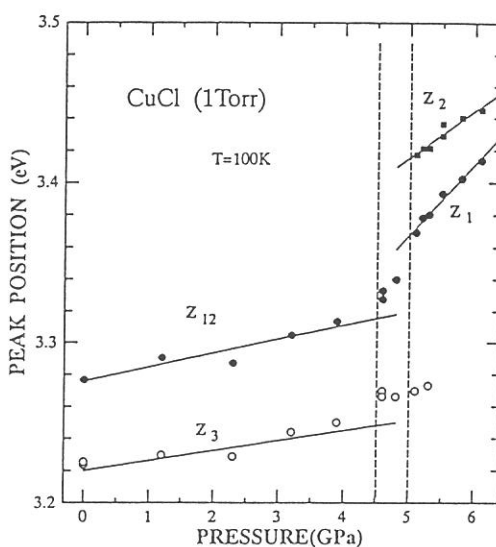


Fig.2  
Plotting of the peak positions of  
 $Z_3$  and  $Z_{12}$  bands shown in Fig.1

# FLUORESCENCE SPECTROSCOPY OF CRAB MUSCLE FIBERS USING SYNCHROTRON RADIATION FROM UVSOR: EFFECT OF MAGNESIUM ION

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Intracellular free magnesium concentration plays a significant role in muscle contraction. Effect of magnesium ion on the fluorescence of glycerinated crab muscle fibers in a rigor solution was measured. The time-resolved fluorescence from tyrosyl and tryptophanyl residues of the fibers was studied at the BL7b of UVSOR. The experimental setup for the excitation-emission spectroscopy is almost same as described previously (1). The excitation beam was passed through a 1 m Seya-Namioka monochromator in BL7B beam line and collimated a silica lens to a spot in a fused quartz cell. The fluorescence emission from the sample fibers was collected with fused quartz lenses. The emission viewed at  $180^{\circ}$  to the incident light axis was collected by a quartz lens and passed through a filter (350 nm) from emissions. Fluorescence decay times were measured by using the technique of time-correlated single photon counting under the single bunch. A bundle of glycerinated fiber from crab *Portunus puber* which was prepared by 50 % glycerin and 10 mM buffer (pH 7.2) was used. The rigor solution contained 100 mM KCl, 5 mM EGTA, 10 mM phosphate buffer (pH 7.2) and various concentrations of  $MgCl_2$ . All fluorescence measurements were done at room temperature. Fig. 1 shows the excitation spectra of the rigor muscle as a function of magnesium concentration. The fiber has two bands peaking at 235, 275 and 295 nm, when emitted at 340 nm. We found that the band around 295 nm was dynamically changed by magnesium ion, as shown in Fig.2. The fluorescence intensities and decay times of the fibers were drastically changed around 3.5 of

p[Mg], indicating two conformers in the excited states.

(1) UVSOR Activity Report 1990 p119.

*We are very grateful to Prof. K. Kamada and all staffs of UVSOR for their technical assistance. This study was carried out under the UVSOR Cooperative Research Program.*

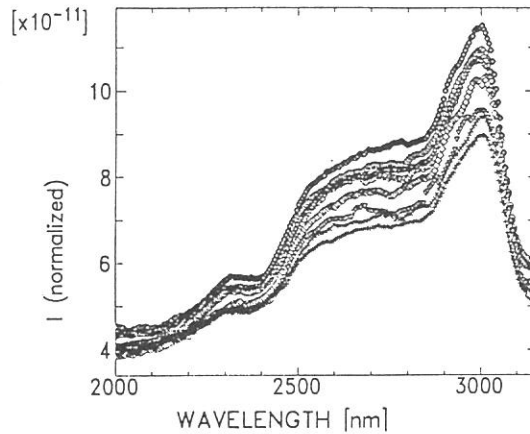


Fig. 1(a) Excitation spectra of glycerinated crab muscles in rigor solutions at various magnesium concentrations. Emission at 350 nm.

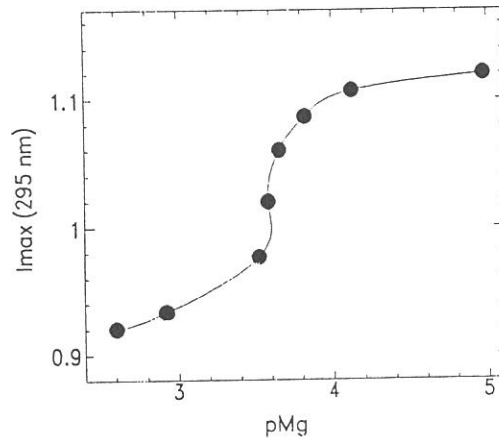


Fig. 2 Intensity maxima of excitation as a function of magnesium ion concentration. Excitation at 280 nm. Emission at 350 nm.

## Soft X-Ray Microscope with Zone Plates at UVSOR

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- 4) Lawrence Berkeley Laboratory, Berkeley, CA
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A Soft x-ray imaging microscope with zone plates was set up at UVSOR BL8A and imaging tests were performed at 3.2 nm. This year, a photographic film was used as a detector instead of a microchannel plate (MCP), and the resolution was improved.

The optical system is almost the same with the last type. The system consists of a filter (SiN, thickness: 100 nm and Al, thickness: 39 nm), a condenser zone plate (CZP) ( $\phi 4.3$  mm, outermost zone width: 0.25  $\mu\text{m}$ . The third order radiation was used for illumination.), a pinhole ( $\phi 10$   $\mu\text{m}$  or  $\phi 30$   $\mu\text{m}$ ), a specimen, and an objective zone plate (OZP) ( $\phi 50$   $\mu\text{m}$ , outermost zone width: 45 nm). The magnification ratio was 660. Using MCP monitored by an SIT camera, the field of view was searched and focused. Then, sliding the MCP perpendicularly to the optical axis, a soft X-ray image was taken with a photographic film (Fuji Mini copy HR II).

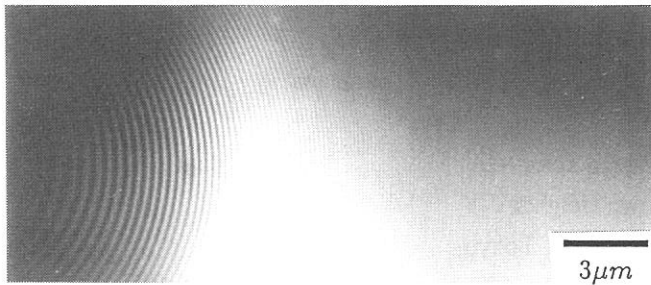
The performance was estimated using a zone plate as a specimen, which has the same characteristics with OZP. Fig.1 show the image of the zone plate. The observable finest zone width in Fig. 1 is 63 nm.

Dry biological specimens, such as diatoms (Fig.2), collagen fibers, and muscle myofibrils (Fig.3) were observed. An environmental chamber using SiN windows (thickness: 0.1  $\mu\text{m}$ ) was made and a preliminary test was performed at 2.4 nm. This result is shown in Fig.4.

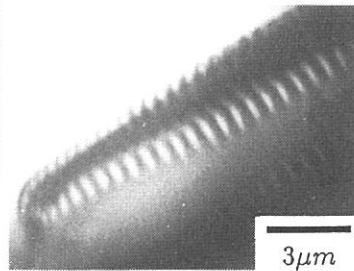
In the present microscope, the numerical aperture of CZP was much smaller than that of OZP. To adjust both the numerical apertures in order to prevent 0th-order radiation of OZP from reaching the imaging field, an ellipsoidal condenser mirror system was made (Fig.5). This experiment is currently under way.

Acknowledgments

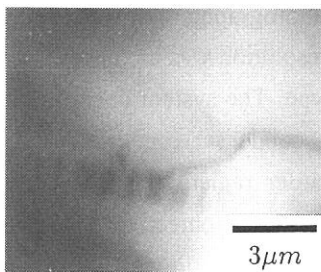
The author are grateful to the help and encouragements by Prof. M. Watanabe, Mr. T. Kinoshita, and other staffs of the Institute for Molecular Science. They also express their thanks to all sample suppliers.



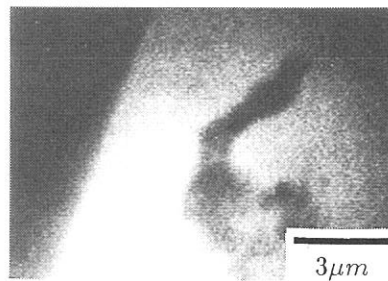
**Figure 1.** Zone plate image. Minicopy film, 5 min. A 63 nm pattern can be resolved.



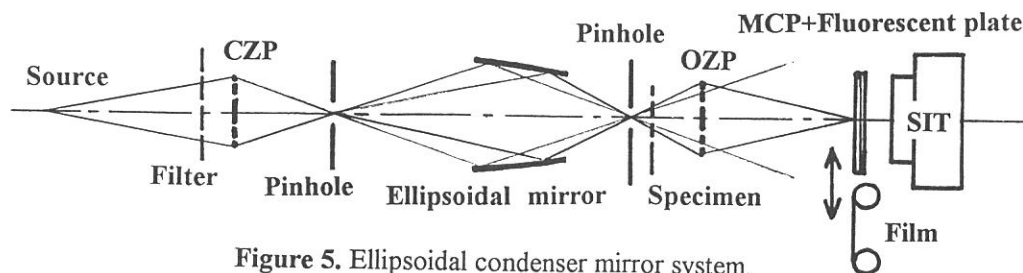
**Figure 2.** Diatom image. Minicopy film, 10 min.



**Figure 3.** Rabbit myofibril image. Minicopy film, 12 min.



**Figure 4.** Image using the wet cell. MCP and SIT camera were used as a detector. Accumulation time: 4s.



**Figure 5.** Ellipsoidal condenser mirror system.

## Application of synchrotron radiation to accumulated photon echoes

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We have applied synchrotron radiation ( SR ) as a excitation source to investigate a phase relaxation time  $T_2$  of an organic dye molecule doped in polymer. This is the first observation of incoherent accumulated photon echoes generated by the SR. The accumulated photon echoes are useful method to measure  $T_2$  when the excitation source has the limited power intensity. Because the population grating formed in a sample can be efficiently accumulated by the continuous pumping if there exists a bottleneck state that has relatively longer lifetime, we obtain the large photon echo signal.

The experiment was performed at the BL-8A. The SR beam was filtered by a bandpass filter with the center wavelength of 605 nm and the bandwidth of about 13 nm. The beam was split into two beams, the pump and the probe beam, in a Michelson interferometer and the probe beam was temporally delayed relative to the pump beam. The pump and the probe beam were aligned to collinearly overlap. The pump beam was phase modulated with a piezoelectric transducer at  $f=6.5$  kHz. The pump and the probe beams were focused onto the sulforohdamine 640 ( SRh640 ) doped in polyvinyl alcohol ( PVA ) at 29 K. The transmitted beams were detected by a PIN photodiode and its output was fed into a lock-in amplifier.

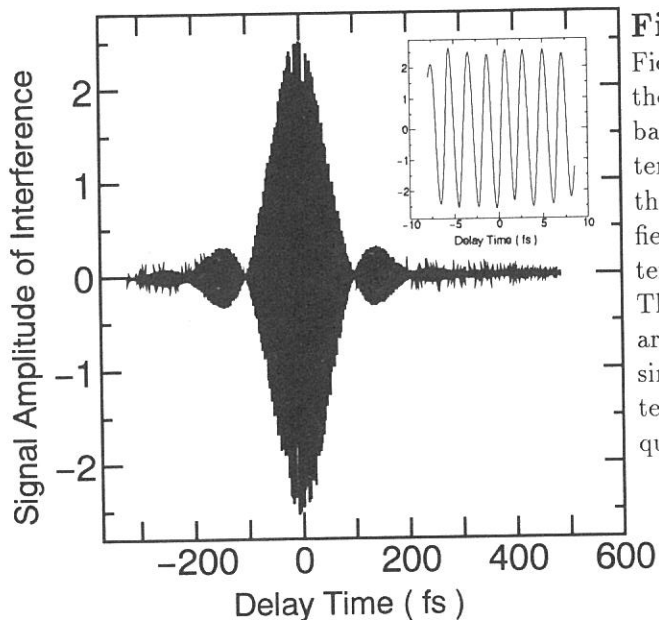
The field autocorrelation curve of the excitation beam was measured to determine the time resolution of our echo experiment. Figure 1 shows the observed interferogram of the SR passed through the bandpass filter mentioned before. From this curve we can obtain the time resolution of about 133 fs. Side humps in the curve are attributed to the squarish transmission characteristics of the bandpass filter. The inset in Fig. 1 shows an enlarged trace of the correlation curve.

Figure 2 shows the accumulated photon echo decay curve on a logarithmic scale, where the delay time  $\tau$  was scanned from 1.2 ps to 10 ps. The dephasing time  $T_2$  obtained from Fig. 2 is about 4.8 ps. At a fixed delay time, ten data were taken after accumulating a population grating for 60 sec. Then the grating was erased by slowly changing the delay time until the next sampling delay time by taking 60 sec with the two excitation beams on.

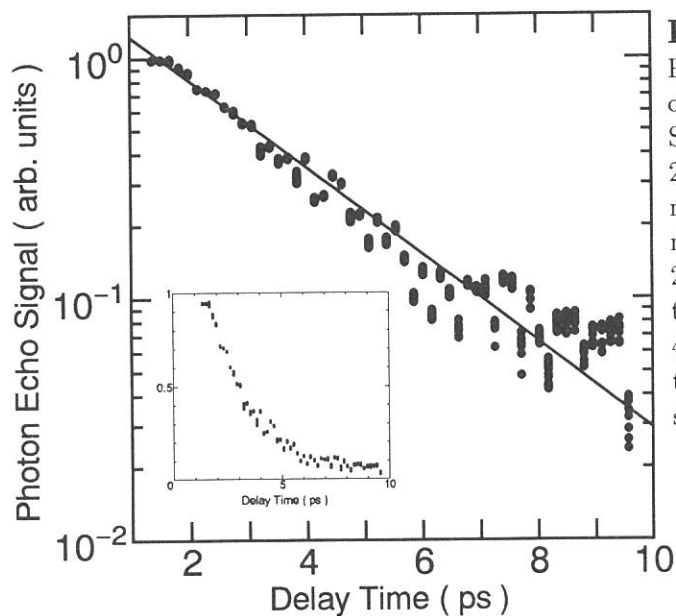
In conclusion, we have shown, for the first time, that the SR beam is applicable to the nonlinear optical experiment such as accumulated photon echoes. Our echo



experiments show that it could be possible by the SR beam to time resolve the echo signals with resolution of less than 1 fs in the wide spectral range from VUV to far infrared.



**Fig. 1**  
Field autocorrelation trace of the SR beam filtered with a bandpass filter having the center wavelength of 605 nm and the bandwidth of 13 nm. The field correlation time is determined to be about 133 fs. The inset is an enlarged trace around  $\tau = 0$ , showing the sinusoidal modulation of interference at the optical frequency.



**Fig. 2**  
Photon echo decay curve on a logarithmic scale for SRh640 doped in PVA at 29 K, showing the exponential decay of echo signal with time constant of 2.4 ps which corresponds to the dephasing time of 4.8 ps. The inset shows the same curve on a linear scale.

## Imaging Plates as Recording Medium for VUV–XUV Photons

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For recording and storing data of two-dimensional image and spectra in VUV and XUV, AgBr films have been used so far in spite of their disadvantages of narrow dynamic range and low sensitivity. Recently photostimulable phosphor has drawn special attention in medical x-ray diagnostics because of their advantages of high sensitivity, wide dynamic range and easy data acquisition with computers. We have already confirmed that the imaging plates have the sensitivity for nanosecond pulsed ArF (193nm), KrF(248nm), and Ar<sub>2</sub> (126nm) excimer laser outputs as well as for continuous radiation in the x-ray spectral range and further the ability for recording the beam images. The next interesting point for us is to clarify the wavelength range over what imaging plates are usable. In order to make clear this point, we measure the wavelength dependence of the response of an imaging plate, and further confirm the linear response at several wavelengths.

An imaging plate which consists of BaFBr:Eu<sup>2+</sup> crystallites bound on a plastic sheet was placed in the sample chamber in the BL–1B beam line. The recording was performed by exposing an imaging plate to monochromatized radiation by a given duration. The recorded photon numbers were changed by changing the exposure time. As for stimulation measurements, a He–Ne laser was used. The emission induced by the photo-stimulation (PSL) was detected with a photomultiplier tube through an optical filter for decreasing the He–Ne scattered light intensity.

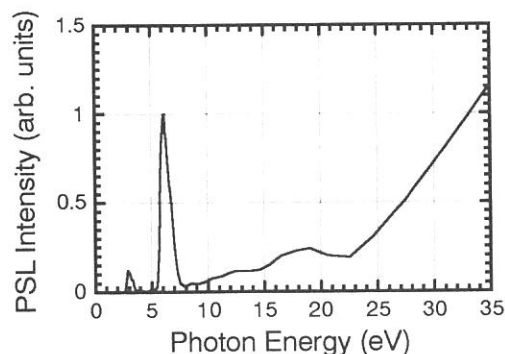


Fig. 1 Wavelength dependence of PSL intensity.

When SR photons were incident on the imaging plate during a constant time of 10s, we measured the PSL intensity. The relative PSL intensity defined as a PSL intensity for a given photon number, was calculated from the measured data and incident SR intensity. In Fig.1 is shown the relative PSL intensity as a function of incident photon energy.

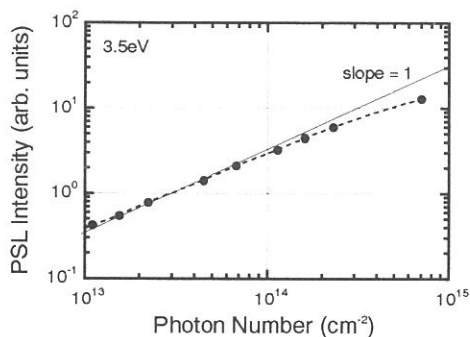
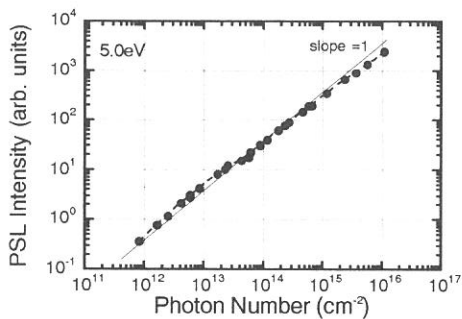
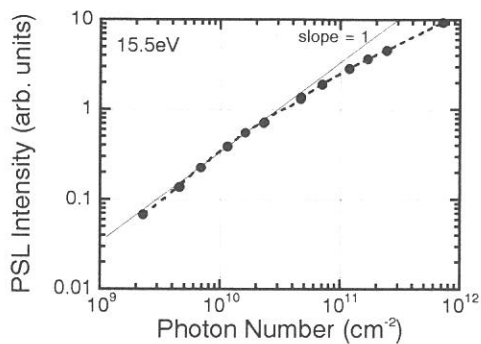


Fig. 2 PSL intensity plotted as functions of photon numbers.

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There is such a tendency that the intensity increases exponentially with the incident photon energy except for two peaks around 3 and 6.2eV. The 3eV peak comes from a resonance effect for the excited and ground states of  $\text{Eu}^{2+}$  ions. The 6.2eV peak is considered to be due to a fact that the PSL intensity is determined by the product of the electronic transition probability and the penetration depth.

In order to confirm the linear response for incident photon numbers when the photon energy was fixed at 15.5, 5.0, and 3.5eV. The results are plotted in Fig. 2. For all photon energy taken here, the PSL intensity increased linearly in proportional to the photon numbers, although a tendency to saturate was observed above photon numbers. It should be noted here that the lower limit of the PSL intensity is determined with the detection system, and thus we can measure much lower photon numbers if we employ photomultiplier tubes with high sensitivity and efficient collection optics.

In summary, we have clarified that imaging plates have an ability to record incident photon numbers in the spectral range much wider than expected based upon the energy diagram, and that two-dimensional images such as laser beam pattern, holograms and spectra can be recorded and stored on the imaging plate in the visible to x-ray spectral range.

## Diamond Film Mirrors for Vacuum Ultraviolet Excimer Lasers

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In order to generate intense, short-wavelength, coherent radiation from rare-gas excimer lasers, one of the most important technical problems to be solved is the development of a cavity mirror with high reflectivity and high damage-resistance. Several materials have been tested as a cavity mirror for electron-beam pumped high-power krypton and argon excimer lasers which operate at 146 and 126nm, respectively. Among several materials so far tested, a SiC mirror provided the highest output energy of 80mJ but sometimes surface damages were observed, so that the SiC mirrors were not suitable for the stable operation. In our case because almost all materials have absorption coefficients as large as  $10^5\text{cm}^{-1}$ , and because the laser output pulses have at most a  $200\text{MW/cm}^2$  intensity and 5-10ns duration time, the main cause for the laser radiation damages is considered to be melting or decomposition of the mirror surface layers due to temperature rising by absorption. On the basis of the thermal and optical data, the most promising material becomes crystalline diamond, and the films have become to be grown by thermal decomposition of hydrogen carbides with relatively good quality. In this paper, we fabricate crystalline and amorphous diamond films, measure their reflectivity with the BL-1B beam-line at UVSOR, and test them as the cavity mirror for the krypton excimer laser.

Diamond films were deposited on sintered  $\text{S}_3\text{N}_4$  ceramics by thermal decomposition of a  $\text{CH}_3+\text{H}_2$  mixture. The thickness was around  $15\mu\text{m}$ . The diamond crystals were grown with the average dimension of  $5\mu\text{m}$  under a suitable condition, and under a condition the films were amorphous. The surfaces finished by a diamond lapping method had 1nm-rms roughness. Reflection spectra were recorded over the wavelengths of 50-600nm at an incidence angle of 10 degree. The measured reflectance spectra are shown in Figs. 1 and 2. The reflectivity of the crystalline and amorphous films are not different from each other, and that at 146nm is 25%, which is very close to the reference one of 30%.

We have tested the two kinds of diamond mirrors as a cavity mirror of an electron beam pumped krypton excimer laser. In combination with a  $\text{MgF}_2$  output coupler, we obtained the output energy of 70mJ for the crystalline diamond film mirror and that of 62mJ for the amorphous diamond film mirror. There is not significant difference in the output energy, but in the surface feature. For the case of the amor-

phous film mirror, the surface damage was observed when the output energy exceeded the value of 13mJ which corresponded to the fluence on the mirror surface of 160mJ/cm<sup>2</sup>. On the contrary, the crystalline surface was not suffered from the damage for 70mJ which corresponded to the surface fluence of 875mJ/cm<sup>2</sup>.

The diamond films can be deposited on substrates having any curvature. The radiation damage threshold of this kind of diamond mirrors was found to be higher than 4J/cm<sup>2</sup> based on the thermal failure considerations. The diamond mirrors are expected to be useful also as a grazing incidence mirror and as a substrate for multilayered coatings for intense extreme ultraviolet and x-ray radiations.

Fig. 1 Reflectivity curve of a crystalline diamond mirror.

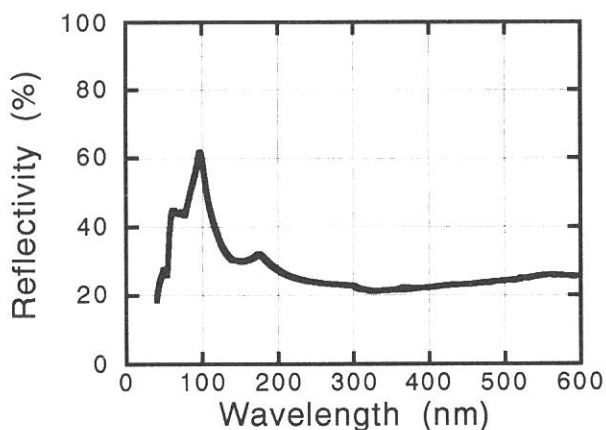
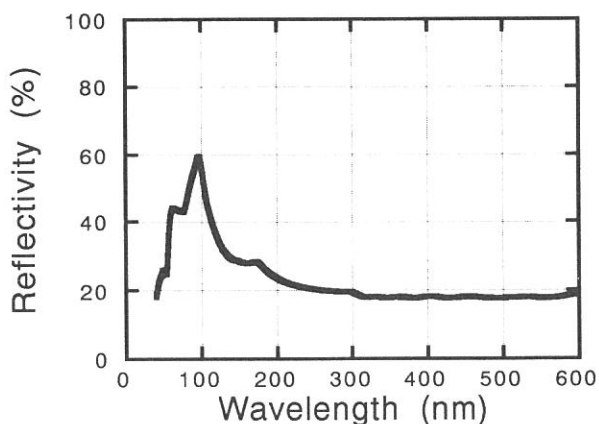


Fig. 2 Reflectivity curve of an amorphous diamond mirror.



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# REFLECTANCE SPECTRA OF GaAs-AlAs-GaAs HETEROSTRUCTURES IN THE Al-2p ABSORPTION REGION

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Many investigations have been made about valence excitons in semiconductor heterostructures, for example superlattices and quantum wells of AlAs-GaAs system. Core-excitons in the heterostructures, however, have not been well understood, owing to the experimental difficulties.

Intense core-excitonic structures of AlAs in the Al-2p core-excitation region have been firstly observed by M.K.Kelly et al. in partial-yield-photoemission spectra.<sup>1</sup> To obtain clean AlAs surfaces, they deposited a thin Al overlayer on a freshly cleaved GaAs substrate and subsequently activated the Al-Ga exchange reaction.

In the study of core-excitons, heterostructures have advantages and disadvantages. Since in heterostructures, the layer of interest is usually protected by some overlayers. As for AlAs whose surface is unstable in the atmosphere, the technique adopted by M.K.Kelly et al. is not necessary if the sample is covered with a stable GaAs layer. On the other hand, it becomes difficult to obtain signals from the layer through the overlayers.

In this report, we examined the possibility of detections of the Al-2p core-excitons in a GaAs(100Å)-AlAs(300Å)-GaAs heterostructure in the reflectance spectra. Samples were fabricated on a GaAs (100) substrate using the molecular-beam-epitaxy. Since the thickness of AlAs layer is probably thicker than the core-exciton radius, the Al-2p core-excitons in this layer will be almost the same with bulk core-excitons. Reflectance spectra were taken using the plane grating monochromator and the calibration chamber at BL5B.

Figure 1 shows the p-polarized reflectance spectra of the GaAs-AlAs-GaAs heterostructure in the Al-2p absorption region. The doublet structure due to Al-2p core-excitons is clearly observed in spite of the GaAs overlayer. For example in the spectra of 40 degrees of incidence, the topmost peak reflectance at about 76.5 eV is nearly twice as large

as the bottom reflectance at about 75.5 eV. Depending on the incidence angle indicated on the right side in the figure, the spectral shape is dramatically changed. Obviously, it is due to the interference effect in the GaAs overlayer. The reflectance spectra will be consistent with the previous result<sup>1</sup>, though we can not compare them directly owing to the interference effect. Since the absorption coefficient of GaAs in this region has been known,<sup>2</sup> it will be possible to remove the interference effect numerically, and in the next stage of study, core-excited states in thinner AlAs layers will be interesting.

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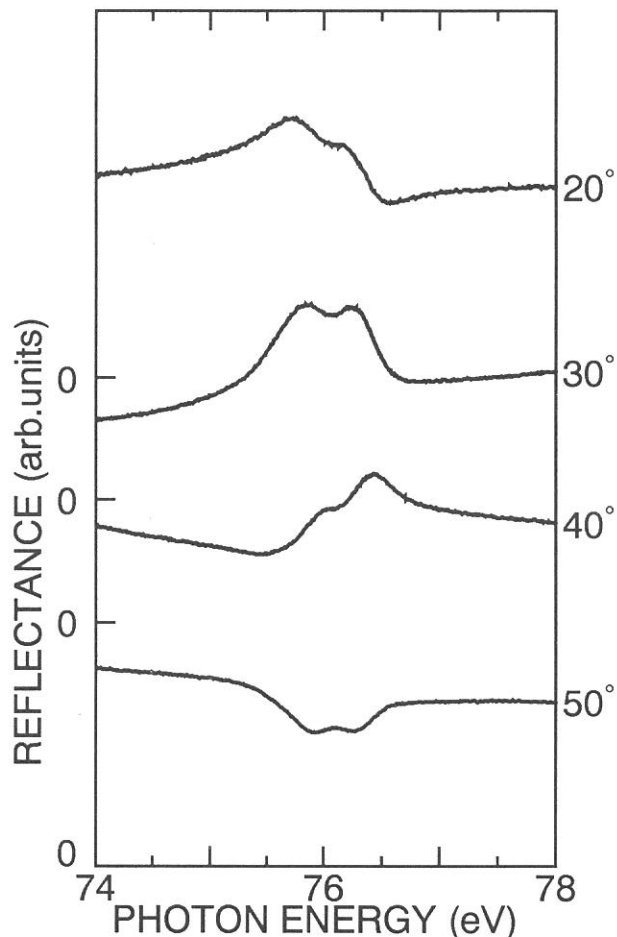


Fig.1. Reflectance spectra of the GaAs-AlAs-GaAs heterostructure in the Al-2p absorption region. The spectra were taken in the p-polarization. Incidence angles are indicated on the right side.

# PHOTOINDUCED EFFECTS IN AMORPHOUS CHALCOGENIDE FILMS BY THE USE OF UVSOR

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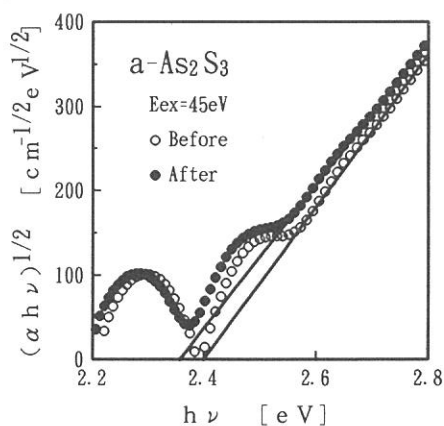
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Amorphous chalcogenide semiconductors show a variety of photoinduced effects<sup>1</sup>. The most prominent photoinduced effects in these material is the so-called photodarkening arising from the shift of the absorption edge. This darkened state can be removed by annealing at the glass-transition temperature. However, the details of the mechanism are still unknown. In this work, we investigate what kind of photoinduced effects in amorphous chalcogenides occurs by exciting inner core electron with the irradiation of the vacuum ultra-violet light.

Thin films of amorphous chalcogenide were prepared onto Corning 7059 substrates by the conventional evaporation technique. After evaporation, samples were annealed at near the glass-transition temperature for two hours in a vacuum with a pressure of  $1 \times 10^{-6}$  Torr. The experiments were performed at BL3A1 beam line of the UVSOR facility in Okazaki. The samples were irradiated with the vacuum ultra-violet light that is filtered through a Al film from undulator line and have 45 eV photon energy. The appropriate filter can efficiently cut the higher harmonic components of the undulator light.

Figure 1 shows the optical absorption spectra before and after irradiation. After irradiation, the optical absorption edge shifts to a lower energy side. This change is similar to the photodarkening with irradiation of bandgap light and is returned to the original state by annealing near the glass-transition temperature.



**Figure 1** Optical absorption spectra of a-As<sub>2</sub>S<sub>3</sub> film before (open circles) and after (closed circles) irradiation.

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Ablation of Polymer by Simultaneous Irradiation of  
Oxygen Plasma and SOR Photon

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A new process for resist stripping was proposed, which is performed by cooperative ablation with ring discharge plasma of oxygen and SOR X-ray photons.

For cooperative irradiation of the disk plasma and the SR photons on Si substrate coated by a resist, a discharge reaction chamber with a ring-shaped cathode was installed conveniently on the window of BL8A beam line chamber of UVSOR at Institute for Molecular Science, Okazaki National Research Institute.

The sample holder equipped with a water cooling system and a thermocouple can be moved along the horizontal direction in the reaction chamber to change the distance between the sample and the edge of active disk plasma in order to investigate effect of distance on the etching results.

The disk plasma was excited by a ring-shaped cathode of 8 cm diameter placed at the distance of 5 m away from the SOR source point, and operated in the soft vacuum electron-beam mode.

The Si substrate was set on the sample holder, in a downstream plasma, and the upstream plasma was operated at oxygen pressure of 0.02-0.27 Torr and a discharge power of 70-140 W.

The base pressure of the reaction chamber, which is pumped by a 330 l/s turbomolecular pump, was  $1.0 \times 10^{-8}$  Torr. Then Oxygen gas of 99.999% purity was introduced into the discharge chamber through a mass flow controller. The Oxygen gas pressure in the reaction chamber was ranged from 0.02 to 0.27 Torr, and was controlled both by a gas flow rate in the gas inlet line and by a choke valve between the reaction chamber and the turbomolecular pump.

When the pressure in the reaction chamber was controlled at 0.3 Torr, the pressure in the differential pumping chamber was  $2.0 \times 10^{-4}$  Torr which was limited value to maintain the ultra high vacuum of SOR beam line.

The pressure in reaction chamber was monitored by a pirani gauge and an ionization gauge.

The photon beam was introduced into a reaction chamber

through the disk plasma area and irradiated vertically on a sample surface.

The temperature of samples was maintained to be 27 C during the etching process.

In this experiment, the oxygen gas was introduced into the upstream of plasma, and the sample was set in a field-free downstream region.

In order to measure the ashing speed of resist, a silicon wafer coated with OFPR-800 resist (1.27 micrometer) was placed at a different distance from the disk plasma electrode of a 8.3 cm-diam ring cathode. The discharge was operated at an oxygen gas pressure of 0.06 Torr and discharge power of 45W.

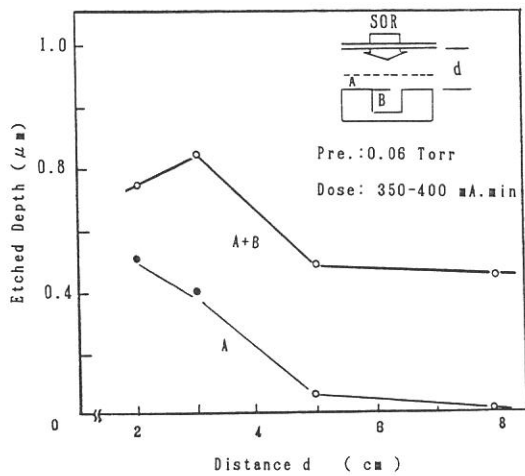


Fig. 1. Relation between the etched depth and the distance.

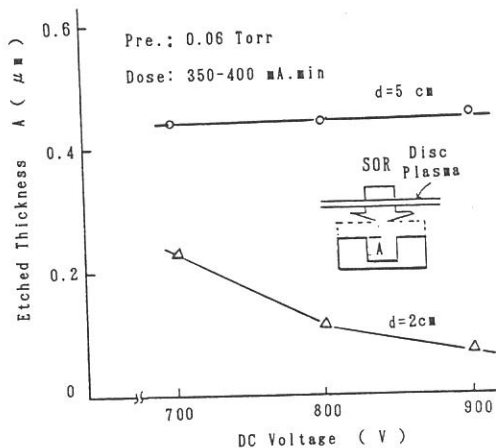


Fig. 2. Dependence of the etched thickness A on the DC discharge voltage.

Dependence of the etched depth on the distance, the DC discharge voltage, and the discharge time was investigated. The results are shown in Fig. 1, Fig. 2 and Fig. 3.

It is clear that, the resist can not be etched perfectly until to surface of silicon by the SOR and oxygen radical, and ablation of resist can be enhanced at the some experimental conditions of high discharge voltage.

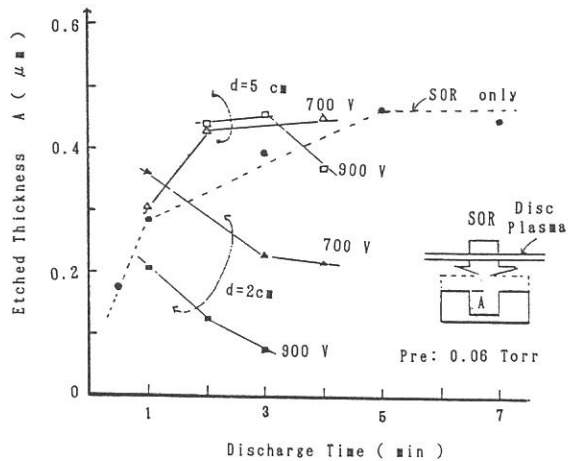


Fig. 3. Relation between the etched thickness A and the discharge time.

# Synchrotron radiation ( SR ) assisted deposition of carbon film employing methyl alcohol

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Diamond has many excellent properties in mechanical, electrical and optical fields and has many applications for industrial materials. SR is one of the most effective excitation source in the vacuum ultra-violet ( VUV ) region where hydrocarbon gases have large absorption bands.<sup>(1)</sup> Considering that SR has been applied for the lithography process, SR is expected to be valuable for the fine pattern deposition of the thin film because it provides a high spatial resolution. Therefore, we consider that SR assisted deposition process is necessary for the formation of fine pattern of diamond thin film.

In the present work, we prepared a new chemical vapor deposition (CVD) system as shown in figure. 1 for the aim on the synthesis of a diamond thin film, where a film was deposited by the simultaneous irradiation of SR and CO<sub>2</sub> laser beams employing the methyl alcohol as the source gas. In this system, the base pressure was evacuated to 10<sup>-6</sup> Pa, the SR and CO<sub>2</sub> laser beams were introduced perpendicular and at 60° to the substrate, respectively.

The experiments were carried out at BL8A of UVSOR. Ni (formed on SiO<sub>2</sub> by a vacuum evaporation technique ) and Si (n-type (100) single crystal) were used as the substrates and the substrate was heated by the irradiation of continuous wave CO<sub>2</sub> laser (wave length 10.6 μ m ). Methyl alcohol was used as the source gas because it was generally applied to synthesize a diamond film using a plasma CVD<sup>(2)</sup> and also has the absorption bands for the wavelength of SR and CO<sub>2</sub> laser. Therefore, it is expected that the Methyl alcohol gas is dissociated effectively by SR and CO<sub>2</sub> laser irradiations. During the irradiation of SR beam to the substrate, the methyl alcohol pressure in the reaction chamber was maintained at 14.7 Pa. The dosage of SR to the substrate and the substrate temperature were varied from 23,000 to 80,000 mA.min and from 27 °C to 200 °C, respectively.

It was observed that the film was deposited only at the area of SR irradiation on the substrate. Figure 2 showed the dependence of deposition rate on substrate temperature. It was indicated that the deposition rate was decreased with an increase in substrate temperature.

The deposited films were characterized by Fourier transform infrared (FT-IR) absorption spectroscopy. Figures 3 and 4 showed the FT-IR absorption spectra of the films deposited on the Ni and Si substrate, respectively. The absorption peaks near 3500cm<sup>-1</sup> and 2900cm<sup>-1</sup> attributed to OH and CH bonds, respectively in the film deposited on the Ni substrate were not observed even at the room temperature. On the other hand, these bonds in the film deposited on the Si substrate were observed clearly. They decreased and the peak near 1000 cm<sup>-1</sup> attributed to C-C bond on the Si and Ni substrate increased with an increase in substrate temperature. From these results, it was assumed that the dissociated reaction of the methyl alcohol on the Si substrate by SR was quite different from that on the Ni surface.

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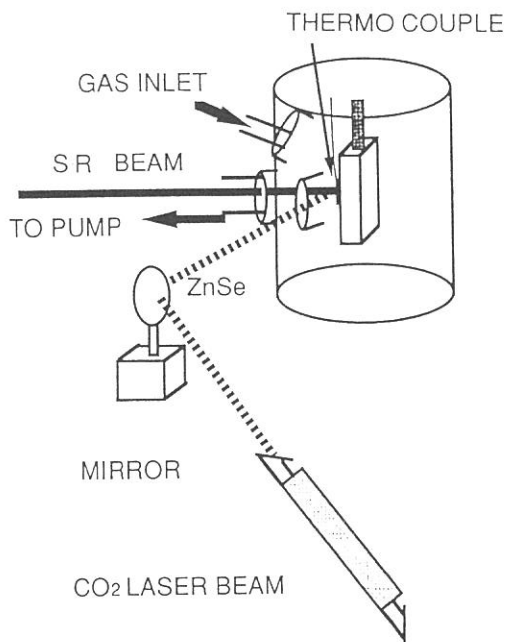


Figure 1. Schematic of SR-CVD system

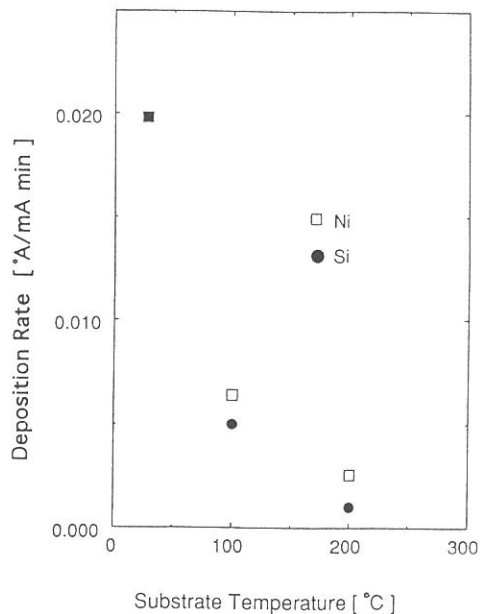


Figure 2. Dependence of Deposition rate on substrate temperature

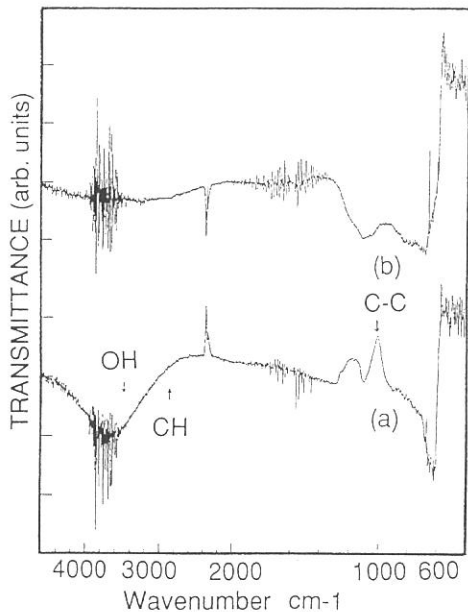


Figure 3. FT-IR absorptionspectra of film deposited on Ni substrate at (a)100 °C and (b)200 °C

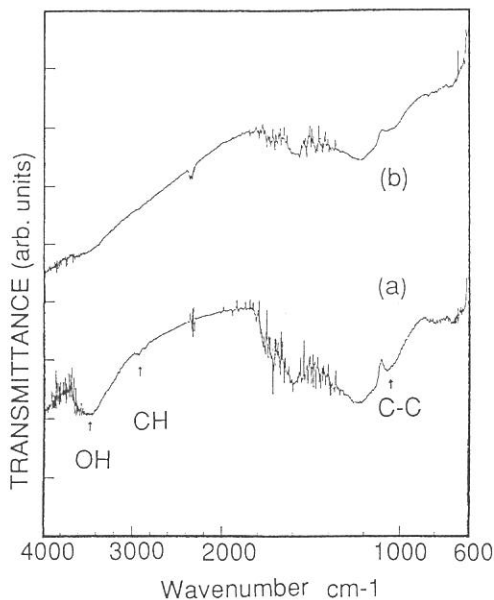


Figure 4. FT-IR absorption spectra of film deposited on Si substrate at (a) 27 °C and (b) 100 °C

LOW TEMPERATURE GROWTH OF ZnO FILMS BY PHOTO-ENHANCED  
CHEMICAL VAPOR DEPOSITION USING UNDULATOR RADIATION

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Recently, ZnO films have gained attention as transparent electrodes in many kinds of optoelectronic devices. ZnO films are preferable, because of low cost and high chemical stability, compared with SnO<sub>2</sub>, In<sub>2</sub>O<sub>3</sub> and ITO films.

In this study, we have deposited ZnO films on Si substrates using undulator radiation photo-CVD at room temperature for the first time and investigated the films with XPS.

We used growth and analysis system consisting of three chambers; preparation, growth and analyzer chambers. The schematic arrangement of the three chambers is shown in Fig.1. The chambers were evacuated to around 10<sup>-6</sup>, 10<sup>-8</sup> and 10<sup>-9</sup> [Torr], respectively. Films were grown in the growth chamber. DEZn and O<sub>2</sub> were used as source gases, and introduced through the variable leak valves. The O<sub>2</sub>/DEZn ratio was changed from 10 to 2000. As a light source we used UR through no window from UVSOR facility. The spectrum of the UR with gap length of 60[mm] has a peak at 36.0[eV]. Si substrates were set in the evacuated growth chamber, and the source gases were introduced. After the deposition, in-situ XPS measurements were performed in the analyzer chamber. The composition of the films was determined from XPS spectra. Increasing the O<sub>2</sub>/DEZn ratio, we observed the increase of oxygen component on the film.

Fig.2 shows the spectra in XPS measurements. The peak in the spectra comes from O 1s peak. It is asymmetrical and decomposed into two peaks, as shown in Fig.2. Dotted lines in Fig.2 show two decomposed gaussian O 1s peaks: one from Zn-O bond and the other from hydroxide bond. In Fig.2, the peak in low energy side is from Zn-O bond, and from hydroxide bond in high energy side. Increasing the O<sub>2</sub>/DEZn ratio, we observed the increase of Zn-O bond.

From these results, we obtained the dependence of oxygen composition in ZnO films as a function of the O<sub>2</sub>/DEZn ratio, as shown in Fig.3. We also tried the deposition of ZnO films from O<sub>2</sub> and DEZn sources using an ArF excimer laser. A similar dependence is obtained with respect to the oxygen composition in the films. However, the former behavior

(UR enhanced CVD) is sharply dependent on the introduced gas ratio, suggesting a different deposition process between the two processes: ArF excimer laser-induced and UR-enhanced processes. The ZnO film with oxygen content of 43.6 [%] is obtained at the O<sub>2</sub>/DEZn ratio of 2000.

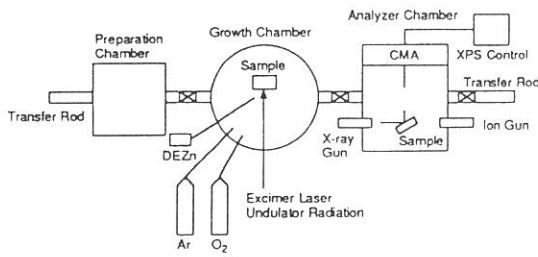


Fig. 1. Experiment System

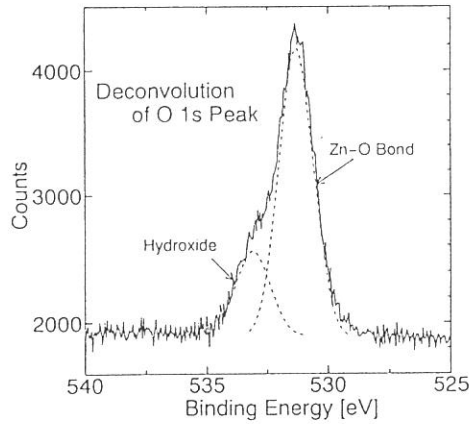


Fig. 2. Deconvolution of O 1s Peak

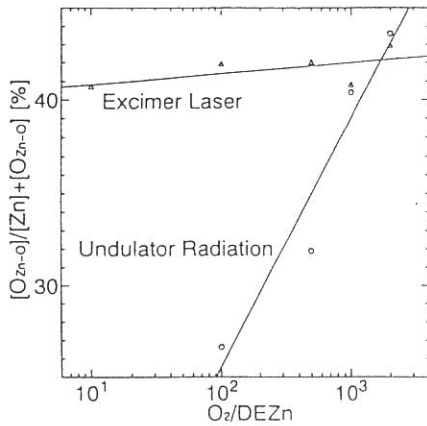


Fig. 3. O content in the films vs The O<sub>2</sub>/DEZn ratio